

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PARKER, JAMES A.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 04/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DIST.JUDGE(SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 421 Gold Ave., S.W. ALBUQUERQUE, N.M. 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Manager	JFPF Family, LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting PARKER, JAMES A.	Date of Report 04/24/2020
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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
PARKER, JAMES A.	04/24/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bascom ValueAdded Apartment Investors II	A	Interest	K	U					
2. Bank of Am Checking/Savings (Albuquerque, NM)	B	Interest	O	T					
3. Bank of Am Checking /SavingsAcct (LLC) (Denver, CO)	A	Interest	M	T					
4. REAL ESTATE INTERESTS (H)									
5. Parcel #1, Harris Co., TX (Undivided 50%) (LLC) Assessed:\$507,822		None	J	S					
6.									
7. Parcel #1, Live Oak Co., TX (Undivided 50%) (LLC) Assessed: \$25,679	A	Rent	J	S					
8. Parcel #1, Bernalillo Co. NM (Undivided 75%) (LLC) Assessed:\$122,075		None	M	S	Buy (add'l)	01/31/19	L		Beverly Parker Weaver
9. Parcel #2, Bernalillo Co., NM (Undivided 50%) (LLC) Assessed: \$32,850		None	J	S					
10. Parcel #1, La Plata Co., CO (Undivided 50%) (LLC) Assessed: \$31,210	D	Rent	J	S					
11. MINERAL PROPERTIES OKLAHOMA: (H)									
12. Love Co.	C	Royalty	J	W					
13. MINERAL PROPERTIES TEXAS: (H)									
14. Live Oak Co (LLC)	A	Royalty	J	W					
15. MINERAL PROPERTIES COLORADO: (H)									
16. Adams Co.(LLC)	A	Distribution	J	U					
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Weld Co. (LLC)	C	Distribution	J	U					
19. Washington Co. (LLC)	A	Distribution	J	U					
20.									
21. Westfield Res. Partners (LLC)	A	Interest	M	W					
22. Denver Minerals & Royalty	E	Distribution	M	W					
23.									
24. FIDUCIARY TRUST INT'L ACCOUNT: (H)									
25. Celgene Corp		None			Merged (with line 27)	11/21/19	K		
26. Franklin Int'l Growth Fund	A	Interest	K	T	Sold (part)	04/16/19	J	B	
27. Bristol-Myers Squibb		None	K	T					
28. Franklin Custodian Fds Growth Adv Class	C	Int./Div.	N	T	Sold (part)	04/16/19	L	E	
29. Franklin Small Cap	A	Dividend	M	T	Sold (part)	04/16/19	J		Sold at loss
30. Franklin US Government Securities Fund	C	Interest			Sold	04/16/19	K		Sold at loss
31. Artisan Small Cap	A	Dividend	M	T	Sold (part)	04/16/19	J	A	
32.									
33. Broadcom Ltd	A	Dividend			Sold	05/20/19	K	F	
34. Chubb Ltd	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35.									
36.									
37. Apple Inc	A	Dividend	L	T					
38. ISHARES MSCI Emerging Markets	C	Dividend	M	T	Sold (part)	04/16/19	J	A	
39. JP Morgan Chase	A	Dividend	J	T					
40. Apex Operating Co., LLC		None	M	W					
41. International Equity Series CL P	B	Int./Div.	K	T	Sold (part)	04/16/19	J		Sold at loss
42.									
43.									
44.									
45. Noble Energy	A	Dividend			Sold	05/13/19	K		Sold at loss
46.									
47. Siemens AG	A	Dividend			Sold	08/07/19	J		Sold at loss
48. Johnson & Johnson	A	Dividend	J	T					
49.									
50. Compass/BBVA check acct.	A	Interest			Closed	04/29/19	K		
51.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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52. AXA IRA (92 holdings all below reporting threshold: \$94.84 to \$819.42	A	Int./Div.	K	T					
53. USAA CDs	A	Interest			Matured	05/10/19	J		
54. USAA FUND ADVISOR ACCOUNT: (H)									
55. USAA Growth Fund Instl	A	Distribution	L	T	Buy (add'l)	12/17/19	J		
56. USAA Income Stock Fund Instl	A	Dividend	M	T	Buy (add'l)	12/23/19	K		
57. USAA International Fund	C	Dividend	M	T	Buy (add'l)	12/23/19	K		
58. USAA Small Cap Stock Fund	A	Distribution	L	T	Buy (add'l)	12/23/19	J		
59. USAA Emerging Markets Fund	A	Dividend	K	T	Buy (add'l)	12/23/19	J		
60.									
61. USAA High Income Fund	A	Distribution	K	T	Buy (add'l)	12/23/19	J		
62. USAA Income Fund Institutional	A	Dividend	M	T	Buy (add'l)	12/23/19	J		
63. USAA Intrm-Term Bond Fund	A	Dividend	M	T	Buy (add'l)	12/18/19	J		
64.									
65. USAA Short-Term Bond Fund	A	Interest	K	T	Buy (add'l)	12/18/19	J		
66. USAA Managed Allocation Fund	A	Dividend	K	T	Buy (add'l)	12/27/19	J		
67. USAA Subscriber's Account	A	Distribution	J	T					
68.									

1 Income Gain Codes:
(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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69.									
70. Charles Schwab Money Fund	A	Interest	M	T					
71. Arden Real Estate Partners III, L.P.		None	L	T					
72. Bascom Value Added Apt. Investors V, LLC		None	L	T	Buy	11/14/19	L		
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

1 Income Gain Codes:

(See Columns B1 and D4)

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86.									
87.									
88.									
89.									
90.									
91.									
92.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

PARKER, JAMES A.

Date of Report

04/24/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The entries on Lines 3,5,7,8,9,10,14,16,18,19, and 21, Part VII reflect my 2% ownership interest in JPFP Family, LLC , which is the owner of the assets described on those lines.

The Adams Co, Colorado mineral properties reported on Line 16, Part VII are working interests; in addition there were royalty interests in Adams Co. that produced income in category A and that had a year end Value J under value code U.

The Weld Co., Colorado mineral properties reported on Line 18, Part VII are working interests; in addition there were royalty interests in Weld Co that produced income in category C and that had a year end Value J under value code U.

The securities shown on Lines 25 through 48 of Part VII were held during 2019 by Fiduciary Trust International either in an I.R.A. account and/or in managed accounts.

The securities shown on Lines 53 through 66 of Part VII were held during 2019 by USAA either in an I.R.A. account and/or in a managed accounts.

I own interests in Denver Minerals & Royalty (Line 22, Part VII) both individually and through my 2% ownership of JPFP Family, LLC, which also owns an interest in Denver Minerals & Royalty. The income and year end values shown on Line 22 are a combination of my personal and derivative interests.`

XTO Energy, Inc.; Mustang Fuel; and Continental Resources paid royalties during 2019 on the mineral properties in Love County, Oklahoma shown on Line 12, Part VII.

Extraction Oil & Gas and Great Western Operating paid royalties or made distributions on working interests during 2019 on the mineral properties in Adams County, Colorado shown on Line 16, Part VII.

Noble Energy; Kerr-McGee Oil & Gas; Crestone Peak Resources; McCartney Engineering; PDC Energy; and SRC Energy paid royalties or made distributions on working interests during 2019 on the mineral properties in Weld County, Colorado shown on Line 18, Part VII.

Plains Marketing, LP; made distributions on working interests during 2017 on the mineral properties in Washington County, Colorado shown on Line 19, Part VII.

Ace Gathering, Inc.; Sunray Marketing, LLC.; Cimarron Engineering; Gulf Mark Energy; and Welder Exploration.paid royalties in 2019 on the mineral interests in Live Oak County, Texas shown on Line 14, Part VII.

The Charles Schwab Money Fund (Line 70, Part VII) is a money link account from which draws are sent to Bascom Value Added Apartment Investors II (Line 1); to Arden Real Estate Partners III, L.P. (Line 71), and to Bascom Value Added Apt. Investors V, LLC (Line 72).

Arden Real Estate Partners III, L.P. (Line 71) was shown on my 2018 Financial Disclosure Report (Line 69) under the incorrect name "Arden Real Estate Investors III."

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

PARKER, JAMES A.

Date of Report

04/24/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES A. PARKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544