

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thorne, Deborah L.	2. Court or Organization Bankruptcy Court for the Northern District of Illinois	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 219 South Dearborn Street Room 668 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Board of Directors - Member at large	National Conference of Bankruptcy Judges
2. Board and Executive Committee Member and Member of Governance Committee	Women Employed
3. Board of Trustees	Am Yisrael Congregation of the Northshore
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Thorne, Deborah L.

Date of Report

05/08/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	State of Illinois - Wages (Circuit Court of Cook County Judge)
2. 2019	Cook County - Wages (Circuit Court of Cook County Judge)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy (ACB)	3/13/19 - 3/15/19	Washington, DC	Annual Induction Ceremony and Events	Transportation, Lodging
2.	TMA/IWIRC	3/28/19 - 3/29/19	Atlanta, Georgia	Speaking at IWIRC/TMA meeting	Transportation, Lodging
3.	ABI	4/11/19 - 4/10/19	Washington, DC	Annual Spring Meeting	Transportation, Lodging, Food
4.	Federal Bar Association - Western Michigan	6/26/19 - 6/28/19	Crystal Mountain, Michigan	FBA - Western Michigan Speaking	Transportation, Lodging, Food
5.	NCBJ	8/27/19 - 8/28/19	San Diego, California	Site Visit as Education Chair for NCBJ 2020	Transportation, Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting	Date of Report
Thorne, Deborah L.	05/08/2020

6.	NCBJ	10/30/19 - 11/2/19	Washington, DC	NCBJ Annual Conference	Transportation, Lodging
7.	ABI	12/5/18/- 12/8/18	Terrenea, California	Winter Leadership Conference	Transporation, Lodging, Food
8.					
9.					

Name of Person Reporting	Date of Report
Thorne, Deborah L.	05/08/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Signature Bank	Mortgage on Investment Property [REDACTED] LLC	O
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Israel Bonds	A	Interest	J	T					
2. ██████████ LLC, Cook County, Illinois	E	Rent	N	W					
3. Focused Retail Prop LLC (JAE interest)		None	L	W					
4. JAE IRA R/O									
5. AB Large Cap Growth Fund (APGYX)	A	Dividend	L	T					
6. ALPS ETF TR ALERIAN MLP (AMLP)	C	Dividend	M	T					
7. DBX ETF Trust (DBEF)	A	Dividend	L	T	Buy	09/11/19	K		
8. Berkshire BK					Buy	02/01/19	L		
9.	A	Interest			Redeemed	08/06/19	L		
10. Blackrock FDS V (BRHYX)	C	Dividend	L	T	Buy	02/01/19	L		
11. Boulder Growth & Income (BIF)	A	Dividend			Sold	08/20/19	L	C	
12. First Trust Morningstar Dividend Leaders Index Fund (FDL)	B	Dividend			Sold	10/22/19	M	G	
13. First Trust Prefered Securities and Income ETF (FPE)	D	Dividend	M	T					
14. Fundamental Invs (FUNFX)	A	Dividend			Sold	08/20/19	J	C	
15. Invesco QQQ TR (QQQ)	A	Dividend	M	T					
16. Janus Invt. FD (JVTIX)		None			Sold	08/02/19	J	B	
17. ISHARES TIPS Bond ETF (TIP)	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Thorne, Deborah L.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Nuveen Floating Rate Income Opportunity Fund (JRO)	D	Dividend	L	T					
19. PIMCO Enhanced Short Maturity (MINT)		None	M	T	Buy	12/16/19	M		
20. PIMCO Equity Ser (PMHIX)		None			Sold	02/28/19	L		
21. PIMCO Income FD (PIMIX)	D	Dividend	L	T	Buy	07/22/19	L		
22. SAFRA Nat'l Bank New York		None	L	T	Buy	02/01/19	L		
23. Select Sector SPDR Trust - The Healthcare Select Sector (XLV)	B	Dividend	M	T					
24.					Sold (part)	04/24/19	L	E	
25. Schwab Stragic TR (SCHD)	A	Dividend	M	T	Buy	09/11/19	K		
26.					Buy (add'l)	12/17/19	L		
27. SPDR Dow Jones INDL Average ETF TR Unit Ser. 1 (DIA)	C	Dividend	M	T					
28. SPDR S&P 500 ETF Trust (SPY)	C	Dividend	M	T					
29. Select Sector SPDR (XLF)	A	Dividend	K	T	Buy	04/24/19	K		
30. Vanguard Index Funds (VYM)	C	Dividend	L	T	Buy	02/28/19	K		
31. Vanguard Sector Index Funds REIT ETF (VNQ)	C	Dividend	M	T	Buy	02/28/19	K		
32. Vanguard Index Funds Mid-cap Value ETF (VOE)	D	Dividend	M	T					
33. Vanguard Intl Equity Index FD ETF (VGK)	C	Dividend	K	T	Sold (part)	12/16/19	K		
34. Vanguard Sector Index Funds -- Energy ETF (VDE)	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Thorne, Deborah L.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. JPMorgan Deposit Account		None	L	T					
36. EXELON Generation Company LLC	A	Interest	K	T					
37. Walgreens Boots Alliance Inc. SR Unsecured	A	Interest	K	T					
38. Bank India New York, NY (CD)	B	Dividend			Redeemed	02/27/19	L		
39. OTHER									
40. Northwestern Mutual Extra Ordinary Life (214)	C	Dividend	M	T					
41. Northwestern Mutual Extra Ordinary Life (246)	D	Dividend	N	T					
42. Northwestern Ord Life- Former EOL (829)	C	Dividend	M	T					
43. Northwestern Whole Life	C	Dividend	M	T					
44. Signature Bank (Private Stock)		None	L	U					
45. Millennium Trust Company, LLC FBO Deborah Thorne IRA									
46. -- Focus Real Estate Investment		None	L	U					
47. Inherited IRA (WAT/DLT)									
48. Vanguard Value ETF (VTV)	A	Dividend	J	T					
49. IShares Barklays Aggregate Bond Fund (AGG)		None	J	T					
50. Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T					
51. Vanguard Mid-Cap Value ETF (VOE)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Vanguard Total International Bond ETF (BNDX)	A	Dividend	J	T					
53. Vanguard SH.T.Inf.P.S.I ETF (VTIP)		None	J	T					
54. Vanguard Small-Cap. Value (VBR)	A	Dividend	J	T					
55. VANGUARD TAX-MA/FTSE DEVELOPED (VEA)	A	Dividend	J	T					
56. Vanguard Emerging Markets ETF (VWO)	A	Dividend	J	T					
57. IShares Emerging Markets USD Bond ETF (EMB)	A	Dividend	J	T					
58. IShares US Total Bond Market ETF (BND)	A	Dividend	J	T					
59.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Thorne, Deborah L.

Date of Report

05/08/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2: Real property owned by LLC is located in Chicago, Illinois

Part VII, Line 38, Bank of India. The 2018 entry was incorrect as the CD with Bank of India was purchased on 2/23/18 and not sold. It was redeemed on 2/27/19 as reflected on the 2019 report.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Thorne, Deborah L.

Date of Report

05/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Deborah L. Thorne**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544