

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arleo, Madeline C.	2. Court or Organization U.S. District Court, Newark	3. Date of Report 08/19/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court 50 Walnut Street Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Arleo & Donohue (law firm; partnership income)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Gen. Annuity-Amarillo,TX	A	Interest	J	T					
2. Fidelity Contrafund	C	Dividend	M	T					
3. Fidelity Growth Fund	C	Dividend	L	T					
4. Fidelity Puritan	D	Dividend	L	T					
5. Fidelity Blue Chip Growth	D	Dividend	M	T					
6. Eli Lilly	A	Dividend			Sold	12/19/19	J		
7. Schwab Money Market Fund	A	Dividend	J	T					
8. American Century Ultra Fund	B	Dividend	K	T					
9. Harbor Cap. App. (HACAX)	B	Dividend			Sold	12/19/19	M		
10. Janus Fund (JANSX)	B	Dividend			Sold	12/19/19	L		
11. Vanguard 500 Index FD	B	Dividend	L	T					
12. Intel	B	Dividend	J	T					
13. Wells Fargo Adv Growth (previously Strong Growth)	B	Dividend	K	T					
14. Schwab Money Market	B	Interest	J	T					
15. Hologic (Holx)	B	Interest	K	T					
16.									
17. UESP--Age-Based Conservative	B	Dividend	M	T	Sold (part)	08/12/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18.					Sold (part)	12/16/19	K		
19. UESP - Age Based Moderate	B	Dividend	M	T	Sold (part)	08/12/19	K		
20.					Sold (part)	12/16/19	K		
21. Nuveen NJ Municipal Bond Fund	A	Dividend			Sold	03/15/19	J		
22. PNC Bank (account)	A	Interest	J	T					
23. U.S. Savings Bonds Series EE	A	Interest	J	T					
24. Provident Bank (accounts)	A	Interest	N	T					
25. G.S. Comm. Bank (accounts)	A	Interest	N	T					
26. Franklin Guaranteed Stable Value Account	C	Dividend	L	T	Sold (part)	09/10/19	L		
27.					Sold (part)	12/19/19	L		
28. LVIP SSgA Bond Index	B	Dividend			Sold (part)	09/10/19	J		
29.					Sold	12/19/19	J		
30. Vanguard Target Ret. 2020	E	Dividend			Sold (part)	09/11/19	M		
31.					Sold	12/19/19	M		
32. Vanguard Target Ret. 2030	E	Dividend	M	T	Buy (add'l)	04/30/19	M		
33.					Sold (part)	09/10/19	N		
34.					Buy	12/19/19	N		

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35. VG Life Strategy Growth	E	Dividend			Sold	04/30/19	M		
36. LVIP SSgA S&P 500 Index	E	Dividend			Sold	09/10/19	M		
37. WF Advant Spec. Midcap Val.	E	Dividend			Sold	09/10/19	M		
38. Janus Aspen Enterprise	E	Dividend			Sold	09/10/19	L		
39. DFA US Targeted Value	D	Dividend			Sold	09/10/19	M		
40. Del. VIP Value	C	Dividend			Sold	09/10/19	K		
41. Oppenheimer Devlop. Mkts	C	Dividend			Sold	09/10/19	K		
42.					Sold	12/19/19	K		
43. Vanguard REIT Index	A	Dividend			Sold	09/10/19	K		
44. Baird Core Plus Bond	B	Dividend			Sold	09/10/19	K		
45. Wells Fargo Savings Bank	A	Int./Div.	J	T					
46. Schwab Bond SWAGX	A	Int./Div.	K	T	Buy	12/19/19	K		
47. Doubleline DBLTX	A	Int./Div.	M	T	Buy	12/19/19	M		
48. Ishares HDV		None	K	T	Buy	12/19/19	K		
49. Pimco Inc. Fund Instl	B	Dividend	N	T	Buy	12/19/19	N		
50. DFAUS Value large capFund	C	Dividend	L	T	Buy	12/19/19	L		
51. Vanguard 500 Index SACG	D	Dividend	M	T	Buy	12/19/19	M		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Vanguard REI FundAdmiral Shares SAAX	B	Dividend	L	T	Buy	09/10/19	J		
53.					Buy (add'l)	12/19/19	L		
54. DFA US small cap Value	D	Dividend	M	T	Buy	12/19/19	M		
55. Wells Fargo Adv. Spec. Mid Value	D	Dividend	M	T	Buy	12/19/19	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Madeline C. Arleo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544