

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eddy, Cynthia R.	2. Court or Organization U.S. District Court, Western District of Pennsylvania	3. Date of Report 04/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court, Western District of Pennsylvania 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Eddy, Cynthia R.

Date of Report

04/29/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Eddy, Cynthia R.	04/29/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. PNC Bank (cash)	A	Interest	M	T					
3. IRA #1 (H)									
4. PNC Bank Deposit Sweep (cash)	A	Interest	J	T	Open	01/23/19	J		
5. PNC Treasury Money Market Fd Cl A (PRAXX) (cash)	A	Dividend			Sold (part)	01/11/19	J		
6.					Sold	01/23/19	J		
7. American Century Mid Cap Value Inv (ACMVX)	A	Dividend	J	T					
8. American Century Small Cap Val Fd Inv (ASVIX)	A	Dividend	J	T					
9. American Growth Fund of America F1 (GFFFX)	C	Dividend	K	T	Sold (part)	08/08/19	K	B	
10. Calvert Emerging Markets Equity CL I (CVMIX)	A	Dividend	K	T	Buy	08/08/19	K		
11. Harding Loevner Intl Equity Port Inv (HLMIX)	A	Dividend	K	T					
12. Invesco Developing Markets Fd A (GTDYX)	A	Dividend	J	T					
13. JP Morgan Advantage Fd Cl I (JVASX)	C	Dividend	L	T	Sold (part)	08/07/19	J	A	
14. Lazard Global Listed Infra Instl (GLIFX)	B	Dividend	K	T					
15. T Rowe Price Intl Discovery Fund (PRIDX)	A	Dividend	J	T	Buy (add'l)	08/07/19	K		
16. T Rowe Price Mid Cap Growth (RPMGX)	B	Dividend	K	T					
17. T Rowe Price Real Estate Fund (TRREX)	C	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Eddy, Cynthia R.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Federated Total Return Bond Is (FTRBX)	C	Dividend	L	T					
19. Fidelity Advisor Floating Rate (FFRIX)	A	Dividend			Sold	04/08/19	K		
20. Lord Abbett Short Duration Inc Cl F (LDLFX)	B	Dividend	K	T	Buy (add'l)	04/08/19	K		
21. Pimco Real Return Fund Cl I3 (PRNPX)	A	Dividend			Sold	04/08/19	K		
22. Invesco Exchange FTSE RAFI 1000 (PRF)	B	Dividend	L	T	Sold (part)	08/08/19	J	A	
23. Vanguard Index Funds Vanguard Small Cap Growth Vipers (VBK)	A	Dividend	J	T					
24. Vanguard Scottsdale Funds Russell (VONG)	A	Dividend	L	T	Sold (part)	06/12/19	J		
25.					Sold (part)	08/08/19	J	D	
26. IRA #2 (H)									
27. PNC Bank Deposit Sweep (cash)	A	Interest	L	T	Open	01/23/19	L		
28. Federated Prime Cash Obligations Fund SS (PRCXX) (cash)	A	Dividend			Sold	01/23/19	L		
29. Lululemon Athletica Inc (LULU)		None	K	T					
30. GS Fin Corp Mtn Zero Cpn (40054LS39)		None	L	T					
31. IRA #3 (H) (X)									
32. PNC Bank Deposit Sweep (cash)	A	Interest	J	T	Open	01/23/19	J		
33. PNC Treasury Money Market Fd Cl A (PRAXX)	A	Dividend			Sold (part)	01/11/19	J		
34.					Sold	01/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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04/29/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. American Century Mid Cap Value Inv (ACMVX)	A	Dividend	K	T	Buy (add'l)	10/17/19	J		
36.					Sold (part)	12/11/19	J		
37. American Century Small Cap Val Fd Inv (ASVIX)	A	Dividend	J	T	Buy (add'l)	10/17/19	J		
38. American Growth Fund of America F1 (GFFFX)	C	Dividend	L	T	Sold (part)	08/08/19	K	B	
39.					Sold (part)	12/12/19	J	A	
40. Calvert Emerging Markets Equity CL I (CVMIX)	A	Dividend	L	T	Buy	08/08/19	K		
41. Harding Loevner Intl Equity Port Inv (HLMIX)	B	Dividend	L	T	Buy (add'l)	10/17/19	J		
42.					Sold (part)	12/11/19	J	A	
43. Invesco Developing Markets Fd A (GTDYX)	A	Dividend	K	T					
44. JP Morgan Advantage Fd CI I (JVASX)	C	Dividend	L	T	Buy (add'l)	10/17/19	J		
45.					Sold (part)	12/11/19	J	A	
46. Lazard Global Listed Infra Instl (GLIFX)	B	Dividend	K	T	Sold (part)	12/11/19	J	A	
47. T Rowe Price Intl Discovery Fund (PRIDX)	A	Dividend	J	T	Buy (add'l)	08/07/19	K		
48. T Rowe Price Mid Cap Growth (RPMGX)	B	Dividend	K	T	Sold (part)	12/11/19	J	A	
49. T Rowe Price Real Estate Fund (TRREX)	C	Dividend	K	T					
50. Federated Total Return Bond Is (FTRBX)	D	Dividend	M	T	Buy (add'l)	08/08/19	K		
51.					Buy (add'l)	10/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold (part)	12/11/19	J	A	
53. Fidelity Advisor Floating Rate (FFRIX)	A	Dividend			Sold	04/08/19	K		
54. Lord Abbett Short Duration Inc Cl F (LDLFX)	C	Dividend	L	T	Buy (add'l)	04/08/19	J		
55.					Buy (add'l)	10/17/19	J		
56. Pimco Real Return Fund Cl I3 (PRNPX)	A	Dividend			Sold	04/08/19	K		
57. Invesco Exchange FTSE RAFI 1000 (PRF)	B	Dividend	L	T	Buy (add'l)	10/18/19	J		
58.					Sold (part)	12/12/19	J	A	
59. Vanguard Index Funds Vanguard Small Cap Growth Vipers (VBK)	A	Dividend	J	T					
60. Vanguard Scottsdale Funds Russell (VONG)	A	Dividend	L	T	Sold (part)	08/08/19	K	D	
61.					Sold (part)	12/12/19	J	A	
62. BROKERAGE #1 (H)									
63. PNC Bank Deposit Sweep (cash)	A	Interest	K	T	Open	01/23/19	K		
64. PNC Treasury MM (cash)	A	Interest			Closed	01/23/19	K		
65. American Funds Europacific (AEGFX)	A	Dividend	J	T					
66. Blackrock Capital Appreciation (MDFGX)	A	Dividend	J	T					
67. Blackrock Equity Dividend (MDDVX)	A	Dividend	J	T					
68. Carillon Eagle Mid Cap Growth (HAGAX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Franklin Utilities (FKUTX)	A	Dividend	J	T					
70. Invesco Oppenheimer Developing Markets (ODMAX)	A	Dividend	J	T					
71. Royce Special Equity (RYSEX)	A	Dividend	J	T					
72. Aberdeen Ultra Short Municipal Inc Instl (ATOIX)	A	Dividend	J	T					
73. Blackrock ST Municipal Fund (MELMX)	A	Dividend	J	T					
74. Invesco Limited Term Muni Income Fund (ATFAX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Eddy, Cynthia R.

Date of Report

04/29/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2018, IRA #1 and IRA #3 were reported collectively as IRA #1. For greater clarity, they are listed separately in the 2019 report. There are no reportable transactions associated with this adjustment.

Part VII, lines 13 and 44: Corporate exchange only, no reportable transaction.

Part VII, lines 21 and 56: Symbol change only; no reportable transaction.

Part VII, lines 22-24, 57, 59, 60, 67, 70, and 74: Name changes only; no reportable transactions.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Eddy, Cynthia R.

Date of Report

04/29/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cynthia R. Eddy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544