

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CARTER, DAVID O.	2. Court or Organization UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CA	3. Date of Report 04/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Ronald Reagan Federal Building and United States Courthouse 411 West 4th Street, Suite 9-160 Santa Ana, California 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	November 10th Association (Marine Corps)
2. Board Member	On Track (Stop Substance Abuse Among Children)
3. Advisory Board Member	KinderCamrarina (Encourage Minority Youth Re Education)
4. Board Member	Orange County Federal Bar Association
5. Judge Advocate/Sgt at Arms	1st Battalion 9th Marines Association
6. Board Member	Global Center on Cooperative Security, Counter Terrorism Executive Directorate, United Nations

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	States of CA - Judicial State Retirement	\$111,882.15
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	United States Department of Justice (OPDAT)	04/12 - 04/20	Kuala Lumpur, Malaysia	Seminar	Transportation, Food & Lodging
2.	United States Patent and Trademark Office	05/18 - 05/24	Nur-Sultan, Kazakhstan	Seminar	Transportation, Food & Lodging
3.	United States Department of Justice (OPDAT)	05/25 - 05/30	Pristina, Kosovo	Seminar	Transportation, Food & Lodging
4.	United States Department of Justice (OPDAT)	06/08 - 06/15	Kuala Lumpur, Malaysia	Seminar	Transportation, Food & Lodging
5.	United Nations Counter-Terrorism, Global Center on Cooperative Security	06/23 - 06/27	Islamabad, Pakistan	Seminar	Transportation, Food & Lodging

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6.	United States Department of Commerce	07/07 - 07/13	Sarajevo, Bosnia-Herzegovina	Seminar	Transportation, Food & Lodging
7.	United States Department of Commerce	07/16 - 07/22	Batumi, Georgia	Seminar	Transportation, Food & Lodging
8.	United States Department of Justice (OPDAT)	08/30 - 09/06	Ulaanbaatar, Mongolia	Seminar	Transportation, Food & Lodging
9.	Federal Judges Association	09/13 - 09/18	Astana, Kazakhstan	Seminar	Transportation, Food & Lodging
10.	United Nations Counter-Terrorism, Global Center on Cooperative Security	09/24 - 09/26	New York, New York	Seminar	Transportation, Food & Lodging
11.	United Nations Counter-Terrorism, Global Center on Cooperative Security	09/28 - 10/04	Male, Maldives	Seminar	Transportation, Food & Lodging
12.	United States Department of Justice (OPDAT)	10/05 - 10/12	Myanmar, Burma	Seminar	Transportation, Food & Lodging
13.	Journal of Law & Cyber Symposium	10/14 - 10/17	New York, New York	Seminar	Transportation, Food & Lodging
14.	United States Department of Justice (OPDAT)	11/16 - 11/23	Kuala Lumpur, Malaysia	Seminar	Transportation, Food & Lodging
15.	Executive Branch of Government, Secretary of Education	12/10 - 12/12	Washington, DC	Seminar	Transportation, Food & Lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	United Chase Visa	Credit Card	None
2.	MasterCard Citibank	Credit Card	None
3.	MasterCard Chase	Credit Card	None
4.	Visa Citi Bank	Credit Card	None
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property #1, Orange County, California	E	Rent	O	W					
2. Vacant Land #1 - Siskiyou County, California		None	M	W					
3. Union Bank of CA	A	Interest	J	T					
4. Vacant Land #2, Pugatory, Colorado		None	M	W					
5. Wells Fargo Bank	A	Interest	J	T					
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DAVID O. CARTER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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