

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawson, Jr., Roger H.	2. Court or Organization Middle District, Georgia	3. Date of Report 05/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address P.O. Box 838 475 Mulberry Street Macon, Georgia 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/96	Georgia Retirement System for Superior Court Judges
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Georgia Judicial Retirement System-Retirement	\$95,408.76
2. 2019	Judicial Retirees & Survivors Admin Office of the US Courts	\$204,526.74
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Teacher's Retirement System of Georgia--Retirement
2. 2019	First United Methodist Church--Wages
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. John Deere Credit	Tractor Loan	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Sunmark Bacnshares, Inc.	A	Dividend	J	U					
2. Merrill Lynch IRA									
3. --Merrill Lynch - Bank of America	A	Interest	J	T					
4. --Lincoln National Life Legacy III Plus		None	L	T					
5. Merrill Lynch									
6. --Merrill Lynch Bank USA		None	K	T					
7. --Transamerica Life Ins. Co. - Transamerica Landmark Annuity		None	L	T					
8. --Transamerica Life Ins. Co. - Transamerica Landmark Annuity		None	K	T					
9. 270.00 Acres, Hawkinsville, Pulaski County, GA		None	N	W					
10. Wells Fargo-High Yield Bond Fund A	A	Dividend	K	T					
11. Franklin Georgia Tax-Free Income Fund- Class A	A	Dividend	J	T					
12. Rental House, ██████████ Hawkinsville, Pulaski County, GA	C	Rent	K	W					
13. Subdivision, Pulaski County, Georgia Und. Interest		None	K	W					
14. Rental House, ██████████ , Hawkinsville,GA Und Inter	D	Rent	K	W					
15. Merrill Lynch									
16. Merrill Lynch Deposit Account	A	Dividend	K	T					
17. --Regions Financial Corp	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. --Pimco Income Fund Cl C	B	Dividend	K	T					
19. --Aralez Pharmaceuticals		None	J	T					
20. --Nuveen Georgia Muni Bond Fund	E	Dividend	O	T					
21. --Virnetx Hldg Corp		None	J	T					
22. Sunmark Community Bank-Accounts	A	Interest	J	T					
23. Merrill Lynch									
24. --Merrill Lynch Deposit Account	A	Interest	J	T					
25. --Abbott Laboratories Common	A	Dividend	J	T					
26. --American Intl Group Inc Common	A	Dividend	J	T					
27. --Abbvie Inc SHS	A	Dividend	J	T					
28. --A T & T Inc	A	Dividend	J	T					
29. --Automatic Data Processing Inc Common	A	Dividend	J	T					
30. --Chevron Texaco Corp Common	A	Dividend	J	T					
31. --Cintas Corp Common	A	Dividend	K	T					
32. --CDK Global Inc SHS	A	Dividend	J	T					
33. --Coca-Cola Co Common	A	Dividend	J	T					
34. --General Electric Co Common	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35. --Walt Disney Co Common	A	Dividend	K	T					
36. --Dupont De Nemours Inc	A	Dividend	J	T					
37. --Dow Inc Reg	A	Dividend	J	T					
38. --Pareteum Corp		None	J	T					
39. --Exxon Mobile Corp Common	A	Dividend	J	T					
40. --Illinois Tool Works Inc	A	Dividend	J	T					
41. --Eli Lilly & Co Common	A	Dividend	J	T					
42. --McDonald's Corp Common	A	Dividend	J	T					
43. --Medtronic Inc Common	A	Dividend	J	T					
44. --Pfizer Inc Common	A	Dividend	J	T					
45. --Proctor & Gamble Co Common	A	Dividend	J	T					
46. --Western Asset Managed Municipals Fd Cl	C	Dividend	M	T					
47. --Walgreens Boots Alliance Inc	A	Dividend	J	T					
48. --Chemours Co	A	Dividend	J	T					
49. --Wells Fargo & Co New Common	A	Dividend	J	T					
50. --WT 01 21 American Intl		None	J	T					
51. --Western Assets Managed Municipals	C	Dividend	M	T					

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52. Merck and Co Inc	A	Dividend	J	T					
53. Merrill Lynch									
54. --Blackrock Strategic Income Oprrts	A	Dividend	J	T					
55. --IShares MSCI EAFE Index	A	Dividend	J	T					
56. --IShares Select	A	Dividend	J	T					
57. --IShares Edge MSCI	A	Dividend	K	T					
58. --IShares S & P 100	A	Dividend	J	T					
59. --IShares Core High Dividend	A	Dividend	K	T					
60. --Lord Abbett Bond	A	Dividend	J	T					
61. --Pimco Income Fund	B	Dividend	K	T					
62. --IShares Inc Core MSCI Emerging Mkts					Sold	05/29/19	J	A	
63. --Amer Funds Income Funds	A	Dividend	J	T					
64. --American Capital Income	A	Dividend	J	T					
65. --Vanguard Value ETF	A	Dividend	K	T					
66. --Vanguard Growth ETF	A	Dividend	K	T					
67. --First Trust Exchange Traded Fd					Sold	05/29/19	J	A	
68. --JP Morgan Exchange ETF	A	Dividend			Sold	05/29/19	J	A	

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69. --First Trust Low Duration	A	Dividend			Sold	05/29/19	J	A	
70. --Lord Abbett Short Duration	A	Dividend			Sold	05/29/19	J	A	
71. --Pimco Low Duration	A	Dividend	J	T					
72. --Lord Abbett Ultra Short	A	Dividend	J	T					
73. --First Trust Enhanced	A	Dividend			Sold	05/29/19	J	A	
74. --iShares Core S & P Total	A	Dividend	J	T					
75. --Invesco S & P 500 Low Volatility	A	Dividend	K	T					
76. --WABTEC	A	Dividend	J	T	Buy	03/01/19	J		
77.					Sold (part)	03/04/19	J	A	
78. --Blackrock Total Return	A	Dividend	J	T	Buy	05/29/19	J		
79. --Davis Global Fund	A	Dividend	K	T	Buy	05/29/19	K		
80. --Corteva inc Reg SHS	A	Dividend	J	T	Spinoff (from line 36)	06/03/19	J		
81.					Sold (part)	06/06/19	J	A	
82.									
83.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line 36, Dowdupont Inc Com changed its name to Dupont De Nemours Inc in June 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Roger H. Lawson, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544