

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FREUDENTHAL, NANCY D.	2. Court or Organization DISTRICT COURT - WYOMING	3. Date of Report 03/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address OFFICE OF JUDGE FREUDENTHAL 2120 CAPITOL AVE. CHEYENNE, WY 82001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE	TRUST #1
2. BOARD MEMBER	WYOMING PROFESSIONAL ASSISTANCE PROGRAM
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	STATE OF WYOMING RETIREMENT PLAN WITH FORMER EMPLOYER, NO CONTROL, AGREEMENT SIGNED IN 2003
2.	
3.	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	STATE OF WYOMING RETIREMENT DISTRIBUTION	\$42,107.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	STATE OF WYOMING - RETIREMENT DISTRIBUTION
2. 2019	SELF-EMPLOYED ATTORNEY
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	03/10/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. MERIDIAN TRUST FCU (cash)	A	Interest	K	T					
3. MASS MUTUAL FIXED WHOLE LIFE PAID-UP AT AGE 65 INSURANCE	C	Dividend	M	T					
4. VOYA/SECURITY LIFE OF DENVER LIFE INSURANCE POLICY	B	Interest	L	T					
5. AMERIPRISE NON-QUALIFIED CASH RESERVE CERTIFICATE (cash)	B	Interest	K	T	Redeemed (part)	11/06/19	M		
6. BROKERAGE ACCOUNT #1 (H)									
7. AMERIPRISE INSURED MONEY MARKET (AIMMA) (cash)	A	Interest	J	T					
8. AMERICAN CENTURY MID CAP VALUE INVESTOR CL (ACMVX)	A	Dividend	K	T					
9. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL (BSIIX)	A	Dividend	K	T					
10. BLACKROCK HIGH YIELD BOND INSTL CL (BHYIX)	A	Dividend	K	T	Buy	02/14/19	K		
11. MULTI MANAGER VALUE STRATEGIES CL Z (CZMVX)	C	Dividend	L	T	Buy (add'l)	02/14/19	J		
12.					Sold (part)	11/13/19	J	A	
13. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z (CTRZX)	D	Dividend	N	T	Buy (add'l)	11/13/19	J		
14. MULTIMANAGER SMALL CAP EQUITY STRATEGIES CL Z (CZMSX)	B	Dividend	K	T	Sold (part)	05/15/19	J		
15. MULTI MANAGER GROWTH STRATEGIES CL Z (CZMGX)	C	Dividend	K	T	Sold (part)	02/14/19	J		
16.					Buy (add'l)	05/15/19	J		
17. MULTI MANAGER INTL EQ STRAT INSTL (CMIEX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DELAWARE US GROWTH INSTL CL (DEUIX)	B	Dividend	K	T					
19. MFS INTL VALUE CL I (MINIX)	A	Dividend	K	T	Buy (add'l)	05/15/19	J		
20. MFS VALUE CL I (MEIIX)	A	Dividend	K	T					
21. NUVEEN SYMPHONY FOLATING RATE INCOME CL I (NFRIX)	A	Dividend			Sold	02/14/19	K		
22. PGIM JENN MID CAP GR Z (PEGZX)		None			Sold (part)	05/15/19	J		
23.					Sold	11/13/19	K		
24. MFS MID CAP GROWTH CL I (OTCIX)	A	Dividend	K	T	Buy	11/13/19	K		
25. WELLS FARGO EMERGING MARKETS EQUITY INSTL CL (EMGNX)	A	Dividend	K	T	Sold (part)	02/14/19	J	A	
26. ISHARES CORE MSCI EMERG MKTS ETF (IEMG)	A	Dividend	J	T					
27. BROKERAGE ACCOUNT #2 (H)									
28. AMERIPRISE INSURED MONEY MARKET (AIMMA) (cash)	A	Interest	J	T					
29. COLUMBIA DIVIDEND OPPTY CL A (INUTX)	C	Dividend	K	T					
30. COLUMBIA LARGE CAP VAL A (INDZX)	B	Dividend	K	T					
31. VIRTUS NEWFLEET MULTI SECTOR SHORT TERM BOND CL A (NARAX) (X)	A	Dividend	J	T					
32. ANNALY CAPITAL MANAGEMENT INC (NLY) (X)	A	Dividend	J	T					
33. SENIOR HOUSING PROPERTIES TRUST SBI (SNH) (X)		None			Sold	03/21/19	J		
34. STARWOOD PROPERTY TRUST INC (STWD) (X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ARES CAPITAL CORP (ARCC) (X)	B	Dividend	K	T	Buy (add'l)	03/21/19	J		
36. DOUBLELINE INCOME SOLUTIONS FUND (DSL) (X)	A	Dividend			Sold	03/21/19	J		
37. FLAHERTY & CRUMRINE PFD INCOME OPPORTUNITY FUND INC (PFO) (X)	A	Dividend			Sold	03/21/19	J		
38. VANECK VECTORS MTG REIT INCOME ETF (MORT) (X)		None			Sold	03/21/19	J		
39. CHS INC CL B CUMUL REDEEMABLE PFD SER (CHSCL) (X)	A	Dividend	J	T					
40. RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY #1 (H)									
41. RIVERSOURCE COLUMBIA VP- MANAGED VOLATILITY MODERATE GROWTH FUND CL 2		None	M	T					
42. RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY #2 (H)									
43. RIVERSOURCE COLUMBIA VP- MANAGED VOLATILITY MODERATE GROWTH FUND CL 2		None	N	T					
44. RIVERSOURCE VP-MODERATE PORTFOLIO CLASS 2		None	N	T					
45. RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY #3 (H)									
46. RIVERSOURCE VP-MODERATE PORTFOLIO CLASS 2		None	M	T					
47. RIVERSOURCE LIFE RETIREMENT ADVISOR ADV VARIABLE ANN #1 (H)									
48. RIVERSOURCE VP MODERATE C12		None	K	T					
49. IRA #1 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
50. COLUMBIA ADAPTIVE RISK ALLOC CL Z (CRAZX)	C	Dividend	K	T					
51. COLUMBIA ALTERNATIVE BETA CL Z (CLAZX)		None	J	T					
52. ISHARES TIPS BOND ETF (TIP)	A	Dividend			Sold (part)	09/03/19	J		
53.					Sold	12/02/19	J		
54. ISHARES IBOXX \$INVESTMENT GRADE CORP BOND ETF (LQD)	A	Dividend	J	T	Buy (add'l)	02/01/19	J		
55.					Buy (add'l)	04/01/19	J		
56.					Sold (part)	06/03/19	J		
57.					Buy (add'l)	08/01/19	J		
58.					Sold (part)	11/01/19	J		
59.					Buy (add'l)	12/02/19	J		
60. ISHARES 20+ YR TREAS BD ETF (TLT)	A	Dividend	J	T	Buy (add'l)	06/03/19	J		
61.					Sold (part)	08/01/19	J		
62.					Buy (add'l)	09/03/19	J		
63.					Sold (part)	10/01/19	J		
64.					Buy (add'l)	11/01/19	J		
65.					Sold (part)	12/02/19	J		
66. ISHARES 7-10 YR TREASURY BOND ETF (IEF)	A	Dividend	J	T	Sold (part)	04/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
67.					Buy (add'l)	06/03/19	J		
68.					Sold (part)	08/01/19	J		
69.					Buy (add'l)	09/03/19	J		
70.					Sold (part)	10/01/19	J		
71.					Buy (add'l)	11/01/19	J		
72.					Sold (part)	12/02/19	J		
73. ISHARES 1-3 YR TREASURY BOND ETF (SHY)	A	Dividend	J	T	Sold (part)	02/01/19	J		
74.					Sold	04/01/19	J		
75.					Buy	06/03/19	J		
76.					Sold	08/01/19	J		
77.					Buy	09/03/19	J		
78.					Sold (part)	10/01/19	J		
79.					Sold (part)	12/02/19	J		
80. ISHARES MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy (add'l)	08/01/19	J		
81.					Sold (part)	09/03/19	J		
82.					Buy (add'l)	10/01/19	J		
83.					Sold (part)	11/01/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: FREUDENTHAL, NANCY D., 03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows 84-100 include entries for ISHARES RUSSELL 2000 ETF (IWM), ISHARES JPMORGAN USD EMERGING MARKETS BOND ETF (EMB), and ISHARES IBOXX \$ HIGH YIELD CORP BOND ETF (HYG).

1 Income Gain Codes: A =\$1,000 or less, B =\$1,001 - \$2,500, C =\$2,501 - \$5,000, D =\$5,001 - \$15,000, E =\$15,001 - \$50,000
(See Columns B1 and D4) F =\$50,001 - \$100,000, G =\$100,001 - \$1,000,000, H1 =\$1,000,001 - \$5,000,000, H2 =More than \$5,000,000
2 Value Codes J =\$15,000 or less, K =\$15,001 - \$50,000, L =\$50,001 - \$100,000, M =\$100,001 - \$250,000
(See Columns C1 and D3) N =\$250,001 - \$500,000, O =\$500,001 - \$1,000,000, P1 =\$1,000,001 - \$5,000,000, P2 =\$5,000,001 - \$25,000,000
P3 =\$25,000,001 - \$50,000,000, P4 =More than \$50,000,000
3 Value Method Codes Q =Appraisal, R =Cost (Real Estate Only), S =Assessment, T =Cash Market
(See Column C2) U =Book Value, V =Other, W =Estimated

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
101.					Sold (part)	11/01/19	J		
102.					Buy (add'l)	12/02/19	J		
103. ISHARES 3-7 YR TREAS BOND ETF (IEI)	A	Dividend	J	T	Sold	04/01/19	J		
104.					Buy	06/03/19	J		
105.					Buy (add'l)	09/03/19	J		
106.					Sold (part)	10/01/19	J		
107.					Buy (add'l)	11/01/19	J		
108.					Sold (part)	12/02/19	J		
109. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T	Buy (add'l)	04/01/19	J		
110.					Sold (part)	06/03/19	J		
111.					Buy (add'l)	08/01/19	J		
112.					Sold (part)	09/03/19	J		
113.					Buy (add'l)	10/01/19	J		
114.					Sold (part)	11/01/19	J		
115.					Buy (add'l)	12/02/19	J		
116. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	T	Buy (add'l)	04/01/19	J		
117.					Buy (add'l)	08/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
118.					Buy (add'l)	10/01/19	J		
119.					Sold (part)	11/01/19	J		
120.					Buy (add'l)	12/02/19	J		
121. VANGUARD MORTGAGE BACKED SECURITIES ETF (VMBS)	A	Dividend			Sold	12/02/19	J		
122. VANGUARD REIT INDEX ETF (VNQ)	A	Dividend			Sold	12/02/19	J		
123. BARCLAYS BANK IPATH BLOOMBERG COMMODITY INDEX TOT RETURN (DJP)		None	J	T	Buy (add'l)	02/01/19	J		
124. IRA #2 (H)									
125. AMERIPRISE BANK INSURED SWEEP (ABISA) (cash)	A	Interest	J	T	Open	06/12/19	J		
126. DREYFUS GOVT CASH MGMT FDS INSTL SHS 289 (cash)	A	Interest			Redeemed	06/11/19	J		
127. INVESCO BALANCED RISK COMM STRAT Y (BRCYX)	A	Dividend	J	T					
128. BLACKROCK SHORT OBLIGS INSTL CL (BISOX)	A	Dividend	K	T	Buy	04/22/19	K		
129. COLUMBIA CORPORATE INCOME CL Z (SRINX)	B	Dividend	K	T	Sold (part)	04/22/19	J		
130. COLUMBIA SELECT LARGE CAP GROWTH CL Z (UMLGX)	D	Dividend	K	T	Sold (part)	01/25/19	J		
131.					Sold (part)	04/22/19	J		
132.					Buy (add'l)	11/25/19	J		
133. GOLDMAN SACHS INTL EQUITY INSIGHTS INVESTOR CL (GCITX)	A	Dividend	K	T	Buy	04/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
134.					Buy (add'l)	06/17/19	J		
135.					Buy (add'l)	08/12/19	J		
136.					Buy (add'l)	11/25/19	J		
137. GOLDMAN SACHS GQG PARTNERS INTL OPPTYS INVESTOR CL (LROIX)	A	Dividend	K	T	Buy	04/22/19	J		
138. JOHN HANCOCK CLASSIC VALUE CL I (JCVIX)	B	Dividend	K	T	Sold (part)	01/25/19	J		
139.					Sold (part)	09/23/19	J		
140. JANUS HENDERSON US MANAGED VOLATILITY CL I (JRSIX)	B	Dividend	K	T	Buy (add'l)	06/17/19	J		
141.					Buy (add'l)	11/25/19	J		
142. JOHN HANCOCK STRATEGIC INCOME OPPTYS CL I (JIPIX)	A	Dividend	K	T	Buy	01/25/19	K		
143.					Sold (part)	04/22/19	J		
144. BRANDYWINE GLOBAL BOND I (LROIX)		None	J	T					
145. INVESCO OPPENHEIMER GLOBAL VALUE CL Y (GLVYX)		None			Buy (add'l)	02/25/19	J		
146.					Sold (part)	06/17/19	J		
147.					Sold	08/13/19	J		
148. PRINCIPAL GLOBAL REAL ESTATE SEC I (POSIX)	A	Dividend			Sold	11/25/19	K		
149. WELLS FARGO INTL VALUE INSTL CL (WFWIX)	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
150.					Sold (part)	04/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
151. WESTERN ASSET CORE PLUS BOND I (WACPX)	A	Dividend	K	T					
152. ISHARES 20+ YR TREAS BOND ETF (TLT)	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
153. ISHARES 7-10 YR TREASURY BOND ETF (IEF)	A	Dividend	K	T	Buy	04/22/19	J		
154.					Buy (add'l)	08/12/19	J		
155.					Buy (add'l)	11/25/19	K		
156. ISHARES 1-3 YR TREASURY BOND ETF (SHY)	A	Dividend	J	T	Sold	03/20/19	J		
157.					Buy	04/22/19	K		
158.					Sold (part)	08/12/19	J		
159.					Sold (part)	11/25/19	K		
160. ISHARES 3-7 YR TREASURY BOND ETF (IEI)	A	Dividend			Buy (add'l)	01/24/19	J		
161.					Sold (part)	02/25/19	J		
162.					Sold	04/22/19	K		
163. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	J	T	Buy (add'l)	01/24/19	J		
164.					Sold (part)	06/17/19	J		
165. ISHARES MSCI JAPAN ETF NEW (EWJ)	A	Dividend			Sold (part)	01/24/19	J		
166.					Sold	02/25/19	J		
167. ISHARES CURRENCY HEDGED MSCI EUROZONE ETF (HEZU)	A	Dividend			Sold (part)	01/24/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
168.					Sold	04/22/19	J		
169. ISHARES MSCI UTD KINGDOM NEW ETF (VDC)	A	Dividend	J	T	Buy	02/25/19	J		
170. SPDR EURO STOXX 50 ETF (FEZ)	A	Dividend			Buy	01/24/19	J		
171.					Sold	04/22/19	J		
172. SPDR PORTFOLIO LARGE CAT ETF (SPLG)	A	Dividend	J	T	Buy	08/12/19	J		
173. VANGUARD CONSUMER STAPLES ETF (VDC)	A	Dividend	J	T					
174. VANGUARD ENERGY ETF (VDE)	A	Dividend	J	T					
175. VANGUARD MORTGAGE BACKED SECURITIES ETF (VMBS)	B	Dividend	K	T	Buy (add'l)	04/22/19	J		
176. VANGUARD VALUE ETF (VTV)	A	Dividend	K	T	Sold (part)	02/25/19	J		
177.					Buy (add'l)	09/23/19	J		
178. TRUST #1 (H)									
179. RESIDENTIAL PROPERTY, CODY, PARK COUNTY, WYOMING		None			Sold	06/14/19	N	G	Kevin Lee Buss Rev. Trust
180. RENTAL PROPERTY, CODY, PARK COUNTY, WYOMING	C	Rent			Sold	06/14/19			Kevin Lee Buss Rev. Trust
181. TRUST #2 (H)									
182. STIFEL BANK PROGRAM MONEY MARKET (cash)	A	Interest	J	T					
183. CARBON COUNTY WY SCHOOL DIST 1 RAWLINS BLDG BDS B/E (141028EF3)		None			Sold	04/30/19	J	A	
184. CASPER WY CMNTY CLLG DIST REV SER B B/E BABS TXBL (147647AY7)	A	Interest			Sold	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
185. AMERICAN FUNDS, CAPITAL INCOME BUILDER CL A (CAIBX)	A	Dividend			Sold (part)	04/11/19	J		
186.					Sold	04/30/19	K	B	
187. DODGE & COX STOCK (DODGX)	C	Dividend			Sold	04/30/19	L	A	
188. FIRST INVESTORS TAX EXEMPT INCOME CL A (FITAX)	A	Dividend			Sold	04/12/19	J	A	
189. FRANKLIN INCOME CL A (FKINX)	A	Dividend			Sold	04/30/19	K	A	
190. AMERICAN FUNDS, INCOME FUND OF AMERICA (AMECX)	A	Dividend			Sold	04/30/19	L	A	
191. FIRST TRUST INTERMEDIATE DURATION PFD & INCOME FUND (FPF)	A	Dividend			Sold	04/30/19	J	A	
192. PENNANT PARK FLOATING RATE CAP LTD (PFLT)	A	Dividend			Sold	04/30/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

FREUDENTHAL, NANCY D.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name change: OPPENHEIMER GLOBAL VALUE CL Y (GLVYX) is now INVESCO OPPENHEIMER GLOBAL VALUE CL Y (GLVYX).

The properties from Trust #1 are located on the same parcel of land and were sold collectively in a single transaction. There is not a way to separately allocate value and gain for the transaction to individual units, so they are reported collectively on line 179.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/10/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ NANCY D. FREUDENTHAL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544