

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	- Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NY Intellectual Property Lawyers Association	4/1/2016-4/2/2016	New York City	Annual Dinner	Meals, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Harvard College	Tuition and Fees	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	L	T					
2. Citibank Bank Accounts		None	K	T					
3. Aberdeen Asia Pac Income (FAX)	C	Int./Div.	K	T	Sold (part)	04/25/16	K		
4. Angel Oak Multistrat Income (ANGIX)	B	Int./Div.	K	T					
5. Black Rock A Intl Index (MDIIX)	B	Int./Div.	L	T					
6. Columbia A Small Cap Index (NMSAX)	C	Int./Div.	L	T	Buy (add'l)	12/29/16	J		
7. Consolidated Edison (ED)	A	Dividend	K	T					
8. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
9. Disney (DIS)	A	Dividend	L	T					
10. DoubleLine I Total Return Bond (DBLTX)	C	Int./Div.	L	T					
11. Dreyfus S&P 500 Index (PEOPX)	E	Int./Div.	M	T	Buy (add'l)	01/05/16	K		
12.					Buy (add'l)	01/27/16	K		
13.					Buy (add'l)	04/25/16	J		
14.					Buy (add'l)	12/29/16	J		
15. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	K	T					
16. Fidelity Equity Income (FEQIX)	A	Int./Div.	J	T					
17. Fidelity Floating Rate High Income (FFRHX)	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.			Closed	02/11/16	J		
19. Fidelity Retirement Money Market (FRTXX)	A	Int./Div.	L	T					
20. Fidelity Spartan Emerging Markets Index Advantage (FPMAX)	A	Int./Div.	K	T					
21. Fidelity Treasury Fund (FZFX)	A	Dividend	K	T	Open	02/11/16	J		
22. Franklin High Income A (FHAIX)	C	Int./Div.	K	T					
23. Great-West Lifetime 2055 Fund II T1 (Y)									
24. iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.	K	T					
25. iShares iBoxx High Yield Corp Bd (HYG)		None			Sold	01/05/16	J		
26. iShares iBoxx Investment Grade Corp Bond (LQD)	B	Int./Div.	K	T					
27. iShares Trust Core MSCI Total International Stock ETF (IXUS)	A	Int./Div.	K	T	Buy	04/25/16	J		
28.					Buy (add'l)	06/24/16	J		
29. iShares S&P Global Timber & Forestry Index (WOOD)		None			Sold	04/25/16	J	D	
30. Johnson & Johnson (JNJ)	C	Int./Div.	M	T					
31. Nuveen Build Amer Bd Fd (NBB)	B	Int./Div.	K	T	Sold (part)	06/01/16	K	C	
32.					Sold (part)	07/05/16	K	C	
33. Nuveen Build America (NBD)	D	Int./Div.	L	T					
34. Nuveen Credit Strategies Income Fund (JQC)	B	Int./Div.			Sold	10/19/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Nuveen NY Select (NXN)	A	Int./Div.	J	T					
36. PIMCO Income Institutional (PIMIX)	B	Int./Div.	L	T	Buy	06/01/16	L		
37. Powershares Build America Bond (BAB)	B	Int./Div.	L	T	Buy (add'l)	09/14/16	K		
38.					Buy (add'l)	10/19/16	K		
39. SPDR Barclays Municipal (TFI)	A	Int./Div.	K	T					
40. SPDR Blackstone GSO Sr Loan (SRLN)	A	Int./Div.			Sold	04/25/16	K		
41. SPDR S&P Emerging Markets Small Cap (EWX)	A	Int./Div.	J	T					
42. SPRTN Total Mkt Indx Investor Class (FSTMX) (Y)									
43. Vanguard GNMA Admiral (VFIJX)	A	Int./Div.	K	T	Buy	08/11/16	L		
44. Vanguard High Yield Corporate Admiral (VWEAX)	B	Int./Div.	K	T	Buy	01/05/16	K		
45. Zimmer Biomet Holdings Inc (ZBH)	A	Dividend	K	T					
46. New York 529 Inflation Protected Securities Portfolio		None			Sold	01/04/16	K		
47. TRUST #1 (H)									
48. -Aberdeen Asia Pac Income (FAX)	C	Int./Div.	K	T	Sold (part)	03/29/16	K	A	
49. DFA Emerging Market Value (DFEVX)	A	Int./Div.	L	T	Buy	01/08/16	K		
50. -Fidelity New York Municipal Income (FTFMX)	B	Int./Div.	L	T					
51. -Fidelity New York Municipal Money (FNYXX) Market (FNYXX)	A	Int./Div.			Closed	02/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Fidelity Spartan 500 Index Advantage (FUSVX)	C	Int./Div.	M	T					
53. -Fidelity Spartan Extended Market Index Adv (FSEVX)	B	Int./Div.	K	T					
54. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
55. -Fidelity Spartan Total Market Index - Adv (FSTVX)	B	Int./Div.	M	T					
56. Fidelity Treasury Fund (FZFXX)	A	Int./Div.	L	T	Open	02/11/16	J		
57. -iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.			Sold	01/08/16	K		
58. iShares Barclays 7-10 Yr Treasury Bond (IEF) (IEF)	A	Int./Div.	K	T	Buy	12/21/16	K		
59. -iShares MSCI EAFE Index (EFA)	C	Int./Div.	M	T					
60. -iShares MSCI Emerging Markets Index (EEM)	A	Int./Div.	M	T					
61. -iShares Russell 1000 Index (IWB)	A	Int./Div.	K	T					
62. -iShares S&P 500 Index (IVV)	B	Int./Div.	M	T	Buy	01/15/16	K		
63.					Sold (part)	03/03/16	J		
64.					Sold (part)	03/29/16	J	A	
65. -iShares S&P 500 Value (IVE)	A	Int./Div.			Sold	01/15/16	J		
66. -iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	L	T					
67. -Nuveen Credit Strategies Income Fund (JQC)	B	Int./Div.	K	T					
68. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	K	T					

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69. -Nuveen NY Municipal Dividend Advantage (NAN)	C	Int./Div.	L	T	Sold (part)	01/15/16	K	C	
70. -Payden High Income (PYHRX)	C	Int./Div.	L	T	Buy (add'l)	01/27/16	K		
71. -Plum Creek Timber Co (PCL)	A	Dividend			Merged (with line 78)	02/19/16	J		
72. -S&P Dep Receipts (SPY)	B	Int./Div.	K	T					
73. -SPDR Barclays Municipal (TFI)	B	Int./Div.	L	T					
74. -SPDR Dow Jones Industrial Average (DIA)	B	Int./Div.	K	T					
75. -TCW Total Return Bond I (TGLMX)	B	Int./Div.	K	T					
76. -Vanguard FTSE (VSS)	A	Int./Div.	K	T					
77. -Vanguard Short Term Corp Bond (VCSH)	B	Int./Div.	M	T	Buy (add'l)	03/29/16	L		
78. Weyerhaeuser (WY) (Formerly Plum Creek Timber Co (PCL))	A	Int./Div.	J	T					
79.									
80.									
81.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Great-West Lifetime 2055 Fund II T1 (line 23) was owned by a child who is not a dependent in 2016.

Fidelity converted all holdings of Fidelity New York Municipal Money Market (lines 18, 51) to Fidelity Treasury Fund (lines 21, 56) on 2/11/16

Plum Creek Timber Co. (line 71) merged with Weyerhaeuser (line 78) on 2/19/16. Each share of PCL was converted to 1.6 shares of WY.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul E. Davison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544