

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lenihan, Lisa P.	2. Court or Organization Western District of PA	3. Date of Report 04/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address US COURTHOUSE 700 GRANT ST. PITTSBURGH, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD MEMBER	UPMC HEALTH PLAN
2. BOARD MEMBER	UPMC ALTOONA
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Allegheny County Bar Association	June 13-15, 2019	Champion, PA	Bench Bar Conference	Food and lodging
2. Academy of Trial Lawyers	September 16-18, 2019	Farmington, PA	Retreat	Food and lodging
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lenihan, Lisa P.	04/29/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. INDIVIDUAL ASSETS (H)									
2. PNC BANK (cash)	A	Interest	J	T					
3. VIRTUAL BANK (cash) (Y)									
4. FIRST NATIONAL BANK (cash)	A	Interest			Closed	08/10/19	K		
5. S&T BANK (cash)	A	Interest	K	T	Open	08/10/19	K		
6. RIVERSOURCE UNIVERSAL LIFE INSURANCE POLICY	A	Interest	K	T					
7. IRA #1 (H)									
8. AMERIPRISE BANK INSURED SWEEP ACCOUNT (ABISA) (X) (Y)									
9. DREYFUS GOVT CASH MGMT (cash)	A	Dividend			Sold (part)	01/02/19	J		
10.					Buy (add'l)	01/03/19	J		
11.					Buy (add'l)	01/09/19	L		
12.					Sold (part)	01/09/19	L		
13.					Buy (add'l)	02/27/19	L		
14.					Sold (part)	02/27/19	L		
15.					Sold	06/06/19	J		
16. BLACKSTONE ALT MULTI STRATEGY CL D (BXMDX)	A	Dividend	J	T	Sold (part)	01/08/19	J		
17.					Sold (part)	02/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	02/26/19	J		
19.					Sold (part)	04/08/19	J		
20.					Sold (part)	09/27/19	J		
21. MULTI MANAGER VALUE STRATEGIES CL Z (CZMVX)	A	Dividend	J	T	Sold (part)	01/07/19	K		
22.					Buy (add'l)	02/15/19	J		
23.					Sold (part)	02/25/19	J		
24.					Sold (part)	04/08/19	J		
25.					Buy (add'l)	05/16/19	J		
26.					Sold (part)	09/27/19	K		
27. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z (CTRZX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
28.					Sold (part)	02/25/19	J		
29.					Sold (part)	04/08/19	J		
30.					Sold (part)	09/27/19	J		
31. MULTI MANAGER SMALL CAP EQUITY STRATEGIES CL Z (CZMSX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
32.					Sold (part)	01/25/19	J		
33.					Sold (part)	04/08/19	J		
34.					Sold (part)	05/16/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	09/27/19	J		
36. MULTI MANAGER GROWTH STRATEGIES CL Z (CZMGX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
37.					Sold (part)	02/15/19	J		
38.					Sold (part)	02/25/19	J		
39.					Sold (part)	04/08/19	J		
40.					Buy (add'l)	05/15/19	J		
41.					Sold (part)	09/27/19	K		
42. MULTI MANAGER DIRECTIONAL ALTERNATIVE STRAT Z (CDAZX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
43.					Sold (part)	02/25/19	J		
44.					Sold (part)	04/08/19	J		
45.					Sold (part)	09/27/19	J		
46. MULTI MANAGER ALTERNATIVE STRATEGIES CL Z (CZAMX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
47.					Sold (part)	02/25/19	J		
48.					Sold (part)	04/08/19	J		
49.					Sold (part)	09/27/19	J		
50. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL (CMIEX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
51.					Sold (part)	02/25/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold (part)	04/08/19	J		
53.					Buy (add'l)	05/16/19	J		
54.					Sold (part)	09/27/19	K		
55. DELAWARE U S GROWTH INSTL CL (DEUIX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
56.					Sold (part)	02/25/19	J		
57.					Sold (part)	04/08/19	J		
58.					Sold (part)	09/27/19	J		
59. MFS INTL VALUE CL I (MINIX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
60.					Sold (part)	02/25/19	J		
61.					Sold (part)	04/08/19	J		
62.					Sold (part)	09/27/19	J		
63. MFS MID CAP GROWTH CL I (OTCIX)	A	Dividend	J	T	Buy	11/13/19	J		
64. MFS VALUE CL I (MEIIX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
65.					Sold (part)	02/25/19	J		
66.					Sold (part)	04/08/19	J		
67.					Sold (part)	09/27/19	J		
68. PGIM JENNISON MID CAP GROWTH CL Z (PEGZX)		None			Sold (part)	01/07/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	02/25/19	J		
70.					Sold (part)	04/09/19	J		
71.					Sold (part)	05/16/19	J		
72.					Sold (part)	09/27/19	J		
73.					Sold	11/13/19	J		
74. WELLS FARGO EMERGING MARKETS EQUITY INSTL CL (EMGNX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
75.					Sold (part)	01/15/19	J		
76.					Sold (part)	04/08/19	J		
77.					Sold (part)	09/27/19	J		
78. WELLS FARGO SPECIAL MID CAP VALUE INSTL CL (WFMIX)	A	Dividend	J	T	Sold (part)	01/07/19	J		
79.					Sold (part)	02/15/19	J		
80.					Sold (part)	04/08/19	J		
81.					Sold (part)	09/27/19	J		
82. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	J	T	Sold (part)	01/07/19	J		
83.					Sold (part)	02/25/19	J		
84.					Sold (part)	02/25/19	J		
85.					Sold (part)	04/08/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Sold (part)	05/16/19	J		
87.					Sold (part)	09/27/19	J		
88. BROKERAGE ACCOUNT #1 (H)									
89. AMERIPRISE INSURED MONEY MARKET (CASH) (AIMMA) (Y)									
90. CALAMOS MARKET NEUTRAL INCOME CL I (CMNIX)	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
91.					Buy (add'l)	03/01/19	J		
92.					Sold (part)	04/05/19	J	A	
93. FIRST EAGLE FUNDS GLOBAL FUND CL I (SGIIX)	B	Dividend	K	T	Sold (part)	04/05/19	J	A	
94.					Buy (add'l)	09/27/19	J		
95. JP MORGAN VAL ADV SEL CL I (JVASX)	B	Dividend	K	T	Sold (part)	01/07/19	J	A	
96.					Sold (part)	04/05/19	J		
97.					Sold (part)	09/27/19	J		
98. LORD ABBETT ULTRA SHORT BOND CL F (LUBFX)	A	Dividend	J	T	Buy	01/07/19	J		
99.					Buy (add'l)	03/01/19	J		
100.					Sold (part)	04/05/19	J	A	
101.					Sold (part)	09/27/19	J	A	
102. MFS INTL VALUE CL I (MINIX)	B	Dividend	K	T	Sold (part)	04/05/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	09/27/19	J	A	
104. NUVEEN PA MUN BD CL I (NBPAX)	A	Dividend	K	T	Buy (add'l)	03/01/19	J		
105.					Sold (part)	04/05/19	J		
106. NUVEEN ALL AMER MUN BD CL I (FAARX)	A	Dividend	K	T	Buy (add'l)	03/01/19	J		
107.					Sold (part)	04/05/19	J		
108.					Sold (part)	09/27/19	J	A	
109. THORNBURG LTD TERM MUN CL I (LTMIX)	A	Dividend	K	T	Buy (add'l)	03/01/19	J		
110.					Sold (part)	04/05/19	J		
111. ALTRIA GROUP INC (MO)	A	Dividend			Sold	09/27/19	J	C	
112. EATON VANCE FUNDS: ACTIVE TAX MGD GLBL FUND (ETW)		None			Sold	01/07/19	J	C	
113. ISHARES CORE US AGGREGATE BOND ETF (AGG)	A	Dividend	J	T	Buy (add'l)	03/01/19	J		
114.					Sold (part)	09/27/19	J	A	
115. ISHARES S&P 500 GROWTH ETF (IVW)	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
116.					Sold (part)	04/05/19	J	B	
117.					Buy (add'l)	09/27/19	J		
118. ISHARES CORE S&P MID CAP ETF (IJH)	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
119.					Sold (part)	04/05/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	09/27/19	J	A	
121. ISHARES CORE S&P SMALL CAP ETF (IJR)	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
122.					Sold (part)	04/05/19	J	A	
123.					Sold (part)	09/27/19	J	A	
124. ISHARES EDGE MSCI MIN VOLATILITY ETF (USMV)	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
125.					Sold (part)	04/05/19	J	A	
126. SPDR SERIES TRUST S&P DIVIDEND ETF (SDY)	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
127.					Sold (part)	04/05/19	J	A	
128.					Buy (add'l)	09/27/19	J		
129. SPDR BARCLAYS CAPITAL SHORT TERM MUNI BOND FUND (SHM)	A	Dividend	J	T					
130. VANGUARD DIV APPRECIATION FUND (VIG)		None			Sold	01/07/19	K		
131. VANGUARD REIT INDEX ETF (VNQ)		None			Sold	01/07/19	J		
132. IRA #2 (H)									
133. AMERIPRISE INSURED SWEEP ACCOUNT (ABISA)	A	Interest	J	T	Buy	10/08/19	J		
134. DREYFUS GOVT CASH MGMT FDS (cash) (Y)									
135. AMERICAN CENTURY MID CAP VALUE INVESTOR CL (ACMVX)	A	Dividend	K	T	Buy	01/10/19	J		
136.					Buy (add'l)	02/28/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/11/19	J		
138.					Buy (add'l)	10/02/19	J		
139. BLACKSTONE ALT MULTI STRATEGY CL D (BXMDX)	A	Dividend	K	T	Buy	01/11/19	J		
140.					Buy (add'l)	03/01/19	J		
141.					Buy (add'l)	04/11/19	J		
142.					Buy (add'l)	10/03/19	J		
143. BLACKROCK STRATEGIC INCOME OPPTY S INSTL CL (BSIIX)	A	Dividend	K	T	Buy	01/10/19	J		
144.					Buy (add'l)	02/28/19	J		
145.					Buy (add'l)	04/11/19	J		
146.					Buy (add'l)	10/02/19	J		
147. MULTI MANAGER VALUE STRATEGIES CL Z (CZMVX)	B	Dividend	J	T	Buy	01/10/19	J		
148.					Buy (add'l)	02/28/19	J		
149.					Buy (add'l)	04/11/19	J		
150.					Buy (add'l)	05/15/19	J		
151.					Buy (add'l)	10/02/19	K		
152.					Sold (part)	11/15/19	J		
153. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z (CTRZX)	B	Dividend	M	T	Buy	01/10/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	02/28/19	K		
155.					Buy (add'l)	04/11/19	J		
156.					Buy (add'l)	10/02/19	L		
157. MULTI MANAGER SMALL CAP EQUITY STRATEGIES CL Z (CZMSX)	A	Dividend	J	T	Buy	01/10/19	J		
158.					Buy (add'l)	02/28/19	J		
159.					Buy (add'l)	04/11/19	J		
160.					Sold (part)	05/15/19	J		
161.					Buy (add'l)	10/02/19	J		
162. MULTI MANAGER GROWTH STRATEGIES CL Z (CZMGX)	B	Dividend	J	T	Buy	01/10/19	J		
163.					Buy (add'l)	02/28/19	J		
164.					Buy (add'l)	04/11/19	J		
165.					Buy (add'l)	10/02/19	J		
166. MULTI MANAGER DIRECTIONAL ALTERNATIVE STRAT Z (CDAZX)	A	Dividend	J	T	Buy	01/10/19	J		
167.					Buy (add'l)	02/28/19	J		
168.					Buy (add'l)	04/11/19	J		
169.					Buy (add'l)	10/02/19	J		
170. MULTI MANAGER ALTERNATIVE STRATEGIES CL Z (CZAMX)	A	Dividend	J	T	Buy	01/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	02/28/19	J		
172.					Buy (add'l)	04/11/19	J		
173.					Buy (add'l)	10/02/19	K		
174.					Sold (part)	11/15/19	J		
175. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL (CMIEX)	A	Dividend	K	T	Buy	01/10/19	J		
176.					Buy (add'l)	02/28/19	J		
177.					Buy (add'l)	04/11/19	J		
178.					Buy (add'l)	05/15/19	J		
179.					Buy (add'l)	10/02/19	J		
180. DELAWARE U S GROWTH INSTL CL (DEUIX)	B	Dividend	J	T	Buy	01/10/19	J		
181.					Buy (add'l)	02/28/19	J		
182.					Buy (add'l)	04/11/19	J		
183.					Buy (add'l)	10/02/19	J		
184. MFS INTL VALUE CL I (MINIX)	A	Dividend	J	T	Buy	01/10/19	J		
185.					Buy (add'l)	02/28/19	J		
186.					Buy (add'l)	04/11/19	J		
187.					Buy (add'l)	10/02/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. MFS VALUE CL I (MEIIX)	A	Dividend	J	T	Buy	01/10/19	J		
189.					Buy (add'l)	02/28/19	J		
190.					Buy (add'l)	04/11/19	J		
191.					Buy (add'l)	10/02/19	J		
192. MFS MID CAP GROWTH CL I (OTCIX)	A	Dividend	J	T	Buy	11/15/19	J		
193. PGIM JENNISON MID CAP GROWTH CL Z (PEGZX)		None			Buy	01/10/19	J		
194.					Buy (add'l)	02/28/19	J		
195.					Buy (add'l)	04/11/19	J		
196.					Buy (add'l)	10/02/19	J		
197.					Sold	11/15/19	J		
198. WELLS FARGO EMERGING MARKETS EQUITY INSTL CL (EMGNX)	A	Dividend	K	T	Buy	01/10/19	J		
199.					Buy (add'l)	02/28/19	J		
200.					Buy (add'l)	04/11/19	J		
201.					Sold (part)	05/15/19	J		
202.					Buy (add'l)	10/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

04/29/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lisa P. Lenihan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544