

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwell, Robert B.	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 05/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 401 West Evans Street McMillan Federal Bldg Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer or Director	Harwell Farms and Investment, Inc.
2. Personal Representative / Executor	Estate of [REDACTED]
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/19	South Carolina Judges - Solicitors Retirement System Survivors Annuity (named beneficiary of monthly survivor annuity from deceased [REDACTED])	\$20,236.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Harwell, Robert B.	05/07/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo &Co Common Stock(and former Wachovia Bank Stock)	A	Dividend	J	T					
2. Autoliv Inc. Common Stock	A	Dividend	J	T					
3. First Reliance Bank "Account"	A	Interest	M	T	Buy	07/03/17			
4. The Bank of Clarendon "Account"	A	Interest	M	T					
5. South State Bank "Account"			M	T	Matured	08/03/19	M		
6. South State Bank "Account"	A	Interest	M	T	Open	10/23/19	M		
7. PNC Bank "Account"	A	Interest	M	T	Matured	08/03/19	M		
8. Anderson Brothers Bank "Account"	A	Interest	M	T					
9. Conway National Bank "Account"	A	Interest	M	T					
10. BB&T Bank "Accounts"	A	Interest	N	T					
11. Harwell Farms & Investment 12 1/2% 12/31/04 compiled \$31M	A	Dividend	K	U					
12. PCDG, LLC (10%) 1998 & 2000 \$32,000		None	K	R					
13. SC Future Scholar Plan #1, Age-Based Moderate Track					Redeemed	10/15/19	K		
14. SC Future Scholar Plan #2, Age-Baseed Moderate Track		None	K	T					
15. Claussen Farms, Florence, SC (1999 - \$125,000)	F	None	M	R					
16. Wells Fargo Beneficiary IRA- First Clearing LLC (H)									
17. -Cash account(Wells Fargo cash and sweep balance)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Bank of America stock	A	Dividend	K	T					
19. -BB&T stock	B	Dividend	L	T					
20. -Duetsche Inv. Trust Core Equity Fund	A	Dividend	K	T	Redeemed (part)	10/10/19	J		
21. Edward Jones Beneficiary IRA(H)									
22. -Amcap Fund - Class A	A	Dividend	K	T	Redeemed (part)	10/02/19	J		
23. -Capital Income Builder Class A	A	Dividend	K	T	Redeemed (part)	10/02/19	J		
24. -Europacific Fund- Class A	A	Dividend	K	T	Redeemed (part)	10/02/19	J		
25. -Income Fund of America - Class A	B	Dividend	L	T	Redeemed (part)	10/02/19	J		
26. -New World Fund - Class A	A	Dividend	J	T	Redeemed (part)	10/02/19	J		
27. -Small Cap World Fund - Class A	A	Dividend	J	T	Redeemed (part)	10/02/19	J		
28. -New Economy Fund - Class A	A	Dividend	K	T	Redeemed (part)	10/02/19	J		
29. Estate #1 (50%)(H)									see Note #1
30. -Wells Fargo cash account	A	Interest	M	T					
31. -Bell Legal Group Georgetown SCAccounts Receivable					Closed	12/20/19	J		
32. Wells Fargo Securities Account(H)									
33. -Wells Fargo Cash Account	A	Interest	K	T	Redeemed (part)	08/11/19	N		
34. -FidelityInvMM FDS Instl FNSXX	A	Interest	M	T	Open	08/11/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -JP Morgan LiquidAssetsMM FundInst SHSCL 1 IJLXX	A	Interest	M	T	Open	08/11/19	M		
36. -Goldman SachsFundsGoldmanSachInv MMFund CL1 FMJXX	A	Interest	M	T	Open	08/11/19	M		
37. -BB&T Corp Common Stock	D	Dividend	N	T					
38. -Toronto Dominion Bank Common stock	A	Dividend	J	T					
39. -Bank of America Common stock	A	Dividend	K	T					
40. -American Express Co. Common Stock	A	Dividend	K	T					
41. -Ameriprise Financial Inc. Common Stock	A	Dividend	J	T					
42. -Chevron Corp. Common Stock	A	Dividend	J	T					
43. -Corning Inc, Common Stock	A	Dividend	J	T					
44. -Fannie Mae Common Stock		None	J	T					
45. -Home Depot Inc. Common Stock	A	Dividend	J	T					
46. -Medtronic PLC Common Stock	A	Dividend	K	T					
47. -Target Corp Common Stock	A	Dividend	K	T					
48. -Deutsche Invtr TR Core Equity FD Class S	A	Int./Div.	L	T					
49. -High 50 Dividend Strategy Series 15-3Q CA	A	Int./Div.	J	T					
50. A Services, LLC & ASG Services LLC (17500units)	C	Distribution	J	V					
51. PershingLLC(Cetera Advisors)IRA#1(H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Altria Group Inc common stock		None	J	T					
53. -Amgen Inc common stock	A	Dividend	J	T					
54. -Apple Inc common stock	A	Dividend	J	T					
55. -Apple inc common stock	A	Dividend	J	T					
56. -BP PLC Spon ADR common stock	A	Dividend	J	T					
57. -Centurylink common stock	A	Dividend	J	T					
58. -Centurylink common stock	A	Dividend	J	T					
59. -Citigroup Inc common stock	A	Dividend	J	T					
60. -Duke Energy Corp common stock	A	Dividend	J	T					
61. -General Mills Inc.commonstock	A	Dividend	J	T					
62. -Johnson&Johnson common stock	A	Dividend	J	T					
63. -Phillip Morris Intl. Inc common stock					Sold	07/15/19	J		see note #2
64. -Nvidia Corp comm	A	Dividend	J	T	Buy	07/17/19	J		
65. -Verizon Comm common stock	A	Dividend	J	T					
66. -Pimco Income Fund Class A		None	L	T					
67. -Cash Account(Pershing LLC-Flexinsured FDIC acct)	A	Interest	J	T					
68. Pershing LLC(Cetera Advisors) IRA#2(H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Cash Acct(PershingLLC-Flexinsured FDIC acct)	A	Interest	K	T					
70. -Apple Inc common stock	A	Dividend	K	T					
71. -BP PLC Spons ADR	B	Dividend	K	T					
72. -Boeing Co. common stock	A	Dividend	K	T					
73. -Bristol Myers Squibb common stock	A	Dividend	K	T					
74. -Cisco Systems Inc common stock	A	Dividend	K	T					
75. -Coca Cola Co. common stock	A	Dividend	K	T					
76. -Duke Energy common stock	A	Dividend	K	T					
77. -Centurylink Inc Com	A	Dividend	J	T	Buy	01/02/19	J		
78. -General Mills Inc. common stock	A	Dividend	K	T					
79. -Verizon Comm.common stock	A	Dividend	K	T					
80. -Pimco Income Fund Class A	C	Dividend	M	T					
81. Pershing LLC(Cetera Advisors) Individual Account#1 (H)									
82. -Cash Account (PershingLLC-Flexinsured FDICacct)	A	Interest	J	T					
83. -Ally BK Sandy Utah CTF	A	Interest	M	T					
84. -Altria Group common stock		None			Sold	07/15/19	K		see note #2
85. -Apple Inc.Com	A	Dividend	K	T	Buy	07/15/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Toronto Dominion BK coomon stock	A	Dividend	K	T					
87. -DFA Tax Managed US Marketwide Value Portfolio II	B	Int./Div.	L	T					
88. PershingLLC(Cetera Advisors)Individual Account#2 (H)									
89. -Ally BK Sandy Utah CTF	B	Interest	L	T					
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									
104.									
105.									
106.									
107.									
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1. I am 50% beneficiary of gross values shown

Note #2. .For line 63 and 84, the Altria and Phillip Morris stocks, I had a loss and no gainthe gain code did not have an option for losses so i left the column under "gain code" blank.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Harwell, Robert B.

Date of Report

05/07/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert B. Harwell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544