

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Polster, Dan A.	2. Court or Organization U.S. Dist. Ct., ND Ohio	3. Date of Report 06/16/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge--Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Judge 18B Carl B. Stokes U.S. Court Cleveland, Ohio 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lifetime Trustee	Mandel Jewish Day School (formerly Agnon School)
2. Trustee	Siegal Lifelong Learning Center/CWRU
3. Secretary and Trustee	Jewish Education Center of Cleveland
4. Trustee	Jewish Federation of Cleveland
5. Trustee	Bellefaire Jewish Children's Bureau
6. Trustee	family trust
7. Board of Directors	Federal Bar Assn (Cleveland chapter)
8. Chairman and Board of Directors	Mt. Sinai Health Care Foundation
9. Board of Directors	Global Cleveland
10. Board of Directors	William K. Thomas Inn of Court
11. Trustee	Mandel Foundation
12. Trustee	Mandel Supporting Foundation
13. Trustee	Yanowitz Supporting Foundation
14. Executive Committee and Board of Directors	Federal Judges Association

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	U.S. Civil Service Retirement System (CSRS) vested pension for Dept. of Justice service (1976-1998); to be paid upon retirement from judicial service
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Park Synagogue (Stipend for teaching 9th and 10th grade classes)	\$1,193.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Coleman Law LLC (sole practice)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	4/10--4/13/2019	Minneapolis, Minnesota	Speak at conference	Air fare, hotel, meals
2. Federal Judges Association	4/15--4/16/2019	Dallas, Texas	Attend board meeting	Air fare, hotel, meals
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Merrill Lynch Bank Deposit Program (Bank of America NA)	A	Interest	J	T					
2. Oakmark Intl Fund	A	Dividend	J	T					
3. Baron Growth Fd	A	Dividend	J	T					
4. GNM P41107 bonds	B	Dividend	J	T					
5. Cohen & Steers Reit Fd	B	Dividend	J	T					
6. Mainstay Floating Rate Fd	A	Dividend			Sold	07/02/19	J	A	
7. Amer.Funds Wash Fd	A	Dividend	J	T					
8. Cohen & Steers Pref	A	Dividend	J	T					
9. Blackrock Multi Asset Fd	A	Dividend	J	T					
10. Nuveen Real Asset Income Fd	A	Dividend	J	T					
11. Oppenheimer Senior Floating Rate Fd	A	Dividend			Sold	07/02/19	K	A	
12. James Alpha Global Real Estate Invest Fd	A	Dividend	J	T					
13. Pimco Income Fd	A	Dividend	K	T					
14. Allianzgi Dividend Fd	A	Dividend	J	T					
15. US Treasury Bill	A	Interest	J	T					
16. Ishares Preferred Fd	A	Dividend	K	T	Buy	07/02/19	J		
17. Osterweis Strategic Inc. Fd	A	Dividend	J	T	Buy	04/25/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Reaves Utility Inc. Fd	B	Dividend	K	T	Buy	04/25/19	J		
19. Reaves Utility Inc. Fd	B	Dividend	K	T	Buy (add'l)	07/02/19	K		
20.									
21. Celgene Corp	A	Dividend			Sold	11/12/19	K	B	
22. Chevron/Texaco	B	Dividend	K	T					
23. Intel	A	Dividend	K	T					
24. Wells Fargo Bank Deposit Sweep Program	A	Dividend	K	T					
25. Costco Wholesale Corp	A	Dividend	K	T					
26. Vanguard Mid-Cap Fd	A	Dividend	K	T					
27. Microsoft Corp.	A	Dividend	L	T					
28. FedEx Corp.	A	Dividend	K	T					
29. Du Pont De Nemours (now DowDupont)	A	Dividend							
30. Dow Inc	A	Dividend	K	T	Spinoff (from line 29)	11/12/19	K		
31. DuPont	A	Dividend			Spinoff (from line 29)	11/12/19	J		
32. DuPont	A	Dividend			Sold	11/12/19	J	A	
33. Corteva, Inc.	A	Dividend			Spinoff (from line 29)	11/12/19	J		
34. Corteva, Inc.	A	Dividend			Sold	11/12/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Facebook Inc.	A	Dividend	L	T					
36. Amazon Com Inc.	A	Dividend	K	T	Buy	08/17/19	K		
37. Apple Inc.	A	Dividend	K	T	Buy	08/07/19	K		
38. Paypal Holdings Inc	A	Dividend	K	T	Buy	08/17/19	K		
39. Select Sector Technology ETF	A	Dividend	K	T	Buy	11/12/19	K		
40. Select Sector Consumer Discretionary ETF	A	Dividend	K	T	Buy	02/12/19	K		
41. US Treasury Bill	A	Interest			Redeemed	11/12/19	L	A	
42. US Treasury Bill	A	Interest	K	T	Buy	11/12/19	K		
43.									
44. Tenneco common	A	Dividend	J	T					
45. Embarq (spinoff)	A	Dividend	J	T					
46. Windstream (spinoff)	A	Dividend	J	T					
47. CS&L Reit	A	Dividend	J	T					
48. Nomura Partners Japan Fund Class S	A	Dividend	J	T					
49. Unicom (formerly Comm. Ed. Preferred)	A	Dividend	J	T					
50. Roulston Growth Fd	A	Dividend	J	T					
51. PNC Bank (formerly National City)--check	A	Interest	J	T					

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52. PNC Bank-check	A	Interest	J	T					
53. PNC Bank--CD	A	Interest	J	T					
54. PNC Bank--CD	A	Interest	J	T					
55. Ohio Savings - savings	A	Interest	J	T					
56. IRA-Prudential Equity Fund	C	Dividend	K	T					
57. IRA-Prudential Equity Fd.	C	Dividend	K	T					
58. Wife's SEP IRA (Securities America) lines 59-86)	E	Dividend	P1	T					
59. American Gr. Fd of America	B	Dividend			Sold	03/07/19	M	C	
60. First Eagle Global Class I	B	Dividend			Sold	03/07/19	M	C	
61. T Rowe Price Global Technology	A	Dividend			Sold	03/07/19	L	A	
62. Wisdomtree Emerging Markets	C	Dividend			Sold	05/15/19	L	B	
63. Wisdomtree US Midcap	A	Dividend			Sold	05/15/19	L	B	
64. Wisdomtree US Smallcap	A	Dividend			Sold	05/15/19	L	A	
65. Vanguard Selected Value Inv	C	Dividend			Sold	05/15/19	N	A	
66. American Europacific Growth	A	Dividend			Sold	05/15/19	M	B	
67. Primecap Odyssey Growth	A	Dividend			Sold	05/15/19	N	C	
68. US Treasury Bills	B	Interest			Sold	07/15/19	M	A	

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69. Goldman Sachs CD	A	Interest			Sold	07/15/19	K	A	
70. Ally Bank CD	A	Interest			Buy	08/15/19	K	A	
71. Morgan Stanley Bank CD	B	Interest	L	T					
72. Compass Bank CD	A	Interest			Sold	06/18/19	K	A	
73. Wells Fargo Bank CD	B	Interest			Sold	04/10/19	L	A	
74. Bank Deposit Sweep	C	Interest	N	T	Buy	05/15/19	N		
75. FBM International Fd	A	Dividend	M	T	Buy	05/15/19	M		
76. HSBC Bank CD	A	Interest	K	T	Buy	07/15/19	K		
77. Iva Worldwide Fd CL 1	A	Interest	M	T	Buy	07/15/19	M		
78. Invesco QQQ Tr Unit Serial 1	A	Int./Div.	M	T	Buy	07/15/19	M		
79. Janus Henderson Enterprise 1	A	Int./Div.	M	T	Buy	03/17/19	M		
80. JP Morgan Chase Bank CD	B	Interest	L	T	Buy	05/15/19	L		
81. Neuberger Berman Large Cap Value Fd	B	Dividend	M	T	Buy	03/07/19	M		
82. Prime Cap Odyssey Gr. Fd	A	Dividend	M	T	Buy	03/07/19	M		
83. Vanguard Healthcare Admiral Fd	A	Dividend	M	T	Buy	07/15/19	M		
84. Vanguard Group Div App ETF	B	Dividend	N	T	Buy	05/15/19	N		
85. Vanguard Emerging Markets Bond Fd	A	Dividend	L	T	Buy	07/15/19	L		

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86. Wasatch Microcap Fd	A	Dividend	L	T	Buy	04/19/19	L		
87.									
88. Mentor Property,LLC\$40,000--12/19/12	A	Distribution	K	R					
89. Norton Property, LLC \$30,000--11/17/14	A	Distribution	K	R					
90. Euclid KV, LLC \$38,281--11/28/17	A	Distribution	K	R					
91.									
92. Trust** (lines 93-158)	E	Int./Div.	P1	T					
93. --State of Israel Bond	B	Interest	K	T					
94. --Tenneco common	A	Dividend	J	T					
95. --JPMorgan Chase	A	Dividend	J	T					
96. Merrill Lynch Bank Deposit Program America NA)	A	Interest	L	T					
97. Allianzgi Inc and Gr Fd	A	Dividend	K	T					
98. -- Amer.Fds New Persp Fd	A	Dividend	L	T					
99. --American Washington Mut Fd	A	Dividend	K	T					
100. -- Oakmark Intl Fund	A	Dividend	J	T					
101. --Baron Growth Fd	A	Dividend	J	T					
102. US Treasury Bond	A	Interest	K	T					

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. --US GNM Bonds	A	Interest	J	T					
104. Cohen & Steers Pref	B	Dividend	K	T					
105. Cohen & Steers Reit	B	Dividend	K	T					
106. Lord Abbett Short Duration Inc Fd	B	Dividend	K	T					
107. Blackrock Multi Asset Inc Fd	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
108. James Alpha Global Real Estate Inv Fd	A	Dividend	J	T					
109. Nuveen Real Asset Inc Fd	A	Dividend	K	T					
110. Pimco Inc Fd	B	Dividend	K	T					
111. Mainstay Floating Rate Fd	A	Dividend			Sold	07/02/19	J	A	
112. Ishares Preferred	A	Dividend	J	T	Buy	07/02/19	J		
113. Osterweis Strategic Inc Fd	A	Dividend	J	T	Buy	04/25/19	J		
114. Reaves Utility Inc. Fd	A	Dividend	K	T	Buy	04/25/19	K		
115.									
116. Janney Advantage Preferred Sweep	A	Interest	K	T					
117. CPS Technologies Corp.	A	Dividend	J	T					
118. Vanguard Balanced Inc Fd	C	Dividend	M	T	Buy (add'l)	03/21/19	J		
119. Vanguard Dividend App Rd	B	Dividend	M	T	Buy	03/15/19	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Polster, Dan A.

Date of Report

06/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Vanguard Total Stock Market Fd	B	Dividend	M	T	Buy	03/15/19	M		
121. Immucell Corp	A	Dividend			Sold	03/15/19	K	A	
122. Box Inc.	A	Dividend	K	T					
123. Perma-Fix Environmental Services Inc	A	Dividend			Sold	03/15/19	K	C	
124.									
125. Wells Fargo Bank Deposit Sweep Program	A	Interest	M	T					
126. US Treasury Bond	A	Interest			Sold	03/07/19	K	A	
127. Amazon Com Inc.	A	Dividend	K	T	Buy	08/07/19	K		
128. Amazon Com Inc.	A	Dividend	K	T	Buy (add'l)	11/12/19	K		
129. Apple, Inc.	A	Dividend	K	T	Buy	08/07/19	K		
130. Walt Disney Co.	A	Dividend	K	T	Buy	11/12/19	K		
131. Internatinal Business Machine Corp	B	Dividend	K	T	Buy	07/02/19	K		
132. Paypal Holdings Inc.	A	Dividend	K	T	Buy	08/07/19	K		
133. Starbucks Corp.	A	Dividend	K	T	Buy	11/12/19	K		
134. Verizon Communications	A	Dividend	K	T	Buy	11/12/19	K		
135. US Treasury Bond	B	Int./Div.	L	T	Buy	11/12/19	K		
136. US Treasury Bond	A	Int./Div.			Buy	01/17/19	L		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Polster, Dan A.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. US Treasury Bond	A	Int./Div.			Sold	04/18/19	L	A	
138. Us Treasury Bond	A	Int./Div.			Buy	08/07/19	L		
139. Us Treasury Bond	A	Int./Div.			Sold	10/24/19	L	A	
140. CBS Corp	A	Dividend			Sold	11/12/19	K	A	
141. -Spire Inc. (formerly Laclede Gas) common	B	Dividend	L	T					
142. --Nuveen Ohio Munic. Fd	A	Dividend	J	T					
143. --Eaton Vance Tax Managed Gr. Fd (formerly E.V. Marath)	A	Dividend	L	T					
144. --Cedar Fair	D	Dividend	L	T					
145. --American Express Co.	A	Dividend	K	T					
146. --Chevron/Texaco	B	Dividend	L	T					
147. --Enterprise Products	B	Dividend	K	T					
148. --IBM Corp.	B	Dividend	K	T					
149. --Emerson Electric Co.	A	Dividend	K	T					
150. --Costco Wholesale Corp	A	Dividend	K	T					
151. Service Properties Tr (formerly Hospitality Properties Reit Trust)	B	Dividend	K	T					
152. --Vanguard Mid-Cap Fd	A	Dividend	K	T					
153. Vanguard Real Estate Index Fd	A	Dividend	K	T	Buy	09/15/18	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Cincinnati Ohio Urban Redevelopment Bd	A	Interest	K	T					
155. Microsoft Corp	A	Dividend	L	T					
156. Ishares ETF	C	Dividend	K	T					
157. --Embarq. (spinoff)	A	Dividend	J	T					
158. --Windstream (spinoff)	A	Dividend	J	T					
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.									
172.									
173.									
174.									
175.									
176.									

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Name of Person Reporting

Polster, Dan A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Lines 29-34 Dow Dupont split into Dow, Inc; Dupont and Corteva, Inc. I sold my positions in Dupont and Corteva, Inc. and retained my position in Dow, Inc.

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Name of Person Reporting

Polster, Dan A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dan A. Polster**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544