

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Foschio, Leslie G.	2. Court or Organization U.S. District - WDNY	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 2 Niagara Square Room 724 Buffalo, NY 14202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Theodore Roosevelt Inaugural National Historic Site Foundation(Buffalo) (uncompensated)
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/19-12/19	The Principal - Company Sponsored Pension	\$8,040.00
2. 1/19-12/19	NY State - Employee Retirement System - Vested Pension Plan	\$7,446.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. TD Ameritrade - IRA			N	T					
2. -Advisor Inner Circle - CIPMX	A	Dividend	J	T					
3. -Eventide Gilead Fund	A	Dividend	J	T					
4. -3m	A	Dividend	J	T	Buy (add'l)	10/18/19	J		
5. -Alphabet Inc	A	Dividend	J	T					
6. -Amarin		None	J	T	Buy	10/18/19	J		
7. -Apple	A	Dividend	J	T					
8. -Archer Daniels Midland	A	Dividend	J	T					
9. -American Airlines		None			Buy	09/03/19	J		
10.					Sold	10/28/19	J	B	
11. -At&T 5% Perf	A	Dividend	J	T	Buy	09/03/19	J		
12. -Biogen	A	Distribution	J	T	Buy	09/30/19	J		
13. -BRiley Financial	A	Dividend			Sold	08/28/19	J	A	
14. -Brighthouse	A	Dividend			Sold	08/28/19	J	A	
15. -CenturyLink	A	Dividend	J	T					
16. -Cleveland Biolabs		None			Sold	09/30/19	J	A	
17. -CVS	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. -Delta	A	Dividend	J	T					
19. -Dollar General	A	Dividend	J	T	Sold (part)	01/16/19	J	B	
20. -FDX		None			Buy	08/29/19	J		
21.					Sold	09/10/19	J	A	
22. -Ford Motor	A	Dividend	J	T	Buy (add'l)	09/30/19	J		
23.					Buy (add'l)	10/18/19	J		
24. -General Electric	A	Dividend			Sold	09/10/19	J	A	
25. -General Mills	A	Dividend	J	T					
26. -General Motors	A	Dividend	J	T					
27. -Intercept Pharma		None	J	T	Buy	10/18/19	J		
28. -Investco Mortgage	A	Dividend			Sold	08/28/19	J	A	
29. -Ishares faln angels	A	Dividend	J	T	Sold (part)	10/18/19	J	A	
30. -IShares 0-5yr hi yl	A	Dividend	J	T	Sold (part)	10/18/19	J	A	
31. -SPDR Short etf	A	Dividend	J	T	Sold (part)	10/18/19	J	A	
32. -Jetblue	A	Dividend	J	T					
33. -Kellogg	A	Dividend	J	T					
34. -Kimberly Clark	A	Dividend	J	T					

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35. -Lyft		None	J	T	Buy	09/30/19	J		
36.					Buy (add'l)	10/18/19	J		
37. -Metlife Pfd	A	Dividend			Sold	08/28/19	J	A	
38. -Microsoft	A	Dividend	J	T	Buy	08/28/19	J		
39. -Newell Brands	A	Distribution	J	T	Buy	09/30/19	J		
40. -Pennymac Mtg	A	Dividend			Sold	08/29/19	J	A	
41. -Pepsi	A	Dividend	J	T					
42. -Procter & Gamble	A	Dividend	J	T					
43. -Sirius	A	Dividend	J	T					
44. -Southwest	A	Dividend	J	T					
45. -Stericycle	A	Dividend	J	T	Buy (add'l)	10/18/19	J		
46. -Tyson Foods	A	Dividend	J	T					
47. -Uber		None	J	T	Buy	09/30/19	J		
48.					Buy (add'l)	10/18/19	J		
49. -Walmart	A	Dividend	J	T					
50. -Anixter	A	Interest	J	T					
51. -Arcelormittal 3/01/21 Bond	A	Interest			Redeemed	12/27/19	J	A	

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52. -Avenet Inc 12/01/21	A	Interest	J	T					
53. -Block Financial 11/01/22 Bond	A	Interest	J	T					
54. -Dun & Bradstreet 6/15/20	A	Interest			Redeemed	03/10/19	J	A	
55. -Equifax 7/1/28	A	Interest			Sold	12/05/19	J	A	
56. -Ford Motor 10/1/28	A	Interest	J	T					
57. -Freeport-McMoran 11/14/21 Bond	A	Interest	J	T					
58. -FS KKR 5/15/22	A	Interest	J	T					
59. -General Electric 12/31/19 Bond	A	Interest	J	T					
60. -Goodyear 3/15/27	A	Interest	J	T					
61. -Huntsman Intl 11/15/20	A	Interest			Redeemed	03/27/19	J	A	
62. -International Game Tech	A	Interest	J	T	Buy	04/23/19	J		
63. -jp Morgan 12/31/2199 Bond 48126hac4	A	Interest			Sold	12/05/19	J	A	
64. -JP Morgan 12/31/2199 Bond 48127faa1	A	Interest	J	T					
65. -Newell Brands 4/1/23	A	Interest	J	T					
66. -Nordstrom 3/15/28	A	Interest			Sold	12/05/19	J	A	
67. -Omega Health 4/1/27	A	Interest			Sold	12/05/19	J	A	
68. -Pennant Inv 10/01/19 Bond	A	Interest			Redeemed	03/04/19	J	A	

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69. -Petrobras Gbl 1/17/22	A	Interest	J	T					
70. -Petrobras Gbl 02/23/18	A	Interest	J	T					
71. -Leidos (formaly SAIC) 12/1/20	A	Interest	J	T					
72. -Signet UK 6/15/24	A	Interest	J	T					
73. -Teva Pharma 10/01/26	A	Interest	J	T					
74. -The ADT Corp 10/15/21 Bonds	A	Interest	J	T					
75. -Toyota 12/29/20	A	Interest	J	T					
76. -Transocean 11/15/20 Bonds	A	Interest	J	T					
77. -Tupperware 6/1/21	A	Interest	J	T					
78. -Under Armour 6/15/26	A	Interest	J	T					
79. -Valley National Bank 09/27/23	A	Interest	J	T					
80. -Wells Fargo 12/31/2199	A	Interest			Sold	12/05/19	J	A	
81. -Wyndham 3/1/22	A	Interest	J	T					
82. -Wyndham 3/1/23	A	Interest	J	T					
83. -Xerox 3/17/22	A	Interest	J	T					
84. -XLIT 3/31/25	A	Interest			Sold	12/05/19	J	A	
85.									

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86. First Great West Life Insurance - Whole Life		None	M	T					
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leslie G. Foschio**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544