AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gould, Ronald M.	U.S. Ct. of Appeals, 9th Cir.	05/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
William K. Nakamura U.S. Courthouse 1010 Fifth Avenue, Suite 940 Seattle, Washington 98104-1130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	Chief Seattle Council, Boy Scouts of America
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) Charles Schwab Broker. Acct.									
2. Schwab Cash & Money Market	A	Interest	J	T					
3. Vanguard Long-Term Bond Index Fund	C	Int./Div.	M	T					
4. Vanguard Intermediate Term Bond Index Fund	C	Int./Div.	L	T					
5. Vanguard GNMA Fund	A	Int./Div.	K	T					
6. Pimco International Bond Fund	A	Int./Div.	J	T					
7. Schwab Treasury	A	Int./Div.	J	T					
8. Schwab Intermediate Term Bond Fund	A	Int./Div.			Sold	02/26/19	J		
9. Schwab US Aggregate Bond Fund	A	Int./Div.	K	T					
10. Schwab Fundamental Emerging Mkt Index		None			Sold	08/20/19	K		
11. Schwab Fundamental Intl Small Mid Index	A	Int./Div.	K	T					
12. Schwab Global Real Estate Fd	A	Int./Div.			Sold	08/20/19	J	B	
13. Schwab S&P 500 Index Fund	C	Int./Div.	M	T					
14. Schwab Small Cap Index Fund	C	Int./Div.	L	T					
15. Schwab Total Stock Mkt Index	B	Int./Div.	L	T					
16. Schwab 1000 Index Fund	C	Int./Div.	M	T	Sold (part)	08/20/19	J	C	
17. Wells Fargo Bank Account	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. (H) Vanguard/IRA Account for Ronald M. Gould									
19. Vanguard Prime Money Market Fund	A	Dividend	N	T	Buy	12/30/19	M		
20. Vanguard Prime Money Market Fund	A	Dividend	N	T	Buy (add'l)	12/30/19	L		
21. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	12/30/19	M		
22. Vanguard Short-Term Bond Index Fund Admiral Shares	A	Dividend	M	T					
23. Vanguard Short-Term Investment-Grade Fund Admiral Shares	A	Dividend	M	T					
24. Vanguard Capital Opportunity Fund Admiral Shares	F	Dividend	O	T	Sold (part)	12/30/19	L		
25. Vanguard High-Yield Corporate Fund Admiral Shares	B	Dividend	N	T					
26. GNMA Fund Admiral Shares	A	Dividend	M	T					
27. Intermediate-Term Invest-Gr Admiral Shares	A	Dividend	M	T					
28. Long-Term Invest-Gr Admiral Shares	D	Dividend	O	T					
29. Developed Markets Index Fund Admiral Shares	A	Dividend	L	T					
30. Emerging Markets Stock Index Fund Admiral Shares	B	Dividend	L	T					
31. International Growth Fund Admiral Shares	B	Dividend	L	T					
32. Health Care Fund Admiral Shares	D	Dividend	L	T					
33. High Dividend Yield Index Investor Shares	A	Dividend	K	T					
34. Intermediate Term Treasury Admiral Shares	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Long-Term Treasury Admiral Shares	A	Dividend	M	T					
36. Short-Term Treasury Admiral Shares	A	Dividend	L	T					
37. (H) Charles Schwab Brokerage Account 2									
38. Schwab Cash and Money Market 2	A	Interest	K	T					
39. Schwab S&P 500 Index Fund 2	C	Int./Div.	M	T	Buy (add'l)	11/11/19	J		
40. Schwab Small Cap Index Fund 2	B	Int./Div.	K	T	Buy (add'l)	11/11/19	J		
41. Schwab Total Stock Mkt Index 2	C	Int./Div.	M	T	Buy (add'l)	11/11/19	J		
42. Vanguard Intermediate Term Bond Index Fund Investor Shares 2	C	Int./Div.			Merged (with line 43)	07/26/19	M		
43. Vanguard Intermediate Term Bond Index Fund Admiral Shares 2	C	Int./Div.	M	T	Buy (add'l)	11/11/19	J		
44. Vanguard Long Term Bond Index Fund Investor Shares 2	C	Int./Div.			Merged (with line 45)	07/26/19	M		
45. Vanguard Long Term Bond Fund Admiral Shares 2	C	Int./Div.	M	T	Buy (add'l)	11/11/19	J		
46. Johnson Controls (X)	D	Int./Div.	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that the entries on Part VII, lines 1, 18, and 37 are for names of accounts, in the nature of a heading for the assets that follow that are within this account. These lines 1, 18, and 37 are not designating assets. Each asset in the account designated in lines 1, 18, and 37 is listed separately in Part VII.

As for the asset at line 10, I recognize that income must be reported even if not taxable. However, this asset generated no interest or dividends in 2019 before it was sold in August 2019.

As for assets on lines 42 and 43, Part VII, there was no brokerage transaction from us, and this appears from my records of this Schwab account to be a name change only, because the Vanguard Intermediate Term Bond Fund Investor Shares became Vanguard Intermediate Term Bond Fund Admiral Shares. On the Schwab statement it identifies this as a merger.

As for assets on lines 44 and 45, Part VII, there was no brokerage transaction from us, and this appears from my records of this Schwab account to be a name change only, because the Vanguard Long Term Bond Fund Investor Shares became Vanguard Long Term Bond Fund Admiral Shares. On the Schwab statement it identifies this as a merger

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/10/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Gould

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544