

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ray, II, William M.	2. Court or Organization United States District Court for the Northern District of Ga	3. Date of Report 07/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 1721 Richard B. Russell Federal Building and United States Courthouse 75 Ted Turner Drive, SW Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. District Court Judge	United States District Court of the Northern District of Georgia
2. Trustee and Vice-President of Outreach	Georgia 4-H Foundaion
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002-2018	I am vested in the state retirement system for appellate judges of the State of Georgia. I will draw a monthly pension when I turn 65. Pension is 75% of pay.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employment income from private practice as a psychologist
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University School of Law - Law & Economics Center	April 25 - 26, 2019	Arlington, Virginia	First Annual Antonin Scalia Forum. 1 1/2 hour lecture on Justice Scalia.	Hotel room, meals and air transportation.
2.	George Mason University School of Law - Law & Economics Center	June 27 - 30, 2019	Beaver Creek, Colorado	Workshop on the Economics of Environmental Law and Policy. 12 hours of instruction.	Hotel room, meals, and air and ground transportation.
3.	George Mason University School of Law - Law & Economics Center	December 7 - 14, 2020	Honolulu, Hawaii	Economics: From Classroom to Courtroom. 25.50 hours of instruction.	Hotel room, meals, and air transportation.
4.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Chase Southwest VISA Card	Revolving credit card	K
2. American Express	Revolving credit card	J
3. Lightstream	Loan for home improvements (not a mortgage or line of credit - unsecured).	J
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. American Century Inv. IRA Select Mutual Fund (TWCIX)	B	Dividend	L	T					
2. Enpower Retirement Plans									
3. -Enpower American Funds 2030 Target (RFETX)	A	Dividend			Distributed	01/14/19	L		
4. -Gwinnett Stable Value Fund	A	Dividend			Distributed	01/14/19	J		
5. Fidelity IRA (FCNTX)	B	Dividend	K	T					
6. Vanguard IRA									
7. -Vanguard Growth and Income Fund (VGIAX)	A	Dividend			Distributed	01/29/19	L		
8. -Vanguard Strategic Equity Fund (VSEQX)	A	Dividend	K	T	Distributed (part)	08/13/19	K		
9. -Vanguard 500 Index Fund (VFIAX)	C	Dividend	M	T					
10. T. Rowe Price IRA									
11. -T Rowe Price Equity Income Fund (PRFDX)	C	Dividend	K	T					
12. -T Rowe Price Growth Stock Fund (PRGFX)	A	Dividend	K	T					
13. Suntrust Bank Checking and Savings	A	Interest	K	T					
14. Vanguard Star Fund	A	Dividend			Distributed	07/31/19	J		
15.									
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. I am vested in the State of Georgia retirement plan (ERS) for appellate judges. Given that I am now retired from service to the State of Georgia, at the age of 65, I will begin to draw a pension that will be 75% of the salary that active judges on the Court of Appeals receive each month.
2. The Vanguard Growth and Income Account actually had two transactions. The first transaction occurred on January 29, 2019 and the second occurred on August 13, 2019. After the last transaction, this fund was fully redeemed. These redemptions were to pay for college expenses for three sons who were in school, as well as some household expenses.
3. I owned two homes or real properties during 2019: my primary residence in the Atlanta area and a second, vacation home which is also located in Georgia. The combined value of the two homes is less than \$1,000,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William M. Ray, II**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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