

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Opperman, Daniel S.	2. Court or Organization Bankruptcy Eastern District MI	3. Date of Report 05/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 111 First Street Bay City, Michigan 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors Member and Vice Chair	Midland Center for the Arts
2. Member	Bankruptcy Appellate Panel
3. Trustee	Eastern Michigan University Foundation
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Dow Inc. f/k/a Dow DuPont f/k/a The Dow Chemical Company - Salary and Bonus; Severance Benefits
2. 2019	Dow, Inc. f/k/a Dow DuPont f/k/a The Dow Chemical Company - Pension
3. 2019	Opperman Consulting LLC - Earnings
4. 2019	Orrick, Herrington & Satcliffle, L.L.P. - Consultant Fees
5. 2019	Isabella Bank Corporation - Director Fees

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute (Shapiro Cup Moot Court)	02/25/19	Detroit, MI	Seminar	Transportation
2.	American Bankruptcy Institute	06/13/19 - 06/15/19	Traverse City, MI	Seminar	Transportation and Lodging
3.	Debtor/Creditor Rights Committee	07/24/19	Southfield, MI	Seminar	Transportation and Lodging
4.	Federal Bar Association	07/26/19 - 07/28/19	Thompsonville, MI	Seminar	Transportation and Lodging

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5.	American Bankruptcy Institute (Veteran's Day Consumer Conference)	11/11/19	Troy, MI	Seminar	Transportation and Lodging
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank Accounts "(H)" - Cash Equivalent									
2. Huntington Bank f/k/a First Merit f/k/a Citizens - Cash Equivalent	A	Interest	L	T					
3. Comerica Bank - Cash Equivalent	A	Interest	K	T					
4. Dow Chemical Credit Union - Cash Equivalent	B	Interest	M	T					
5. E-Trade - Cash Equivalent	A	Interest	L	T					
6. Raymond James - Cash Equivalent	A	Interest	K	T					
7. Isabella Bank - Cash Equivalent	B	Interest	M	T					
8. PNC Bank	A	Interest	J	T					
9. Certificates of Deposit "(H)"									
10. Dow Chemical Credit Union	B	Interest	L	T					
11. Mayville State Bank	A	Interest	K	T					
12. Isabella Bank	B	Interest	M	T					
13. Municipal Bonds "(H)"									
14. FLORIDA ST GO ULT	A	Interest	K	T					
15. MARYLAND ST GO ULT	B	Interest	K	T	Sold (part)	08/01/19	K	A	
16. Muniyield Michigan Insured	A	Interest	J	T					
17. Muniyield Michigan Insured	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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18. MICHIGAN ST Trunk Line	B	Interest	L	T					
19. MINNESOTA ST GO ULT	A	Interest			Sold	11/01/19	K	A	
20. NEW MEXICO ST GO ULT	A	Interest	K	T					
21. OAKLAND CNTY MI - Rochester Hills Water & Sewer	A	Interest			Sold	04/01/19	K	A	
22. OREGON ST GO ULT	A	Interest	K	T					
23. PENNSYLVANIA ST GO ULT	A	Interest	K	T					
24. TEXAS ST GO ULT	A	Interest	K	T					
25. WASHINGTON ST GO ULT	C	Interest	L	T					
26. Real Estate "(H)"									
27. Farmland, Millington, Michigan Acquired 04/13/89 for \$70,000	E	Rent	L	R	Sold (part)	10/24/19	L	A	
28. Life Insurance "(H)"									
29. Farm Bureau Life Insurance - Universal Life - Fixed	A	Interest	K	T					
30. Stock and Certificates Held as Tenants By Entirety "(H)"									
31. ATMOS Energy	B	Dividend	K	T					
32. Citizens Communications	A	Dividend			Sold	03/04/19	J	A	
33. Chevron Corporation	B	Dividend	K	T					
34. CMS Energy	A	Dividend	K	T	Sold (part)	03/04/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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R =Cost (Real Estate Only)

V =Other

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H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

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35. Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company	D	Dividend	L	T	Sold (part)	04/02/19	J	A	
36. Evergy, Inc. f/k/a Great Plains Energy by way of merger on 06/04/18	A	Interest	J	T					
37. Highwoods Property	A	Dividend	J	T					
38. News Corp	A	Dividend	J	T					
39. 20th Century Fox	A	Dividend			Sold	12/17/19	J	A	
40. Northwestern Corp	A	Dividend	J	T					
41. XCEL Energy	B	Dividend	K	T					
42. Stocks and Bonds - Broker Account DSO and BLO "(H)"									
43. Adams Natural Resources Fund formerly Petroleum & Resources Corp.	A	Dividend	J	T					
44. Alliance Bernstein Sustainable Int'l Growth	A	Dividend	K	T					
45. Ameriprise Insured Money Market - Cash Equivalent	A	Interest	J	T					
46. Camden Property	A	Dividend	J	T					
47. Equity Commonwealth		None	J	T					
48. Essex Property	A	Dividend	J	T					
49. John Hancock Financial	A	Dividend	J	T					
50. Royal Dutch Shell PLC	A	Dividend	J	T					
51. Southern Company	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Vornado Realty	A	Dividend	J	T					
53. Stocks and Certificates "(H)"									
54. Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company	D	Dividend	M	T					
55. Van Kampen Municipal Trust	A	Interest	J	T					
56. Restricted Stock-Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company		None	M	T					
57. Restricted Stock - Isabella Bank Corporation	B	Dividend	L	T					
58. Limited Liability Company Units - SRO "(H)"									
59. Opperman Consulting LLC	E	Dividend	L	T					
60. Rowley Family Properties, LLC		None	L	W					
61. Stocks and Bonds - Broker Accounts IRA SRO "(H)"									
62. Amazon.com Inc.		None	L	T	Sold (part)	12/05/19	K	B	
63. American Tower Corp.	A	Dividend	L	T					
64. A.O. Smith Corp.	A	Dividend			Sold	03/27/19	K	E	
65. Arista Networks Inc.		None			Buy	01/08/19	K		
66.					Buy (add'l)	02/15/19	K		
67.					Sold (part)	08/09/19	K	A	
68.					Sold (part)	09/19/19	J	A	

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69.					Sold	11/01/19	K	A	
70. Celgene Corp.		None			Sold	01/08/19	K	E	
71. Charles Schwab Corp.	A	Dividend			Sold	01/15/19	K	C	
72. Corteva Inc., Split From Dow DuPont 06/03/19	A	Dividend	J	T	Buy	06/03/19	J		
73.					Sold (part)	06/03/19	J	A	
74. Dow, Inc. f/k/a Dow DuPont f/k/a Dow Chemical Company	B	Dividend	K	T	Sold (part)	04/02/19	J	A	
75. Dupont de Nemours Inc., Split From Dow DuPont 04/01/19	A	Dividend	J	T	Buy	04/01/19	J		
76.					Sold (part)	06/03/19	J	A	
77. Edwards Lifesciences		None	L	T	Sold (part)	03/19/19	J	C	
78.					Buy (add'l)	04/26/19	K		
79.					Sold (part)	08/23/19	K	D	
80.					Sold (part)	09/05/19	J	C	
81. EPAM Systems		None	M	T	Sold (part)	03/05/19	J	B	
82. Equinix Inc.	B	Dividend	L	T	Buy	05/07/19	K		
83.					Buy (add'l)	05/24/19	K		
84. Euronet Worldwide Inc.		None	L	T	Sold (part)	05/07/19	J	C	
85. Facebook Inc.		None	L	T	Buy (add'l)	02/07/19	K		

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86.					Buy (add'l)	02/26/19	K		
87.					Sold (part)	05/07/19	J	B	
88. Fleetcor Technologies		None	K	T	Sold (part)	03/07/19	K	D	
89.					Buy (add'l)	04/26/19	K		
90.					Buy (add'l)	06/05/19	K		
91. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	M	T	Sold (part)	06/04/19	K	A	
92.					Buy (add'l)	07/30/19	K		
93. Guidewire Software	A	Dividend	K	T	Buy (add'l)	03/19/19	K		
94.					Sold (part)	06/06/19	K	A	
95. IDEXX Laboratories Inc.		None	L	T	Buy	03/05/19	K		
96.					Buy (add'l)	03/19/19	K		
97.					Sold (part)	06/05/19	K	C	
98. Illumina Inc.		None	K	T	Sold (part)	06/05/19	K	A	
99.					Sold (part)	08/01/19	K	D	
100. Intuitive Surgical Inc.		None	L	T	Sold (part)	01/25/19	K	A	
101.					Sold (part)	05/07/19	K	A	
102.					Buy (add'l)	09/09/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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103. KAR Auction Services Ind.	A	Dividend			Sold	03/05/19	K	D	
104. Omnicell Inc.		None	L	T	Buy (add'l)	05/13/19	J		
105. Palo Alto Networks		None	L	T	Sold (part)	02/07/19	K	C	
106.					Sold (part)	09/24/19	K	C	
107. PayPal Holdings		None	M	T	Buy	01/08/19	K		
108.					Buy (add'l)	08/01/19	K		
109.					Buy (add'l)	08/29/19	K		
110. Penumbra Inc.		None	M	T	Buy	01/08/19	K		
111.					Buy (add'l)	01/15/19	K		
112.					Buy (add'l)	05/13/19	K		
113. Proofpoint, Inc.		None	K	T	Sold (part)	05/13/19	K	A	
114. RBC Money Market Account - Cash Equivalent		None	N	T					
115. Salesforce.com		None	L	T	Sold (part)	02/15/19	K	D	
116.					Buy (add'l)	08/23/19	J		
117. Signature Bank NY	B	Dividend	L	T					
118. Splunk Inc.		None	L	T	Sold (part)	02/07/19	K	C	
119.					Buy (add'l)	04/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Opperman, Daniel S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	08/23/19	J		
121.					Sold (part)	09/30/19	K	D	
122.					Sold (part)	12/26/19	J	C	
123. Tyler Technologies Inc.		None	L	T	Buy	08/09/19	K		
124.					Buy (add'l)	09/24/19	K		
125. Ulta Salon		None	K	T	Buy (add'l)	04/26/19	K		
126.					Sold (part)	08/23/19	K	A	
127.					Sold (part)	09/05/19	K	A	
128.					Sold (part)	09/09/19	K	D	
129. The Ultimate Software Group		None			Sold	03/19/19	L	E	
130. Vertex Pharmaceuticals		None	M	T	Buy (add'l)	04/16/19	K		
131.					Buy (add'l)	11/01/19	K		
132. Zendesk Inc.		None	K	T	Buy	12/05/19	K		
133. Stocks and Bonds - Broker Accounts IRA DSO "(H)"									
134. Amazon.com Inc.		None	J	T	Buy (add'l)	03/05/19	J		
135.					Sold (part)	12/05/19	J	B	
136. American Tower Corp.	A	Dividend	J	T	Buy	06/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. A.O. Smith Corp.	A	Dividend			Sold	03/27/19	J	A	
138. Arista Networks Inc.		None			Buy	01/08/19	J		
139.					Buy (add'l)	02/15/19	J		
140.					Sold (part)	08/09/19	J	A	
141.					Sold	11/01/19	J	A	
142. Celgene Corp.		None			Sold	01/08/19	J	A	
143. Charles Schwab Corp.	A	Dividend			Sold	01/15/19	J	A	
144. CHEVRON CORP.	A	Dividend			Sold	03/03/19	J	A	
145. Dreyfus Money Market Account - Cash Equivalent	A	Dividend	J	T					
146. Edwards Lifesciences		None	J	T	Sold (part)	03/20/19	J	A	
147. EPAM Systems		None	J	T	Sold (part)	03/05/19	J	A	
148. Equinix Inc.	A	Dividend	J	T	Buy	05/07/19	J		
149.					Buy (add'l)	05/28/19	J		
150. Euronet Worldwide Inc.		None	J	T	Sold (part)	05/07/19	J	A	
151. Facebook Inc.		None	J	T	Buy (add'l)	02/07/19	J		
152.					Buy (add'l)	02/08/19	J		
153.					Buy (add'l)	02/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	02/28/19	J		
155.					Sold (part)	05/07/19	J	A	
156. FEDEX CORP.	A	Interest	J	T					
157. Fleetcor Technologies		None	J	T	Buy (add'l)	06/04/19	J		
158.					Buy (add'l)	06/05/19	J		
159. Google Inc. Cl a/k/a A/Alphabet Inc. Cl A		None	J	T	Buy (add'l)	05/13/19	J		
160.					Sold (part)	06/05/19	J	A	
161. Guidewire Software	A	Dividend	J	T	Buy (add'l)	03/19/19	J		
162.					Sold (part)	06/06/19	J	A	
163. IDEXX Laboratories Inc.		None	J	T	Buy	03/05/19	J		
164.					Buy (add'l)	03/19/19	J		
165.					Sold (part)	05/28/19	J	A	
166. Illumina Inc.		None	J	T	Sold (part)	08/01/19	J	A	
167. Intuitive Surgical Inc.		None	J	T	Sold (part)	05/07/19	J	A	
168.					Buy (add'l)	09/05/19	J		
169. JOHNSON & JOHNSON	A	Dividend	J	T					
170. KAR Auction Services Ind.	A	Dividend			Sold	03/05/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. MCDONALD'S CORP. BONDS	A	Interest	J	T					
172. MCKESSON CORP.	A	Dividend	J	T					
173. Omnicell Inc.		None	J	T					
174. O'REILLY AUTOMOTIVE CORP.	A	Dividend	J	T					
175. Palo Alto Networks		None	J	T	Sold (part)	02/07/19	J	A	
176.					Sold (part)	09/24/19	J	A	
177. PayPal Holdings Inc.		None	J	T	Buy	01/08/19	J		
178.					Buy (add'l)	06/05/19	J		
179.					Buy (add'l)	08/01/19	J		
180.					Buy (add'l)	08/29/19	J		
181. Penumbra		None	J	T	Buy	01/08/19	J		
182.					Buy (add'l)	01/15/19	J		
183. Proofpoint, Inc.		None	J	T	Sold (part)	05/13/19	J	A	
184.					Buy (add'l)	09/04/19	J		
185. Red Hat Inc.		None			Sold	01/08/19	J	A	
186. Salesforce.com		None	J	T	Sold (part)	02/15/19	J	A	
187. Signature Bank NY	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Splunk Inc.		None	J	T	Sold (part)	08/28/19	J	A	
189.					Sold (part)	12/26/19	J	A	
190. STRYKER COPR.	A	Dividend	J	T					
191. Tyler Technologies Inc.		None	J	T	Buy	08/09/19	J		
192.					Buy (add'l)	09/24/19	J		
193. Ulta Salon		None	J	T	Sold (part)	09/05/19	J	A	
194. The Ultimate Software Group		None			Sold	03/19/19	J	B	
195. UNITED PARCEL SERVICE	A	Dividend	J	T					
196. Vertex Pharmaceuticals		None	J	T	Buy (add'l)	11/01/19	J		
197. WALT DISNEY CO.	A	Dividend	J	T					
198. WEYERHAEUSER CO.	A	Dividend	J	T					
199. Zendesk		None	J	T	Buy	12/05/19	J		
200. Broker Account - DSO and SRO "(H)"									
201. Abbott Lab	B	Dividend	L	T	Sold (part)	12/03/19	K	C	
202. Accenture LTD Cl A	B	Dividend	L	T	Buy (add'l)	05/08/19	J		
203. Agilent Technologies	A	Dividend	K	T	Buy (add'l)	04/09/19	J		
204.					Buy (add'l)	09/24/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Alibaba		None	J	T	Buy	11/20/19	J		
206.					Buy (add'l)	11/27/19	J		
207. Alliance Bernstein GL	A	Dividend			Buy	02/05/19	J		
208.					Sold	03/04/19	J	A	
209. Amazon		None	L	T	Buy	10/25/19	L		
210. Amphenol Corp. C1 A	A	Dividend	K	T	Sold (part)	01/25/19	K	A	
211.					Buy (add'l)	09/24/19	J		
212. Apple Computer Inc.	B	Dividend	L	T	Buy (add'l)	01/04/19	K		
213.					Sold (part)	05/02/19	K	A	
214.					Buy (add'l)	08/20/19	K		
215. AptarGroup Inc.	A	Dividend	K	T	Sold (part)	11/13/19	K	D	
216. ARKANSAS ST GO	A	Interest	J	T	Buy	01/29/19	J		
217. ASML Holdings	A	Dividend	K	T	Buy (add'l)	07/23/19	J		
218.					Buy (add'l)	08/20/19	J		
219.					Sold (part)	12/06/19	J	B	
220. Ball Corp.	A	Dividend	K	T					
221. Bank of America	A	Dividend			Buy	02/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold	03/11/19	J	A	
223. Baxter Int'l Inc.	A	Dividend			Buy	02/05/19	J		
224.					Buy (add'l)	08/07/19	K		
225.					Buy (add'l)	08/28/19	K		
226.					Buy (add'l)	10/08/19	J		
227.					Sold	12/03/19	L	A	
228. Becton Dickinson	A	Dividend	K	T					
229. Black Rock Inc.	A	Dividend	K	T	Sold (part)	02/21/19	J	A	
230. Boeing Co.	A	Dividend	K	T	Sold (part)	04/09/19	L	B	
231.					Buy (add'l)	06/17/19	K		
232. Broadcom Ltd.	C	Dividend	L	T	Sold (part)	06/17/19	K	B	
233.					Buy (add'l)	10/08/19	K		
234. Brookfield Asset Management	A	Dividend	K	T	Sold (part)	09/19/19	J	B	
235. Canadian Nat'l Railway	A	Dividend	J	T	Sold (part)	09/27/19	K	D	
236.					Sold (part)	11/20/19	J	C	
237. Capgemini	A	Dividend			Buy (add'l)	06/26/19	J		
238.					Sold	12/03/19	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Check Point Software		None	J	T					
240. Chevron Corp.	B	Dividend	K	T					
241. Cisco Systems Inc.	A	Dividend			Buy (add'l)	05/13/19	K		
242.					Sold (part)	08/20/19	K	A	
243.					Sold	08/28/19	K	B	
244. Colgate-Palmolive Co.	A	Dividend			Buy	06/26/19	J		
245.					Sold	12/03/19	J	A	
246. Comcast Corp	A	Dividend	L	T	Buy	02/05/19	K		
247.					Buy (add'l)	06/26/19	J		
248.					Buy (add'l)	09/24/19	K		
249.					Sold (part)	12/03/19	J	A	
250. Compass Group	A	Dividend	J	T	Buy	02/11/19	J		
251.					Buy (add'l)	02/27/19	J		
252.					Sold (part)	12/03/19	J	A	
253.					Sold (part)	12/06/19	J	A	
254. Cons Disc Select	A	Dividend			Sold	02/05/19	K	A	
255. Corteva Inc., Split From Dow DuPont 06/03/19	B	Dividend	L	T	Buy	06/03/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	06/03/19	J	A	
257. CVS Corp.	A	Dividend	K	T	Sold (part)	05/08/19	K	A	
258.					Buy (add'l)	06/26/19	J		
259. Dassault Systems S.A.	A	Dividend	K	T	Sold (part)	03/15/19	J	C	
260. DBS Group	A	Dividend	J	T					
261. Deere & Co.	A	Dividend			Buy	02/05/19	K		
262.					Sold	03/04/19	K	C	
263. Denny's Corporation	A	Dividend			Buy	02/05/19	J		
264.					Sold	03/04/19	J	A	
265. Diageo PLC ADR	A	Dividend	J	T	Sold (part)	11/27/19	J	B	
266. Discover Financial	A	Dividend	K	T	Buy	02/05/19	J		
267.					Buy (add'l)	04/16/19	K		
268. DuPont de Nemours Inc., Split From Dow Dupont 04/01/19	C	Dividend	M	T	Buy	04/01/19	M		
269.					Sold (part)	06/03/19	J	A	
270. DXC Technology Co.	A	Dividend			Buy	02/05/19	J		
271.					Sold	03/04/19	J	A	
272. Enterprise Prod	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Estee Lauder C1A	A	Dividend	K	T	Sold (part)	05/02/19	J	C	
274.					Buy (add'l)	11/14/19	L		
275. Essity Aktiebolag F Spons ADR	A	Dividend	J	T	Buy	02/05/19	J		
276.					Sold (part)	12/03/19	J	C	
277. Experian PLC	A	Dividend	J	T	Sold (part)	06/26/19	K	C	
278. Exxon	A	Dividend			Buy	02/05/19	J		
279.					Sold	12/05/19	J	A	
280. Fastenal Co.	A	Dividend	K	T	Buy (add'l)	04/16/19	J		
281. Fomento Eco Mexicano	A	Dividend	J	T					
282. General Dynamics	A	Dividend			Buy	08/20/19	K		
283.					Sold	12/03/19	K	A	
284. Global Pmts Inc.	A	Dividend	L	T	Buy	09/24/19	J		
285. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	K	T	Buy (add'l)	04/16/19	K		
286. GRAND RAPIDS - Grand Rapids Public Schools	A	Dividend	K	T					
287. Grupo Aeroportuario Cen-ADR	A	Dividend	J	T	Buy	02/22/19	J		
288.					Buy (add'l)	03/15/19	J		
289.					Sold (part)	12/03/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. HDFC Bank Ltd. ADR	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
291. Hewelett-Packard Enterprise Co.	A	Dividend			Buy	02/05/19	J		
292.					Sold	03/04/19	J	A	
293. Home Depot Inc.	A	Dividend	K	T	Buy (add'l)	06/26/19	J		
294. HP Inc.	A	Dividend			Buy	02/05/19	J		
295.					Sold	03/04/19	J	A	
296. Huntington Bancshares	A	Dividend			Buy	02/05/19	J		
297.					Sold	03/04/19	J	A	
298. Invesco American Franchise	A	Dividend			Buy	02/05/19	J		
299.					Sold	02/21/19	J	A	
300. Isabella Bank Corporation	D	Dividend	N	T					
301. iShares FTSE/China 25	A	Dividend	J	T	Sold (part)	05/07/19	J	A	
302. iShares MCSI EAFE	A	Dividend	J	T	Sold (part)	02/06/19	K	A	
303.					Sold (part)	06/26/19	J	A	
304. iShares MSCI Emerging	A	Dividend	J	T	Sold (part)	02/06/19	K	A	
305.					Sold (part)	05/15/19	J	A	
306. iShares MSCI EU Financial	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Japan Index	A	Dividend			Sold (part)	02/27/19	K	B	
308.					Sold	06/26/19	J	C	
309. JB Hunt Transport	A	Dividend			Sold	05/19/19	J	A	
310. JOHNSON & JOHNSON	A	Dividend	K	T	Buy (add'l)	10/08/19	K		
311. LANSING MI ST GO	A	Interest	K	T	Buy	05/21/19	K		
312. Marsh & McLennan	A	Dividend	J	T	Buy	08/20/19	K		
313.					Buy (add'l)	09/24/19	K		
314. McCormick & Co.	A	Dividend	K	T	Buy	04/16/19	K		
315. Micro Focus Int'l PLC	A	Dividend			Buy	02/05/19	J		
316.					Sold	03/04/19	J	A	
317. Microsoft Corp.	B	Dividend	L	T					
318. Mondelez International	A	Dividend	K	T	Buy (add'l)	03/26/19	J		
319. Motorola Solutions	A	Dividend			Buy	02/05/19	J		
320.					Sold	03/11/19	J	A	
321. Nestle SA ADR	A	Dividend	K	T	Buy	03/15/19	J		
322.					Buy (add'l)	06/26/19	J		
323. New Oriental Education SP ADR	A	Dividend	J	T	Buy	01/25/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Sold (part)	08/29/19	J	B	
325.					Sold (part)	12/03/19	J	C	
326. Nike Inc.	A	Dividend	L	T	Buy (add'l)	01/15/19	J		
327.					Buy (add'l)	10/25/19	K		
328. NVIDIA	A	Dividend	L	T	Buy	10/07/19	J		
329.					Buy (add'l)	10/16/19	K		
330. Oneok Partners LP	B	Dividend	K	T					
331. Oracle Corp.	A	Dividend			Buy	02/05/19	J		
332.					Sold	03/04/19	J	A	
333. Pepsico Inc.	A	Dividend	J	T	Sold (part)	03/11/19	J	A	
334. Perspecta Inc.	A	Dividend			Buy	02/05/19	J		
335.					Sold	03/04/19	J	A	
336. Pfizer Inc.	A	Dividend			Buy	02/05/19	J		
337.					Sold	03/11/19	J	A	
338. Prudential PLC	A	Dividend			Buy	02/22/19	J		
339.					Sold	09/12/19	J	A	
340. Putnam Intl Grwth-A	A	Dividend			Buy	02/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold	02/21/19	J	A	
342. Republic Services	A	Dividend	K	T					
343. Ross Stores	A	Dividend	K	T	Sold (part)	01/15/19	J	C	
344.					Buy (add'l)	04/16/19	J		
345.					Sold (part)	08/28/19	K	C	
346. Royal Caribbean Cruises Ltd.	A	Dividend			Buy	02/05/19	K		
347.					Buy (add'l)	05/01/19	K		
348.					Buy (add'l)	05/13/19	J		
349.					Sold (part)	08/07/19	K	A	
350.					Sold	10/25/19	K	A	
351. S&P 500 Trust	A	Dividend			Sold	02/05/19	L	D	
352. SAP AG ADR	A	Dividend	K	T	Buy	05/07/19	J		
353.					Buy (add'l)	05/15/19	J		
354.					Buy (add'l)	06/26/19	J		
355. Schlumberger	A	Dividend			Buy	02/05/19	J		
356.					Buy (add'l)	06/26/19	J		
357.					Sold	10/25/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. Starbucks	A	Dividend	J	T	Buy	01/25/19	J		
359.					Buy (add'l)	02/27/19	J		
360.					Sold (part)	08/23/19	K	D	
361. Stryker Corp.	A	Dividend	L	T	Buy (add'l)	01/31/19	J		
362. Svenska Cellulosa a/k/a Essity Aktiebolag	A	Dividend	J	T					
363. Taiwan Semiconductor	A	Dividend	K	T	Buy	02/22/19	J		
364.					Buy (add'l)	09/19/19	J		
365.					Buy (add'l)	09/27/19	J		
366.					Sold (part)	12/03/19	J	B	
367. Techtronic Inds. SP ADR	A	Dividend	J	T	Buy	02/22/19	J		
368. TELUS Corp.	A	Dividend	K	T	Sold (part)	07/23/19	K	A	
369.					Sold (part)	12/03/19	J	A	
370.					Buy (add'l)	12/06/19	J		
371. Templeton Emerg Mkts Fund	A	Dividend			Buy	02/05/19	J		
372.					Sold	03/04/19	J	A	
373. Ten Cent Holdings	A	Dividend	J	T	Buy	12/26/19	J		
374. Total System Services, Inc.	A	Dividend			Sold	01/31/19	K	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. Unilever NV	A	Dividend	J	T	Buy (add'l)	06/12/19	J		
376.					Sold (part)	12/03/19	J	A	
377. UnitedHealth Group	A	Dividend	L	T	Buy (add'l)	01/31/19	J		
378.					Sold (part)	05/15/19	J	A	
379.					Buy (add'l)	09/24/19	K		
380. Union Pacific Corp.	A	Dividend	K	T	Sold (part)	08/07/19	K	C	
381.					Buy (add'l)	09/24/19	K		
382. Visa Inc.	A	Dividend	L	T	Sold (part)	05/22/19	K	D	
383.					Buy (add'l)	09/24/19	J		
384. Wabtec Corp.	A	Dividend			Sold (part)	02/26/19	J	A	
385.					Buy (add'l)	05/13/19	J		
386.					Buy (add'l)	09/24/19	J		
387.					Sold	10/25/19	K	A	
388. Walmart Inc.	A	Dividend			Buy	02/05/19	J		
389.					Sold	03/11/19	J	A	
390. West Pharmaceutical Services	A	Dividend	L	T					
391. Wisdom Tree Europe	A	Dividend	J	T	Sold (part)	01/15/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. Wisdom Tree Japan	A	Dividend			Sold	06/12/19	J	A	
393. WNS Holdings Ltd-ADR		None	K	T	Sold (part)	02/07/19	J	B	
394.					Buy (add'l)	09/12/19	J		
395. Zoetis Inc.	A	Dividend	L	T	Sold (part)	08/07/19	K	D	
396.					Buy (add'l)	10/25/19	K		
397.					Buy (add'l)	11/18/19	J		
398. Retirement Funds "(H)"									
399. ABBEY Capital Futures	A	Dividend			Sold	01/04/19	J	A	
400. American Mutual Class F2	A	Dividend	K	T	Sold (part)	01/10/19	K	A	
401.					Buy (add'l)	08/13/19	K		
402. AQR Diversified Arbitrage Fund	A	Dividend	J	T					
403. AQR Managed Futures Strategy Fund		None			Sold	01/04/19	J	A	
404. AQR Style Premium Alternative	A	Dividend			Sold	01/04/19	J	A	
405. Artisan International Fund	A	Dividend	K	T	Sold (part)	02/26/19	J	A	
406. ASG Global Alternatives Fund	A	Dividend	J	T					
407. Baillie Gifford Emerging Markets	A	Dividend	J	T	Buy	06/14/19	J		
408. Baird Aggregate	A	Dividend	K	T	Buy	01/04/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	01/07/19	J		
410.					Buy (add'l)	01/14/19	K		
411. Blackrock Event Driven Equity Fund	A	Dividend			Sold	01/04/19	J	A	
412. Boston Partners Long/Short Research Fund	A	Dividend			Sold	01/04/19	J	A	
413. Bristol Myers	A	Dividend	J	T					
414. Brown Advisory Growth Equity Fund		None			Sold	01/04/19	K	A	
415. Causeway International	A	Dividend	K	T	Sold (part)	01/04/19	K	A	
416. Champlain Mid Cap Fund		None			Sold	01/10/19	J	A	
417. Chiron Capital Allocation Fund	A	Dividend			Sold	01/04/19	J	A	
418. Clarkston	A	Dividend			Buy	01/04/19	J		
419.					Buy (add'l)	01/07/19	J		
420.					Buy (add'l)	01/15/19	J		
421.					Sold	08/13/19	K	B	
422. Clearbridge Aggressive Growth Fund	A	Dividend			Sold (part)	01/04/19	K	A	
423.					Buy (add'l)	01/07/19	J		
424.					Sold	01/10/19	J	A	
425. Clearbridge Dvidend Strategy Fund	A	Dividend	K	T	Buy	01/04/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	01/15/19	K		
427. CM Finance	A	Dividend			Sold	01/10/19	J	A	
428. Comcast Corporation	A	Dividend	J	T					
429. Delta Airlines	A	Dividend	J	T					
430. Disney Walt Company Com Disney	A	Dividend	K	T					
431. Dodge & Cox Income Fund	A	Dividend	K	T	Buy (add'l)	01/04/19	J		
432.					Buy (add'l)	01/07/19	J		
433.					Sold (part)	01/10/19	K	A	
434.					Buy (add'l)	01/14/19	K		
435. Dreyfus Treas Prime Cash Equivalent	A	Dividend	L	T					
436. Europacific Growth Fund Class F1	A	Dividend	L	T	Sold (part)	01/10/19	K	A	
437.					Sold (part)	02/26/19	J	A	
438. Exxon Mobil - IRA	A	Dividend	K	T					
439. Federated Institutional High Yield Bond	A	Dividend	J	T	Buy	01/04/19	J		
440.					Buy (add'l)	01/07/19	J		
441.					Buy (add'l)	01/14/19	J		
442. Federated Short Term Incom	A	Dividend	J	T	Buy	01/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	01/07/19	J		
444.					Buy (add'l)	01/14/19	J		
445. Gateway Fund Class	A	Dividend			Sold	01/04/19	J	A	
446. Global Sachs Strategic Income Fund	A	Dividend			Buy	01/04/19	J		
447.					Buy (add'l)	01/14/19	J		
448.					Sold	02/04/19	J	A	
449. Harding Loevner Emerging Markets	A	Dividend	J	T	Sold (part)	02/26/19	J	A	
450. GQG Partners	A	Dividend	J	T	Buy	06/14/19	J		
451. Hartford Midcap Fund	A	Dividend	K	T	Buy	01/04/19	J		
452.					Buy (add'l)	01/07/19	J		
453.					Buy (add'l)	01/15/19	J		
454. Heritage Cash Trust - Cash Equivalent	A	Interest	K	T					
455. International Paper Co.	B	Dividend	K	T					
456. iShares TR Core MSCI EAFE	B	Dividend	K	T	Sold (part)	01/04/19	K	A	
457.					Sold (part)	01/10/19	K	A	
458. iShares TR Core US AGGBD ET	A	Dividend			Sold	01/10/19	K	B	
459. iShares TR Min Vol USA	A	Dividend	K	T	Sold (part)	01/04/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold (part)	01/10/19	K	A	
461.					Buy (add'l)	08/13/19	K		
462. Janus Henderson	A	Dividend	K	T	Buy	08/13/19	K		
463. John Hancock Disciplined Value Fund	A	Dividend	K	T	Sold (part)	01/07/19	J	A	
464.					Sold (part)	08/13/19	K	B	
465. John Hancock Global Absolute Return		None	J	T	Sold (part)	01/04/19	J	A	
466.					Buy (add'l)	01/15/19	K		
467. JPMorgan Certificate of Deposit	A	Dividend			Sold	06/26/19	J	B	
468. JPMorgan High Yield	A	Dividend			Buy	01/04/19	J		
469.					Buy (add'l)	01/07/19	J		
470.					Buy (add'l)	01/14/19	J		
471.					Sold	11/18/19	J	A	
472. JPMorgan Mid Cap	A	Dividend			Buy	01/07/19	J		
473.					Buy (add'l)	01/15/19	J		
474.					Sold	11/18/19	K	A	
475. Knoll Incorporated	A	Dividend	J	T					
476. Lazard Emerging Markets Equity Port	A	Dividend			Sold (part)	01/04/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Sold	06/14/19	J	A	
478. Loomis Sayles Growth Fund	A	Dividend	K	T	Buy (add'l)	01/04/19	K		
479.					Buy (add'l)	01/07/19	K		
480.					Sold (part)	01/10/19	K	A	
481.					Buy (add'l)	01/14/19	J		
482.					Buy (add'l)	01/15/19	J		
483.					Sold (part)	08/13/19	K	B	
484. Meridian Growth Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
485.					Buy (add'l)	01/15/19	J		
486. Metropolitan West Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	01/04/19	J		
487.					Buy (add'l)	01/07/19	J		
488.					Sold (part)	01/10/19	K	A	
489.					Buy (add'l)	01/14/19	K		
490. MFS International Growth Fund	A	Dividend	K	T	Sold (part)	01/04/19	K	A	
491.					Sold (part)	02/26/19	J	A	
492. Nuance Mid. Cap. Value Fund	A	Dividend	K	T	Sold (part)	01/04/19	J	A	
493.					Buy (add'l)	11/18/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494. Oakmark International	A	Dividend	J	T	Buy (add'l)	02/26/19	J		
495. Parnassus Core Equity Fund	A	Dividend	K	T	Buy	01/04/19	K		
496.					Buy (add'l)	01/07/19	J		
497.					Buy (add'l)	01/15/19	K		
498. PGIM Absolute	A	Dividend	J	T	Buy	01/04/19	J		
499.					Buy (add'l)	01/07/19	J		
500.					Buy (add'l)	01/14/19	J		
501. Pimco Low Duration Fund	A	Dividend			Sold	01/10/19	J	A	
502. Raymond James Bank Deposit Program - Cash Equivalent	A	Interest	L	T					
503. Raytheon Company	A	Dividend	J	T					
504. Sabra Healthcare	A	Dividend	J	T					
505. Starbucks	A	Dividend	K	T					
506. T. Rowe Price - Latin America - IRA	A	Dividend	K	T					
507. T Rowe Price Small Cap Value Fund	A	Dividend	K	T	Buy (add'l)	01/04/19	J		
508.					Buy (add'l)	01/07/19	J		
509.					Sold (part)	01/10/19	J	A	
510.					Buy (add'l)	01/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Transamerica High Yield Bond	A	Dividend	J	T	Buy	11/18/19	J		
512. Vanguard Group - Energy Fund	B	Dividend	K	T					
513. Vanguard Index Funds S&P 500	B	Dividend	M	T	Sold (part)	01/04/19	J	A	
514.					Buy (add'l)	01/07/19	J		
515.					Sold (part)	01/10/19	K	A	
516.					Buy (add'l)	01/15/19	K		
517. Victory Integrity Small Cap Value Fund	A	Dividend	J	T	Sold (part)	01/04/19	J	A	
518. Victory Trivalent Intl	A	Dividend	J	T					
519. Wells Fargo Advantage Absolute Return Fund	A	Dividend	J	T					
520. Wells Fargo Advantage Intl	A	Dividend	J	T					
521. Wells Fargo Core Bond Fund	A	Dividend	J	T	Buy	01/04/19	J		
522.					Buy (add'l)	01/07/19	J		
523.					Buy (add'l)	01/14/19	K		
524.					Sold (part)	02/04/19	J	A	
525. Wells Fargo International Value Fund	A	Dividend	J	T					
526. Western Asset Inflation	A	Dividend	J	T	Buy	01/07/19	J		
527.					Buy (add'l)	01/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. Western Asset Macro	A	Dividend	J	T	Buy	01/04/19	J		
529. Western Asset Total Return	A	Dividend	K	T	Buy (add'l)	01/04/19	J		
530.					Sold (part)	01/10/19	J	A	
531.					Buy (add'l)	02/05/19	J		
532. William Blair Marco	A	Dividend	J	T					
533. William Blair Macro Allocation Fund	A	Dividend			Sold	01/04/19	J	A	
534. Dow Chemical Retirement Health Care Assoc.		None	J	T					
535. Dow Chemical Elective Deferral Plan		None	J	T					
536. Freeland State Bank - Certificate of Deposit - IRA	A	Dividend	K	T					
537. YUM, Inc	A	Dividend	J	T					
538. Auto Owners Insurance - Annuity - Fixed Rate	B	Interest	K	T					
539. SEP-IRA - SRO "(H)"									
540. Amazon.com Inc.		None	J	T	Buy (add'l)	02/07/19	J		
541.					Sold (part)	12/5/19	J		
542. American Tower Corp.	A	Dividend	J	T					
543. A.O. Smith Corp.	A	Dividend			Sold (part)	03/06/19	J	B	
544.					Sold	03/27/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545. Arista Networks Inc.		None			Buy	01/08/19	J		
546.					Buy (add'l)	02/15/19	J		
547.					Buy (add'l)	06/20/19	J		
548.					Sold (part)	08/09/19	J	A	
549.					Sold	11/01/19	J	A	
550. BNY Deposit - Cash Equivalent	A	Dividend	K	T					
551. Celegene Corp.		None			Sold	01/08/19	J	A	
552. Charles Schwab Corp.	A	Dividend			Sold	01/15/19	J	A	
553. Edwards Lifesciences		None	K	T	Sold (part)	03/19/19	J	B	
554.					Sold (part)	09/05/19	J	B	
555. EPAM Systems		None	K	T	Sold (part)	03/05/19	J	B	
556. Equinix Inc.	A	Dividend	K	T	Buy	05/07/19	J		
557.					Buy (add'l)	05/24/19	J		
558. Euronet Worldwide Inc.		None	K	T	Sold (part)	05/07/19	J	A	
559. Facebook Inc.		None	K	T	Buy (add'l)	02/07/19	J		
560.					Buy (add'l)	02/26/19	J		
561.					Sold (part)	05/07/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. Fleetcor Technologies		None	K	T	Buy (add'l)	06/04/19	J		
563. Google Inc. C1 a/k/a A/Alphabet C1 A		None	K	T	Buy (add'l)	03/06/19	J		
564.					Sold (part)	06/04/19	J	A	
565.					Buy (add'l)	07/30/19	J		
566. Guidewire Software	A	Dividend	J	T	Sold (part)	06/06/19	J	B	
567. IDEXX Laboratories Inc.		None	K	T	Buy	03/05/19	J		
568.					Buy (add'l)	03/19/19	J		
569.					Sold (part)	08/28/19	J	B	
570. Illumina Inc.		None	J	T	Sold (part)	08/01/19	J	B	
571. Intuitive Surgical Inc.		None	K	T	Sold (part)	05/07/19	J	A	
572.					Buy (add'l)	09/05/19	J		
573. KAR Auction Services Ind.	A	Dividend			Sold	03/05/19	J	B	
574. Omnicell Inc.		None	K	T					
575. Palo Alto Networks		None	J	T	Sold (part)	02/06/19	J	A	
576.					Sold (part)	02/07/19	J	A	
577.					Buy (add'l)	05/03/19	J		
578.					Sold (part)	08/02/19	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579.					Sold (part)	09/24/19	J	A	
580. PayPal Holdings Inc.		None	K	T	Buy	01/08/19	J		
581.					Buy (add'l)	08/02/19	J		
582.					Buy (add'l)	08/29/19	J		
583. Penumbra Inc.		None	K	T	Buy	01/08/19	J		
584.					Buy (add'l)	01/15/19	J		
585.					Buy (add'l)	05/13/19	J		
586. Proofpoint, Inc.		None	K	T	Sold (part)	05/13/19	K	B	
587.					Buy (add'l)	06/20/19	J		
588. Salesforce.com		None	K	T	Sold (part)	02/15/19	J	C	
589.					Buy (add'l)	06/20/19	J		
590. Splunk Inc.		None	K	T	Sold (part)	02/07/19	J	A	
591.					Buy (add'l)	05/13/19	J		
592.					Sold (part)	08/28/19	J	A	
593.					Sold (part)	12/26/19	J	C	
594. Signature Bank NY	A	Dividend	K	T					
595. The Ultimate Software Group		None			Sold (part)	03/06/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596.					Sold	03/19/19	K	C	
597. Tyler Technologies		None	K	T	Buy	08/09/19	J		
598.					Buy (add'l)	09/24/19	J		
599. Ulta Salon		None	J	T	Sold (part)	09/05/19	J	A	
600. Vertex Pharmaceuticals		None	K	T	Buy (add'l)	06/20/19	J		
601.					Buy (add'l)	11/01/19	J		
602. Zendesk		None	J	T	Buy	12/05/19	J		
603. Broker Account - SRO, DSO, TO amd MO "(H)"									
604. Abbott Labs	A	Dividend	J	T	Buy	05/01/19	J		
605.					Buy (add'l)	06/20/19	J		
606. Accenture LTD C1 A	A	Dividend	J	T	Buy	05/01/19	J		
607.					Buy (add'l)	06/20/19	J		
608. Agilent Technologies	A	Dividend	J	T	Buy	05/01/19	J		
609.					Buy (add'l)	06/20/19	J		
610. Amazon.com Inc.		None	J	T	Buy	05/01/19	J		
611. American Tower Corp.	A	Dividend	J	T	Buy	08/22/19	J		
612. Amphenol Corp. C1 A	A	Dividend	J	T	Buy	06/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	08/22/19	J		
614. Apple Computer Inc.	A	Dividend	J	T	Buy	05/01/19	J		
615.					Buy (add'l)	08/20/19	J		
616. AptarGroup Inc.	A	Dividend	J	T	Buy	05/01/19	J		
617. Arista Networks Inc.		None			Buy	05/01/19	J		
618.					Buy (add'l)	05/10/19	J		
619.					Sold	11/01/19	J	A	
620. Ball Corp	A	Dividend	J	T	Buy	06/14/19	J		
621. Baxter Int'l Inc.	A	Dividend	J	T	Buy	06/14/19	J		
622.					Buy (add'l)	08/07/19	J		
623.					Buy (add'l)	08/28/19	J		
624. Becton Dickinson	A	Dividend	J	T	Buy	06/14/19	J		
625. BlackRock, Inc.	A	Dividend	J	T	Buy	06/20/19	J		
626.					Buy (add'l)	08/22/19	J		
627. Boeing Co.	A	Dividend	J	T	Buy	06/14/19	J		
628.					Buy (add'l)	08/22/19	J		
629. Broadcom Inc.	A	Dividend	J	T	Buy	05/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	06/11/19	J		
631. CVS Health Corp.	A	Dividend	J	T	Buy	05/01/19	J		
632. Chevron Corp.	A	Dividend	J	T	Buy	06/14/19	J		
633. Cisco Systems Inc.	A	Dividend	J	T	Buy	05/01/19	J		
634. Colgate-Palmolive Co.	A	Dividend	J	T	Buy	05/01/19	J		
635.					Buy (add'l)	06/20/19	J		
636. Comcast Corp. C1 A	A	Dividend	J	T	Buy	05/01/19	J		
637.					Buy (add'l)	06/14/19	J		
638.					Buy (add'l)	06/20/19	J		
639.					Buy (add'l)	08/22/19	J		
640. Corteva Inc., Split From Dow Dupont 06/03/19	A	Dividend			Buy	06/03/19	J		
641.					Sold	12/10/19	J	A	
642. Costco Wholesale	A	Dividend	J	T	Buy	06/14/19	J		
643. Discover Financial	A	Dividend	J	T	Buy	05/01/19	J		
644.					Buy (add'l)	06/20/19	J		
645. Dow Inc. f/k/a Dow Dupont f/k/a Dow Chemical Company	A	Dividend			Buy	04/01/19	J		
646.					Sold	12/10/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. DuPont de Nemours Inc., Split From Dow DuPont 04/01/19	A	Dividend			Buy	04/01/19	J		
648.					Sold	12/10/19	J		
649. Google Inc. C1 a/k/a A/Alphabet Inc. C1		None	J	T	Buy	05/01/19	J		
650.					Buy (add'l)	05/10/19	J		
651. EPAM Systems		None	J	T	Buy	05/01/19	J		
652.					Buy (add'l)	05/10/19	J		
653. Edwards Lifesciences		None	J	T	Buy	05/01/19	J		
654.					Buy (add'l)	05/10/19	J		
655. Equinix Inc.	A	Dividend	J	T	Buy	05/08/19	J		
656.					Buy (add'l)	06/20/19	J		
657. Estee Lauder C1 A	A	Dividend			Buy	05/01/19	J		
658.					Sold	08/30/19	J	A	
659. Euronet Worldwide Inc.		None	J	T	Buy	05/01/19	J		
660.					Buy (add'l)	05/10/19	J		
661.					Buy (add'l)	08/22/19	J		
662. Facebook Inc.		None	J	T	Buy	05/01/19	J		
663.					Buy (add'l)	08/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. Fastenal Co.	A	Dividend	J	T	Buy	05/01/19	J		
665. Fin Select SPDR ETF	A	Dividend	J	T	Buy	05/01/19	J		
666.					Buy (add'l)	06/14/19	J		
667. Fleetcor Technologies		None	J	T	Buy	05/01/19	J		
668.					Buy (add'l)	06/20/19	J		
669. General Dynamics	A	Dividend	J	T	Buy	08/20/19	J		
670. General Electric Co.	A	Dividend			Buy	02/05/19	J		
671.					Sold	04/09/19	J	A	
672. Global Pmts Inc.	A	Dividend	J	T	Buy	09/24/19	J		
673. Guidewire Software	A	Dividend	J	T	Buy	05/01/19	J		
674.					Buy (add'l)	05/10/19	J		
675. Home Depot	A	Dividend	J	T	Buy	06/14/19	J		
676. IDEXX Laboratories Inc.		None			Buy	05/01/19	J		
677.					Sold	08/28/19	J	A	
678. Illumina Inc.		None	J	T	Buy	05/01/19	J		
679. Intuitive Surgical Inc.		None	J	T	Buy	05/01/19	J		
680.					Buy (add'l)	05/10/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	09/05/19	J		
682. Johnson & Johnson	A	Dividend	J	T	Buy	06/14/19	J		
683. Marsh & McLennan	A	Dividend	J	T	Buy	08/20/19	J		
684. McCormick & Co.	A	Dividend	J	T	Buy	05/01/19	J		
685. Microsoft Corp.	A	Dividend	J	T	Buy	05/01/19	J		
686.					Buy (add'l)	06/20/19	J		
687. Mondelez Int'l Inc.	A	Dividend	J	T	Buy	05/01/19	J		
688. NVIDIA Corp.	A	Dividend	J	T	Buy	10/07/19	J		
689. Nike Inc.	A	Dividend	J	T	Buy	05/01/19	J		
690.					Buy (add'l)	08/22/19	J		
691. Omnicell Inc.		None	J	T	Buy	05/01/19	J		
692.					Buy (add'l)	05/13/19	J		
693.					Buy (add'l)	06/20/19	J		
694.					Buy (add'l)	08/22/19	J		
695. Palo Alto Networks		None	J	T	Buy	05/01/19	J		
696.					Buy (add'l)	05/10/19	J		
697. PayPal Holdings Inc.		None	J	T	Buy	05/01/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
698.					Buy (add'l)	08/22/19	J		
699.					Buy (add'l)	08/29/19	J		
700. Penumbra Inc.		None	J	T	Buy	05/10/19	J		
701.					Buy (add'l)	07/17/19	J		
702. PepsiCo Inc.	A	Dividend	J	T	Buy	05/01/19	J		
703. Proofpoint, Inc.		None	J	T	Buy	05/01/19	J		
704.					Buy (add'l)	08/22/19	J		
705. RBC Bank Account - Cash Equivalent	A	Interest	J	T	Buy	05/01/19	J		
706. Reins Grp of America	A	Dividend	J	T	Buy	06/20/19	J		
707. Republic Services	A	Dividend	J	T	Buy	06/20/19	J		
708. Ross Stores	A	Dividend	J	T	Buy	05/01/19	J		
709.					Buy (add'l)	06/14/19	J		
710.					Sold (part)	08/30/19	J	A	
711. Royal Caribbean Cruises Ltd.	A	Dividend	J	T	Buy	05/01/19	J		
712. Salesforce.com		None	J	T	Buy	05/01/19	J		
713.					Buy (add'l)	05/10/19	J		
714.					Buy (add'l)	08/22/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715. Schlumberger Ltd.	A	Dividend	J	T	Buy	05/01/19	J		
716.					Buy (add'l)	08/22/19	J		
717. Splunk Inc.		None	J	T	Buy	05/01/19	J		
718.					Buy (add'l)	05/10/19	J		
719.					Buy (add'l)	06/20/19	J		
720.					Sold (part)	12/26/19	J	A	
721. Starbucks Corp.	A	Dividend	J	T	Buy	05/01/19	J		
722.					Buy (add'l)	06/20/19	J		
723. Stryker Corp.	A	Dividend	J	T	Buy	05/01/19	J		
724. Total System Services	A	Dividend			Buy	05/01/19	J		
725.					Sold	06/11/19	J	A	
726. Tyler Technologies Inc.		None	J	T	Buy	08/12/19	J		
727.					Buy (add'l)	12/16/19	J		
728. Ulta Beauty Inc.		None	J	T	Buy	05/01/19	J		
729.					Buy (add'l)	05/10/19	J		
730. Union Pacific Corp.	A	Dividend	J	T	Buy	05/01/19	J		
731. United Health Group	A	Dividend			Buy	05/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732.					Buy (add'l)	06/14/19	J		
733.					Sold	10/16/19	J	A	
734. Vertex Pharmaceuticals		None	J	T	Buy	05/01/19	J		
735.					Buy (add'l)	05/13/19	J		
736.					Buy (add'l)	11/01/19	J		
737. Visa Inc. C1 A	A	Dividend	J	T	Buy	05/01/19	J		
738.					Buy (add'l)	06/20/19	J		
739.					Buy (add'l)	08/22/19	J		
740. Wabtec Corp.	A	Dividend			Buy	05/01/19	J		
741.					Buy (add'l)	08/22/19	J		
742.					Sold	11/14/19	J	A	
743. West Pharmaceutical Services	A	Dividend	J	T	Buy	05/01/19	J		
744.					Buy (add'l)	08/07/19	J		
745. Zendesk Inc.		None	J	T	Buy	12/16/19	J		
746. Zoetis Inc.	A	Dividend	J	T	Buy	05/01/19	J		
747.					Buy (add'l)	06/20/19	J		
748.					Sold (part)	08/07/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
749.					Buy (add'l)	11/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

05/07/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 51 of 51

Name of Person Reporting

Opperman, Daniel S.

Date of Report

05/07/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel S. Opperman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544