

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Friot, Stephen P.	2. Court or Organization District Court - Western District of Oklahoma	3. Date of Report 07/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 200 N.W. 4th St. Oklahoma City, OK 73102		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Troop Committee, Troop 4, Last Frontier Council, Boy Scouts of America
2. Director and Member of the Executive Committee	Last Frontier Council, Inc., Boy Scouts of America
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

07/07/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Saratov State Law Academy	Mar 29-Apr 7, 2019	Saratov, Russian Federation	Guest lecturer	Transportation, meals, lodging
2. Pericles Law Center	May 11-28, 2019	Moscow, Russian Federation	Guest lecturer	Transportation, meals, lodging
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Friot, Stephen P.	07/07/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. BANCFIRST (cash) (X)	A	Interest	J	T					
3. MIDFIRST BANK (cash)	A	Interest	L	T					
4. CAN IMP BANK OF COMMERCE (cash)	A	Interest	L	T					
5. T-C S&P 500 IND-RTMT	C	Dividend	M	T	Sold (part)	11/01/19	J		
6. 403(b) TIAA RETIREMENT VARIABLE ANNUITY (H)									
7. CREF STOCK R1	A	Interest	M	T	Sold (part)	11/01/19	J		
8. TIAA SUPPLEMENTAL RET VARIABLE ANNUITY (H)									
9. TIAA TRADITIONAL (cash)	A	Interest	K	T					
10. CREF STOCK R1		None	L	T	Sold (part)	11/01/19	J		
11. CREF MONEY MARKET R1	D	Interest	J	T					
12. IRA #1 (H)									
13. TIAA-CREF TRUST CO (cash)	A	Interest	K	T					
14. ARTISAN MIDCAP VALUE (APDQX)	A	Dividend	K	T	Buy (add'l)	11/25/19	J		
15. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	K	T	Sold (part)	02/04/19	J		
16. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	K	T	Sold (part)	02/22/19	J		
17.					Buy (add'l)	08/20/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Content: Friot, Stephen P., 07/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows 18-34 list various funds and transactions.

Table with 6 columns: 1 Income Gain Codes, 2 Value Codes, 3 Value Method Codes, and corresponding ranges and descriptions for each.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	06/28/19	J		
36.					Sold	07/17/19	M		
37. FEDERATED TOTAL RETURN (FTRBX)	B	Dividend			Sold (part)	02/04/19	J		
38.					Buy (add'l)	02/22/19	J		
39.					Buy (add'l)	04/03/19	J		
40.					Sold	07/17/19	M		
41. TIAA-CREF HIGH YIELD FUND (TIHYX)	A	Dividend			Sold	08/20/19	K		
42. BAIRD CORE PLUS BOND FUND (BCOIX)	B	Dividend	M	T	Buy	07/17/19	M		
43. ISHARES IBOXX USD HIGH YIELD (HYG)	A	Dividend	J	T	Buy	08/20/19	J		
44.					Buy (add'l)	12/24/19	J		
45. VANGUARD SHORT TERM BOND INDEX (VBIRX)	A	Dividend	J	T	Sold (part)	02/22/19	K		
46. VANGUARD TOTAL BOND MARKET INDEX (VBT LX)	B	Dividend			Sold	08/05/19	L	C	
47. DFA INFLATION PROTECTED SEC FUND (DIPSX)		None			Sold	02/22/19	J		
48. WELLS FARGO SHORT-TERM HIGH YIELD BOND (STYIX)	A	Dividend	J	T	Buy	09/17/19	J		
49. WESTERN ASSET CORE BOND FUND (WAC SX)	B	Dividend	M	T	Buy	07/17/19	L		
50.					Buy (add'l)	08/05/19	L		
51.					Buy (add'l)	08/06/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	08/20/19	K		
53.					Buy (add'l)	12/16/19	J		
54.					Buy (add'l)	12/23/19	J		
55. TRUST #1 (H)									
56. ISHARES RUSSELL MID-CAP GROWTH (IWP) (X)	A	Dividend	J	T					
57. VANGUARD GROWTH ETF (VUG)	A	Dividend	J	T	Sold (part)	06/19/19	J		
58. VANGUARD IND FD MID-CAP (VO)	A	Dividend	J	T					
59. VANGUARD MID-CAP VALUE INDEX (VOE)	A	Dividend	J	T					
60. VANGUARD SMALL-CAP ETF (VB)	A	Dividend	J	T	Sold (part)	02/20/19	J		
61. VANGUARD VALUE ETF (VTV)	A	Dividend	J	T	Sold (part)	03/20/19	J		
62. ISHARES CORE MSCI EAFE ETF (IEFA) (Y)									
63. ISHARES CORE MSCI EMERGING (IEMG)	A	Dividend	J	T					
64. ISHARES MSCI EAFE SMALL CAP ETF (SCZ)	A	Dividend	J	T					
65. VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	A	Dividend	J	T	Buy (add'l)	02/20/19	J		
66.					Sold (part)	10/18/19	J		
67. VANGUARD FTSE EMERGING MARKETS (VWO)	A	Dividend	J	T	Buy	02/20/19	J		
68. NUVEEN INTER DUR MUNI BOND I (NUVBX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. WELLS FARGO MUNICIPAL BOND FD (WMBRX)	A	Dividend	J	T	Buy (add'l)	07/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Friot, Stephen P.	07/07/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

07/07/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen P. Friot**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544