

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harrison, Marian F.	2. Court or Organization U.S. Bankruptcy Court-TN-M	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Bankruptcy Court 232 Customs House-701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee (Insurance Trust)	Trust No. 1
2.	Member Board of Directors	National Conference of Bankruptcy Judges
3.	Member Finance Committee	National Conference of Bankruptcy Judges
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NCBJ	04/04/2011 - 04/05/2011	Palm Desert, CA	Mid-Year Meeting	Transportation, meals, hotel
2. NCBJ	10/11/2011 - 10/15/2011	Tampa, FL	Annual Meeting	Transportation, meals, hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual	Insurance Policy Loan	K
2.	American Express	Credit Card	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Coca Cola Stock	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.		None	J	T					
3. John Hancock #1 (Trust No. 1)		None	K	T					
4. John Hancock #2 Policy		None	J	T					
5. Northwestern Mutual Policy - Whole Life	B	Dividend	K	T					
6. Savings Account- U.S. Community Credit Union	A	Interest	J	T					
7. SunTrust Checking Account #1		None	J	T					
8. SunTrust Checking Account #2		None	K	T					
9. UBS Bank USA Dep. Acct. - IRA	A	Interest	K	T					
10. Henderson Global Invest. Int'l Opport. Fund A - IRA	A	Dividend	L	T					
11. American Funds Grwth. Fund Class F - IRA		None			Sold	04/25/11	K	D	
12. Fidelity Adv. Strateg. Inc. Fund Class A - IRA	B	Dividend	K	T					
13. UBS Pace Money Mkt. Fund Class P - IRA	A	Dividend	J	T					
14. Oppenheimer Int'l Bond Fd. Class A - IRA	B	Dividend	K	T					
15. Davis New York Venture Fd. Class A - IRA	A	Dividend	J	T	Sold (part)	04/25/11	J	B	
16. Columbia Mid Cap Value Fund A - IRA	A	Dividend			Sold	04/25/11	K	A	
17. American Funds Cap. Inc. Bldr. Fund Class F - IRA	A	Dividend			Sold	04/25/11	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Apple, Inc. - IRA		None	L	T					
19. Touchstone Mid Cap Grwth Fund Class A IRA	A	Dividend	J	T					
20. Google, Inc. - IRA		None	K	T					
21. Johnson & Johnson - IRA	A	Dividend	J	T					
22. NY Times Co. - IRA		None	J	T					
23. Mainstay Funds Hi Yld Corp. Bond A - IRA	A	Dividend	J	T					
24. UBS Select Prime Inv Fund - IRA	A	Dividend	K	T					See note in Part VIII
25. Alger Spectra Fund Class A - IRA	A	Dividend	K	T	Buy	04/26/11	K		
26. Parnassus Fund - IRA	B	Dividend	K	T	Buy	04/26/11	K		
27. Royce Special Equity Fund - IRA	B	Dividend	K	T	Buy	04/26/11	K		
28. Virtus Prem Alpha Sector Fund - IRA	A	Dividend	J	T	Buy	04/26/11	J		
29. Blackrock Global Alloc Fund A - IRA	A	Dividend	K	T	Buy	04/26/11	K		
30. BHP Billiton LTD Spon Adr - IRA	A	Dividend	J	T	Buy	08/01/11	J		
31.					Buy (add'l)	08/08/11	J		
32. Siemens A.G. Spon Adr - IRA		None	K	T	Buy	03/17/11	J		
33.					Buy (add'l)	08/01/11	J		
34.					Buy (add'l)	08/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII. INVESTMENTS and TRUSTS. Line 24. UBS Select Prime Inv. Fund is a money market fund and transactions need not be reported in Column D. Transactions were mistakenly reported on the 2010 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian F. Harrison**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544