

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Altonaga, Cecilia M.	2. Court or Organization U.S. District Court, So. FL	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Wilkie D. Ferguson Jr. U.S. Courthouse 400 North Miami Avenue, Room 12-2 Miami, FL 33128-1810		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2019	Miami-Dade County, Deferred Compensation Plan
2.	
3.	

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Altonaga, Cecilia M.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Holland & Knight, LLP-Partner/Employee
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MiamiDade County Deferred Comp., Nationwide Fixed	A	Dividend	K	T					
2. MiamiDade County Deferred Comp., *AmFds AMCAP R6	B	Dividend	M	T					
3.	D	Distribution							
4. Citifunds Checking	A	Interest	N	T					
5. Alliance Bernstein Relative Value Fund Class A	C	Dividend	M	T	Sold (part)	12/23/19	J		
6.	D	Distribution							
7. Holland & Knight Profit Sharing Plan, Vang TOT BD Mkt Inst	A	Dividend	O	T					
8. Holland & Knight Profit Sharing Plan, Pgim Total RTN BD R6	A	Dividend	O	T	Buy	01/01/19	N		
9. Holland & Knight Profit Sharing Plan, FID Freedom 2010 K	C	Dividend	P1	T					
10. Holland & Knight Profit Sharing Plan, AF Balanced R6	A	Dividend	O	T					
11. (NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	B	Dividend	K	T					
12. (NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	B	Dividend	K	T					
13. (NH) UNIQUE College Investing Plan, NH College Port. (Fidelity Funds)	B	Dividend	K	T					
14. (NH) UNIQUE College Investing Plan, NH College Port.(Fidelity Funds)	B	Dividend	K	T					
15. Holland & Knight Equity Partner Fund	E	Interest	N	T					
16. Holland & Knight Equity Partner Fund		None	N	T					
17. Bank of America Checking	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Citigold Savings	B	Interest	P1	T					
19. Florida Prepaid 4-Year University		None			Closed	01/01/19	J		
20. Bank of America Checking	A	Interest	J	T					
21. Ameriprise Insured Money Market	A	Interest	J	T					
22. Citigroup Inc New	A	Dividend	K	T					
23. General Electric Company	A	Dividend	K	T					
24. WABTEC	A	Dividend	J	T	Buy	02/26/19	J		
25.					Sold (part)	03/05/19	J	A	
26. Ameriprise Insured Money Market	A	Interest	J	T					
27. Blackrock Strategic Income Opptys Instl CL	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
28.					Buy (add'l)	02/01/19	J		
29.					Buy (add'l)	02/13/19	J		
30.					Buy (add'l)	03/01/19	J		
31.					Buy (add'l)	04/01/19	J		
32.					Buy (add'l)	05/01/19	J		
33.					Buy (add'l)	05/15/19	J		
34.					Buy (add'l)	06/03/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35.					Buy (add'l)	07/01/19	J		
36.					Buy (add'l)	08/01/19	J		
37.					Buy (add'l)	09/03/19	J		
38.					Buy (add'l)	10/01/19	J		
39.					Buy (add'l)	11/01/19	J		
40.					Buy (add'l)	12/02/19	J		
41.					Buy (add'l)	12/24/19	J		
42. Multi Manager Value Strategies CL Z	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
43.	A	Distribution			Buy (add'l)	03/19/19	J		
44.					Buy (add'l)	06/14/19	J		
45.					Buy (add'l)	09/19/19	J		
46.					Buy (add'l)	12/09/19	J		
47. Multi Manager Total Return Bond Strategies CL Z	B	Dividend	K	T	Buy (add'l)	01/02/19	J		
48.	A	Distribution			Buy (add'l)	02/01/19	J		
49.					Buy (add'l)	03/01/19	J		
50.					Buy (add'l)	04/01/19	J		
51.					Buy (add'l)	05/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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52.					Buy (add'l)	05/15/19	J		
53.					Buy (add'l)	06/03/19	J		
54.					Buy (add'l)	07/01/19	J		
55.					Buy (add'l)	08/01/19	J		
56.					Buy (add'l)	09/03/19	J		
57.					Buy (add'l)	10/01/19	J		
58.					Buy (add'l)	11/01/19	J		
59.					Buy (add'l)	12/02/19	J		
60.					Buy (add'l)	12/03/19	J		
61. Multi Manager Small Cap Equity Strategies CL Z	A	Dividend	K	T	Buy (add'l)	05/15/19	J		
62.	B	Distribution			Buy (add'l)	12/11/19	J		
63.					Sold (part)	02/13/19	J	A	
64. iShares Core S&P Mid Cap ETF	A	Dividend	K	T	Buy (add'l)	05/15/19	J		
65. iShares MSCI EAFE Value ETF	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
66.					Buy (add'l)	05/15/19	J		
67. Goldman Sachs GQG Partners Intl Opptys Investor CL	A	Dividend	K	T	Buy	02/13/19	K		
68.					Buy (add'l)	12/19/19	J		

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69.					Sold (part)	05/15/19	J	A	
70. JP Morgan Tax Aware Equity CL I	A	Dividend	K	T	Buy (add'l)	03/28/19	J		
71.	B	Distribution			Buy (add'l)	06/27/19	J		
72.					Buy (add'l)	09/27/19	J		
73.					Buy (add'l)	12/13/19	J		
74.					Buy (add'l)	12/20/19	J		
75.					Sold (part)	02/13/19	J	A	
76.					Sold (part)	02/14/19	J	A	
77. JP Morgan Large Cap Growth CL I	A	Dividend	K	T	Buy (add'l)	12/13/19	J		
78.	C	Distribution			Buy (add'l)	12/20/19	J		
79.					Sold (part)	02/13/19	J	A	
80.					Sold (part)	05/15/19	J	A	
81. Western Asset Intermediate Term Municipal CL I	B	Dividend	L	T	Buy (add'l)	01/02/19	J		
82.					Buy (add'l)	02/01/19	J		
83.					Buy (add'l)	03/01/19	J		
84.					Buy (add'l)	04/01/19	J		
85.					Buy (add'l)	05/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	06/03/19	J		
87.					Buy (add'l)	07/01/19	J		
88.					Buy (add'l)	08/01/19	J		
89.					Buy (add'l)	09/03/19	J		
90.					Buy (add'l)	10/01/19	J		
91.					Buy (add'l)	11/01/19	J		
92.					Buy (add'l)	12/02/19	J		
93. Loomis Sayles Growth CL Y	A	Dividend	K	T	Buy (add'l)	12/23/19	J		
94.	A	Distribution			Sold (part)	02/13/19	J	A	
95.					Sold (part)	05/15/19	J	A	
96. MFS Intl Intrinsic Value CL I	A	Dividend	K	T	Buy (add'l)	12/13/19	J		
97.	A	Distribution			Sold (part)	02/13/19	J	A	
98.					Sold (part)	05/15/19	J	A	
99. MFS Value CL I	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
100.	A	Distribution			Buy (add'l)	03/28/19	J		
101.					Buy (add'l)	06/27/19	J		
102.					Buy (add'l)	09/27/19	J		

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103.					Buy (add'l)	12/20/19	J		
104.					Sold (part)	05/15/19	J		
105. MFS Municipal High Income CL I	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
106.					Buy (add'l)	02/01/19	J		
107.					Buy (add'l)	03/01/19	J		
108.					Buy (add'l)	04/01/19	J		
109.					Buy (add'l)	05/01/19	J		
110.					Buy (add'l)	06/03/19	J		
111.					Buy (add'l)	07/01/19	J		
112.					Buy (add'l)	08/01/19	J		
113.					Buy (add'l)	09/03/19	J		
114.					Buy (add'l)	10/01/19	J		
115.					Buy (add'l)	11/01/19	J		
116.					Buy (add'l)	12/02/19	J		
117.					Sold (part)	05/15/19	J	A	
118. Nuveen Interm Duration Mun Bond Cl I	B	Dividend	L	T	Buy (add'l)	01/02/19	J		
119.					Buy (add'l)	02/01/19	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/01/19	J		
121.					Buy (add'l)	04/01/19	J		
122.					Buy (add'l)	05/01/19	J		
123.					Buy (add'l)	05/15/19	J		
124.					Buy (add'l)	06/03/19	J		
125.					Buy (add'l)	07/01/19	J		
126.					Buy (add'l)	08/01/19	J		
127.					Buy (add'l)	09/03/19	J		
128.					Buy (add'l)	10/01/19	J		
129.					Buy (add'l)	11/01/19	J		
130.					Buy (add'l)	12/02/19	J		
131.					Sold (part)	02/13/19	J	A	
132. Oppenheimer Intl Growth CL Y	A	Dividend			Sold	02/13/19	K	A	
133. Wells Fargo Emerging Markets Equity Instl CL	A	Dividend	K	T	Buy (add'l)	12/24/19	J		
134.					Sold (part)	02/13/19	J	A	
135. Wells Fargo Short Term Mun Bond Instl CL	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
136.					Buy (add'l)	02/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Altonaga, Cecilia M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	02/13/19	J		
138.					Buy (add'l)	03/01/19	J		
139.					Buy (add'l)	04/01/19	J		
140.					Buy (add'l)	05/01/19	J		
141.					Buy (add'l)	05/15/19	J		
142.					Buy (add'l)	06/03/19	J		
143.					Buy (add'l)	07/01/19	J		
144.					Buy (add'l)	08/01/19	J		
145.					Buy (add'l)	09/03/19	J		
146.					Buy (add'l)	10/01/19	J		
147.					Buy (add'l)	11/01/19	J		
148.					Buy (add'l)	12/02/19	J		
149.					Sold (part)	05/15/19	J	A	
150. Ameriprise Insured Money Market	A	Interest	J	T					
151. Blackrock Strategic Income Opptys Instl CL	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
152.					Buy (add'l)	02/01/19	J		
153.					Buy (add'l)	03/01/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Altonaga, Cecilia M.

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05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/01/19	J		
155.					Buy (add'l)	05/01/19	J		
156.					Buy (add'l)	05/17/19	J		
157.					Buy (add'l)	06/03/19	J		
158.					Buy (add'l)	07/01/19	J		
159.					Buy (add'l)	08/01/19	J		
160.					Buy (add'l)	09/03/19	J		
161.					Buy (add'l)	10/01/19	J		
162.					Buy (add'l)	11/01/19	J		
163.					Buy (add'l)	12/02/19	J		
164.					Buy (add'l)	12/24/19	J		
165. Multi Manager Value Strategies CL Z	A	Dividend	J	T	Buy (add'l)	03/19/19	J		
166.	A	Distribution			Buy (add'l)	06/14/19	J		
167.					Buy (add'l)	09/19/19	J		
168.					Buy (add'l)	12/09/19	J		
169. Multi Manager Total Return Bond Strategies CL Z	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
170.					Buy (add'l)	02/01/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	03/01/19	J		
172.					Buy (add'l)	04/01/19	J		
173.					Buy (add'l)	05/01/19	J		
174.					Buy (add'l)	06/03/19	J		
175.					Buy (add'l)	07/01/19	J		
176.					Buy (add'l)	08/01/19	J		
177.					Buy (add'l)	09/03/19	J		
178.					Buy (add'l)	10/01/19	J		
179.					Buy (add'l)	11/01/19	J		
180.					Buy (add'l)	12/02/19	J		
181.					Buy (add'l)	12/03/19	J		
182.					Sold (part)	02/19/19	J	A	
183. Multi Manager Small Cap Equity Strategies CL Z	A	Dividend	J	T	Buy (add'l)	05/17/19	J		
184.	A	Distribution			Buy (add'l)	12/11/19	J		
185.					Sold (part)	02/19/19	J	A	
186. Goldman Sachs GQG Partners Intl Opptys Investor CL	A	Dividend	J	T	Buy	02/19/19	J		
187.					Buy (add'l)	12/11/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	05/17/19	J	A	
189. iShares Core S&P Mid Cap ETF	A	Dividend	J	T	Buy (add'l)	05/17/19	J		
190. JP Morgan Tax Aware Equity CL I	A	Dividend	J	T	Buy (add'l)	03/28/19	J		
191.	A	Distribution			Buy (add'l)	06/27/19	J		
192.					Buy (add'l)	09/27/19	J		
193.					Buy (add'l)	12/13/19	J		
194.					Buy (add'l)	12/20/19	J		
195.					Sold (part)	05/17/19	J	A	
196. JP Morgan Large Cap Growth CL I	A	Dividend	J	T	Buy (add'l)	12/13/19	J		
197.	A	Distribution			Buy (add'l)	12/20/19	J		
198.					Sold (part)	02/19/19	J	A	
199.					Sold (part)	05/17/19	J	A	
200. Western Asset Intermediate Term Mun CL I	B	Dividend	K	T	Buy (add'l)	01/02/19	J		
201.					Buy (add'l)	02/01/19	J		
202.					Buy (add'l)	02/19/19	J		
203.					Buy (add'l)	03/11/19	J		
204.					Buy (add'l)	04/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	05/01/19	J		
206.					Buy (add'l)	06/03/19	J		
207.					Buy (add'l)	07/01/19	J		
208.					Buy (add'l)	08/01/19	J		
209.					Buy (add'l)	09/03/19	J		
210.					Buy (add'l)	10/01/19	J		
211.					Buy (add'l)	11/01/19	J		
212.					Buy (add'l)	12/02/19	J		
213. Loomis Sayles Growth CL Y	A	Dividend	J	T	Buy (add'l)	12/23/19	J		
214.	A	Distribution			Sold (part)	02/19/19	J	A	
215.					Sold (part)	05/17/19	J	A	
216. MFS Intl Intrinsic Value CL I	A	Dividend	J	T	Buy (add'l)	12/13/19	J		
217.	A	Distribution			Sold (part)	02/19/19	J	A	
218.					Sold (part)	05/17/19	J	A	
219. MFS Value CL I	A	Dividend	J	T	Buy (add'l)	03/28/19	J		
220.	A	Distribution			Buy (add'l)	06/27/19	J		
221.					Buy (add'l)	09/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Altonaga, Cecilia M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/20/19	J		
223.					Sold (part)	05/17/19	J	A	
224. MFS Municipal High Income CL I	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
225.					Buy (add'l)	02/01/19	J		
226.					Buy (add'l)	03/01/19	J		
227.					Buy (add'l)	04/01/19	J		
228.					Buy (add'l)	05/01/19	J		
229.					Buy (add'l)	06/03/19	J		
230.					Buy (add'l)	07/01/19	J		
231.					Buy (add'l)	08/01/19	J		
232.					Buy (add'l)	09/03/19	J		
233.					Buy (add'l)	10/01/19	J		
234.					Buy (add'l)	11/01/19	J		
235.					Buy (add'l)	12/02/19	J		
236.					Sold (part)	05/17/19	J	A	
237. Nuveen Interm Duration Mun Bond CL I	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
238.					Buy (add'l)	02/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Altonaga, Cecilia M.

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05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	03/01/19	J		
240.					Buy (add'l)	04/01/19	J		
241.					Buy (add'l)	05/01/19	J		
242.					Buy (add'l)	05/17/19	J		
243.					Buy (add'l)	06/03/19	J		
244.					Buy (add'l)	07/01/19	J		
245.					Buy (add'l)	08/01/19	J		
246.					Buy (add'l)	09/03/19	J		
247.					Buy (add'l)	10/01/19	J		
248.					Buy (add'l)	11/01/19	J		
249.					Buy (add'l)	12/02/19	J		
250. Oppenheimer Intl Growth CL Y	A	Dividend			Sold	02/19/19	J	A	
251. Wells Fargo Emerging Markets Equity Instl CL	A	Dividend	J	T	Buy (add'l)	05/17/19	J		
252.					Buy (add'l)	12/24/19	J		
253.					Sold (part)	12/19/19	J		
254. Wells Fargo Short Term Mun Bond Instl CL	A	Dividend	K	T	Buy	01/01/19	K		
255.					Buy (add'l)	01/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Altonaga, Cecilia M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	02/01/19	J		
257.					Buy (add'l)	02/19/19	J		
258.					Buy (add'l)	03/01/19	J		
259.					Buy (add'l)	04/01/19	J		
260.					Buy (add'l)	05/01/19	J		
261.					Buy (add'l)	06/03/19	J		
262.					Buy (add'l)	07/01/19	J		
263.					Buy (add'l)	08/01/19	J		
264.					Buy (add'l)	09/03/19	J		
265.					Buy (add'l)	10/01/19	J		
266.					Buy (add'l)	12/02/19	J		
267.					Sold (part)	05/17/19	J	A	
268.	Ameriprise Insured Money Markey	A	Interest	J	T				
269.	Blackrock Strategic Income Opptys Intl CL	A	Dividend	K	T	Buy (add'l)	01/02/19	J	
270.					Buy (add'l)	02/01/19	J		
271.					Buy (add'l)	02/19/19	J		
272.					Buy (add'l)	03/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	04/01/19	J		
274.					Buy (add'l)	05/01/19	J		
275.					Buy (add'l)	05/17/19	J		
276.					Buy (add'l)	06/03/19	J		
277.					Buy (add'l)	07/01/19	J		
278.					Buy (add'l)	08/01/19	J		
279.					Buy (add'l)	09/03/19	J		
280.					Buy (add'l)	10/01/19	J		
281.					Buy (add'l)	11/01/19	J		
282.					Buy (add'l)	12/02/19	J		
283.					Buy (add'l)	12/24/19	J		
284. Multi Manager Value Strategies CL Z	A	Dividend	K	T	Buy (add'l)	02/19/19	J		
285.	A	Distribution			Buy (add'l)	03/19/19	J		
286.					Buy (add'l)	06/14/19	J		
287.					Buy (add'l)	09/19/19	J		
288.					Buy (add'l)	12/09/19	J		
289.					Sold (part)	05/17/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. Multi Manager Total Return Bond Strategies CL Z	C	Dividend	M	T	Buy (add'l)	01/02/19	J		
291.	A	Distribution			Buy (add'l)	02/01/19	J		
292.					Buy (add'l)	03/01/19	J		
293.					Buy (add'l)	04/01/19	J		
294.					Buy (add'l)	05/01/19	J		
295.					Buy (add'l)	05/17/19	J		
296.					Buy (add'l)	06/03/19	J		
297.					Buy (add'l)	07/01/19	J		
298.					Buy (add'l)	08/01/19	J		
299.					Buy (add'l)	09/03/19	J		
300.					Buy (add'l)	10/01/19	J		
301.					Buy (add'l)	11/01/19	J		
302.					Buy (add'l)	12/02/19	J		
303.					Buy (add'l)	12/03/19	J		
304. Multi Manager Small Cap Equity Strategies CL Z	A	Dividend	K	T	Buy (add'l)	05/17/19	J		
305.	B	Distribution			Buy (add'l)	12/11/19	J		
306.					Sold (part)	02/19/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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Altonaga, Cecilia M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. iShares Core S&P Mid Cap ETF	A	Dividend	K	T	Buy (add'l)	05/17/19	J		
308.					Sold (part)	02/19/19	J	A	
309. Goldman Sachs GQG Partners Intl Opptys Investor CL	A	Dividend	K	T	Buy	02/19/19	K		
310.					Buy (add'l)	12/19/19	J		
311.					Sold (part)	05/17/19	J	A	
312. JP Morgan Tax Aware Equity CL I	A	Dividend	K	T	Buy (add'l)	03/28/19	J		
313.	B	Distribution			Buy (add'l)	06/27/19	J		
314.					Buy (add'l)	09/27/19	J		
315.					Buy (add'l)	12/13/19	J		
316.					Buy (add'l)	12/20/19	J		
317.					Sold (part)	02/19/19	J	A	
318.					Sold (part)	05/17/19	J	A	
319. JP Morgan Large Cap Growth CL I	A	Dividend	K	T	Buy (add'l)	12/13/19	J		
320.	C	Distribution			Buy (add'l)	12/20/19	J		
321.					Sold (part)	02/19/19	J	A	
322.					Sold (part)	05/17/19	J	A	
323. Western Asset Intermediate Term Mun CL I	C	Dividend	M	T	Buy (add'l)	01/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	02/01/19	J		
325.					Buy (add'l)	02/19/19	J		
326.					Buy (add'l)	03/01/19	J		
327.					Buy (add'l)	04/01/19	J		
328.					Buy (add'l)	05/01/19	J		
329.					Buy (add'l)	06/03/19	J		
330.					Buy (add'l)	07/01/19	J		
331.					Buy (add'l)	08/01/19	J		
332.					Buy (add'l)	09/03/19	J		
333.					Buy (add'l)	10/01/19	J		
334.					Buy (add'l)	11/01/19	J		
335.					Buy (add'l)	12/02/19	J		
336.					Sold (part)	05/17/19	J	A	
337. Loomis Sayles Growth CL Y	A	Dividend	K	T	Buy (add'l)	12/23/19	J		
338.	A	Distribution			Sold (part)	02/19/19	J	A	
339.					Sold (part)	05/17/19	J	A	
340. MFS Intl Intrinsic Value CL I	A	Dividend	K	T	Buy (add'l)	12/13/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.	B	Distribution			Sold (part)	02/19/19	J	A	
342.					Sold (part)	05/17/19	J	A	
343. MFS Value CL I	A	Dividend	L	T	Buy (add'l)	02/19/19	J		
344.	A	Distribution			Buy (add'l)	03/28/19	J		
345.					Buy (add'l)	06/27/19	J		
346.					Buy (add'l)	09/27/19	J		
347.					Buy (add'l)	12/20/19	J		
348.					Sold (part)	05/17/19	J	A	
349. MFS Municipal High Income CL I	B	Dividend	K	T	Buy (add'l)	01/02/19	J		
350.					Buy (add'l)	02/01/19	J		
351.					Buy (add'l)	03/01/19	J		
352.					Buy (add'l)	04/01/19	J		
353.					Buy (add'l)	05/01/19	J		
354.					Buy (add'l)	06/03/19	J		
355.					Buy (add'l)	07/01/19	J		
356.					Buy (add'l)	08/01/19	J		
357.					Buy (add'l)	09/03/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	10/01/19	J		
359.					Buy (add'l)	11/01/19	J		
360.					Buy (add'l)	12/02/19	J		
361.					Sold (part)	05/17/19	J	A	
362. Nuveen Interim Duration Mun Bond CL I	C	Dividend	M	T	Buy (add'l)	01/02/19	J		
363.					Buy (add'l)	02/01/19	J		
364.					Buy (add'l)	03/01/19	J		
365.					Buy (add'l)	04/01/19	J		
366.					Buy (add'l)	05/01/19	J		
367.					Buy (add'l)	05/17/19	J		
368.					Buy (add'l)	06/03/19	J		
369.					Buy (add'l)	07/01/19	J		
370.					Buy (add'l)	08/01/19	J		
371.					Buy (add'l)	09/03/19	J		
372.					Buy (add'l)	10/01/19	J		
373.					Buy (add'l)	11/01/19	J		
374.					Buy (add'l)	12/02/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Sold (part)	02/19/19	J	A	
376. Oppenheimer Intl Growth CL Y	A	Dividend			Sold	02/19/19	K	B	
377. Wells Fargo Emerging Markets Equity Instl CL	A	Dividend	K	T	Buy (add'l)	05/17/19	J		
378.					Buy (add'l)	12/24/19	J		
379.					Sold (part)	02/19/19	J	A	
380. Wells Fargo Short Term Mun Bond Instl CL	B	Dividend	M	T	Buy (add'l)	01/02/19	J		
381.					Buy (add'l)	02/01/19	J		
382.					Buy (add'l)	02/19/19	J		
383.					Buy (add'l)	03/01/19	J		
384.					Buy (add'l)	04/01/19	J		
385.					Buy (add'l)	05/01/19	J		
386.					Buy (add'l)	06/03/19	J		
387.					Buy (add'l)	07/01/19	J		
388.					Buy (add'l)	08/01/19	J		
389.					Buy (add'l)	09/03/19	J		
390.					Buy (add'l)	10/01/19	J		
391.					Buy (add'l)	11/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	12/02/19	J		
393.					Sold (part)	05/17/19	J	A	
394. Columbia Cap Alloc Moderate Conservative CL A	A	Dividend	K	T	Buy (add'l)	03/28/19	J		
395.	A	Distribution			Buy (add'l)	06/27/19	J		
396.					Buy (add'l)	09/27/19	J		
397.					Sold (part)	12/18/19	J	A	
398. UBS Bank USA Dep Account	A	Interest	N	T					
399. UBS AG Deposit Account	A	Interest	O	T	Open	10/30/19	O		
400. US Treasury Bill Matures 03/07/19	D	Interest			Matured	03/07/19	O		
401. US Treasury Bill Matures 09/12/19	E	Interest			Matured	09/12/19	P1		
402. US Treasury Note Matures 03/15/20	D	Interest	O	T	Buy	03/11/19	O		
403. US Treasury Bill Matures 10/29/19	B	Interest			Buy	09/16/19	P1		
404.					Sold (part)	10/02/19	N	A	
405.					Matured	10/29/19	P1		
406. UBS Bank USA Dep Acct	A	Interest	J	T					
407. BANK OF AMER CORP NTS	A	Interest			Sold	04/01/19	K	A	
408. CITIGROUP INC NTS B/E	B	Interest			Sold	07/29/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. JP MORGAN CHASE & CO NTS B/E	B	Interest	L	T					
410. WELLS FARGO & CO NTS B/E	B	Interest	L	T					
411. BK OF MONTREAL	B	Interest	L	T					
412. GOLDMAN SACHS GROUP INC	B	Interest	K	T					
413. ARLINGTOM TX INDPT SCH	A	Interest			Sold	02/15/19	K		
414. VIRGINIA COMNWLTH TRANS BD RV	A	Interest			Sold	04/01/19	K	A	
415. DELAWARE TRANS AU SYS RV	C	Interest			Sold	07/01/19	L	A	
416. SULPHUR SPRINGS CAL UNI	A	Interest			Sold	07/01/19	K	A	
417. MELBOURNE FLA WTR & SWR	C	Interest			Sold	10/01/19	L	A	
418. SAN ANTONIO TX ELEC & GA	B	Interest	L	T					
419. BIRDVILLE TX INDPT SCH D	A	Interest	K	T					
420. CINCINNATI OH CITY SCH BE/R/	C	Interest	L	T					
421. RIVERISDE CO CAL ASSET	C	Interest	K	T					
422. LAKE CO IL CMNTY HSD #12	C	Interest	K	T					
423. COOK CNTY ILL CMNTY CONS	B	Interest	J	T					
424. COOK CNTY IL CMNTY CONS ASSUR TAX	A	Interest	K	T					
425. BAKERSFIELD CA COP SUB	C	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Altonaga, Cecilia M.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. NEW YORK NY FISCAL SR I	B	Interest			Sold	04/18/19	L	A	
427. GENERAL MOTORS FINL CO	C	Interest	L	T	Buy	02/20/19	L		
428. GENL ELEC CAP CORP	B	Interest	L	T	Buy	04/03/19	L		
429. BIRMINGHAM AL WTRWKS BR RV	A	Interest	K	T	Buy	04/24/19	K		
430. LOUSIANA ST GAS & FUELS SR B	C	Interest	L	T	Buy	04/24/19	L		
431. CITIGROUP INC NTS B/E	A	Interest	K	T	Buy	07/05/19	K		
432. CVS HEALTH CORP NTS B/E	A	Interest	K	T	Buy	07/05/19	K		
433. CIGNA CORP B/E	A	Interest	K	T	Buy	08/05/19	K		
434. MCKESSON CORP. B/E	A	Interest	K	T	Buy	08/05/19	K		
435. WASHOUGAL WA WTR & SWR ASSUR RV	A	Interest	K	T	Buy	10/03/19	K		
436. KANSAS CITY MO WTR SR A RV	A	Interest	K	T	Buy	10/03/19	K		
437. TECH DATA CORP NTS B/E	A	Interest	K	T	Buy	10/03/19	K		
438. FRANKLIN CNTY OH HSP SR A RV	B	Interest	L	T	Buy	10/03/19	L		
439. AT&T IN NTS B/E	A	Interest	L	T	Buy	10/07/19	L		
440. KANE CO IL S/D	A	Interest	K	T	Buy	10/07/19	K		
441.									
442.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS and TRUSTS

-Lines 7.-10. Holland & Knight Profit Sharing Plan: not self directed, there is no control and only can select level of risk.

-Lines 15.-16. Holland & Knight Equity Partner Fund: [REDACTED] firm requires each equity partner to have an equity reserve in terms of paid in capital for each of its equity partners. These funds may not be accessed until the holder ceases to be an equity member. There is no control.

-Lines 96., 216., and 340. The name of this investment changed from the prior year from MFS Intl Value Class I to MFS Intl Intrinsic Value Class I. The identifier remained the same.

-Line 290. The name of this investment changed from the prior year from Multi Manager Value Strategies CL Z to Multi Manager Total Return Bond Strategies CL Z. This was an error in the prior year report. The identifier remained the same.

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Name of Person Reporting

Altonaga, Cecilia M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cecilia M. Altonaga**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544