

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Andrew P.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 05/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South, Room 6018 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Gordon, Andrew P.

Date of Report

05/07/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	National Institute for Trial Advocacy - teaching	\$900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Institute for Trial Advocacy	9/17-20/19	Boulder, CO	Teaching trial skills	Transportation, meals, lodging
2.	State Bar of Nevada	6/26-29/19	Vail, CO	Teaching trial skills	Transportation, meals, lodging
3.	Pepperdine University	4/15/19	Malibu, CA	Teaching clerkship skills	Transportation, meals
4.	Howard McKibben Inn of Court	4/9/19	Reno, NV	Attend Inn of Court meeting	Transportation, meals
5.					

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Gordon, Andrew P.	05/07/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. - Wells Fargo Advisors LLC (Cash Accounts)	A	Interest	N	T					
3. Wells Fargo (Cash Accounts)	A	Interest	M	T					
4. Charles Schwab (Cash Accounts)	A	Interest	M	T					
5. Microsoft Corp. stock	A	Dividend	J	T					
6. Walt Disney stock	A	Dividend	K	T					
7. Franklin Templeton Age-Based 529 - Age 17-18	A	Interest			Redeemed	08/28/19	J	D	
8. iShares MSCI ETF EAFE Minimum Volatility	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
9. Pimco Exhchange Traded Fund Intermediate Municipal Bond Exchange	B	Dividend	L	T	Sold (part)	01/17/19	J		
10. Apple	A	Dividend	J	T	Sold (part)	10/28/19	J	D	
11. Cognizant Tech Solutions Class A	A	Dividend	J	T					
12. CVS Health Corp	A	Dividend	J	T	Sold (part)	12/27/19	J	A	
13. EGShares Emerging Markets Consu ETF	A	Dividend	K	T	Buy (add'l)	01/31/19	J		
14. Google Inc.		None	J	T					
15. Invesco (formerly Guggenheim) Bulletshares 2020 Corp Bond ETF	A	Dividend	K	T	Buy (add'l)	08/14/18	J		
16.					Buy (add'l)	10/07/19	J		
17.					Sold (part)	02/04/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Invesco (formerly Guggenheim) S&P 500 Equalwei Tech ETF (Y)									
19. Invesco (formerly Guggenheim) S&P 500 Equalwei Con ETF	A	Dividend			Sold	01/31/19	J	A	
20. Invesco (formerly Guggenheim) S&P 500 Equalwei Eng ETF	A	Dividend			Sold	01/31/19	J	A	
21. Invesco (formerly Guggenheim) S&P 500 Equalwei Fin ETF	A	Dividend			Sold	01/31/19	J	A	
22. Invesco (formerly Guggenheim) S&P 500 Equalwei HC ETF	A	Dividend			Sold	01/31/19	J	A	
23. Invesco (formerly Guggenheim) S&P 500 Equalwei ETF	A	Dividend			Sold	01/31/19	J	A	
24. iShares National AMT Freemuni ETF	A	Dividend	M	T					
25. Qualcomm Inc.	A	Dividend	J	T	Sold (part)	01/22/19	J	D	
26. United Healthgroup Inc.	A	Dividend	J	T	Sold (part)	01/22/19	J	C	
27. Valero Energy Corp	A	Dividend	J	T					
28. First Trust North American Energy ETF	A	Dividend	K	T	Sold (part)	08/09/19	J	C	
29. Gilead Sciences Inc	A	Dividend	J	T					
30. iShares ETF Ibonds Sep 2020 AMT	A	Dividend	M	T					
31. Select Sector Industrial SPDR ETF	A	Dividend	K	T					
32. Technology Select Sector	A	Dividend	K	T					
33. Egshares ETF India Infastructure	A	Dividend			Distributed	06/21/19	J	D	
34. Western Digital Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35. Columbia India Consumer ETF	A	Dividend	J	T					
36. iShares MSCI Cap Invest Ireland	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
37. iShares MSCI Sweden ETF	A	Dividend	K	T	Buy (add'l)	08/07/19	J		
38. iShares IBD DC 2022 Term MNBD ETF	A	Dividend	M	T					
39. Select STR Financial SPDR ETF	A	Dividend	K	T					
40. Vanguard Consumer Staples ETF	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
41. Invesco (formerly Guggenheim) Bullet 2022	A	Dividend	J	T					
42. Pimco 1-5 Year US Tips Index ETF	A	Dividend	J	T					
43. iShares IBonds Dec. 2019 Term CRP ETF	A	Dividend	K	T					
44. iShares IBonds Dec. 2021 Term CRP ETF	A	Dividend	J	T					
45. iShares IBonds Dec. 2023 Term CRP ETF	A	Dividend			Sold	06/18/19	J	B	
46. iShares IBonds Dec. 2024 Term ETF	A	Dividend			Sold	06/18/19	J	B	
47. ETFs Gold Trust ETF		None	J	T	Sold (part)	08/07/19	J	B	
48. Digital Realty Trust	A	Dividend	J	T					
49. IShares MSCI EAFE Small Cap	A	Dividend	J	T					
50. Invesco (formerly Powershares) FTSE Intl LWBT ETF	A	Dividend	J	T	Buy (add'l)	08/08/19	J		
51.					Sold (part)	11/14/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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52. ETFs Silver Trust ETF		None	J	T	Buy (add'l)	01/31/19	J		
53.					Sold (part)	08/07/19	J	A	
54. Ventas Inc	A	Dividend			Sold	01/22/19	J	A	
55. Booking Holdings Inc. (formerly Priceline Group)		None	J	T	Sold (part)	01/22/19	J	A	
56. Make My Trip Limited		None	J	T					
57. Energy Select Sector SPDR ETF	A	Dividend			Sold	06/27/19	J		
58. Ishares IBonds Dec. 2023 Muni Bond ETF	A	Interest	L	T					
59. Ishares MSCI Singapore	A	Dividend	J	T					
60. Ishares MSCI Thailand	A	Dividend			Sold	02/27/19	J	A	
61. Select Sector Health Care SPDR ETF	A	Dividend	J	T					
62. Select Sector Utilities SPDR ETF	A	Dividend	K	T					
63. Vanguard Consumer Discretionary ETF	A	Dividend	J	T	Sold (part)	01/17/19	J	A	
64. Vanguard Financials ETF	A	Dividend	J	T					
65. Wisdom Tree Floating Rate Treasury ETF	A	Dividend			Buy (add'l)	01/31/19	J		
66.					Buy (add'l)	06/27/19	J		
67.					Sold	11/04/19	J		
68. XTrackers MSCI ALCha EQY ETF	A	Dividend			Buy (add'l)	01/22/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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69.					Sold	03/22/19	K	B	
70. Invesco S&P 500 Equal Weight Real Estate ETF	A	Dividend			Sold	01/31/19	J	A	
71. Invesco S&P 500 Equal Weight Technology ETF	A	Dividend			Sold	01/31/19	J	D	
72. Invesco S&P 500 Equal Weight Utilities ETF	A	Dividend			Sold	01/31/19	J	A	
73. Columbia Sportwear	A	Dividend	J	T					
74. HCA Healthcare Inc. (Y)									
75. US Treasury Bill 19 U.S. T-Bill Due 6/20/19		None			Matured	06/20/19	J	D	
76. Clark County, NV SD 5% 19 GO LTX Due 6/15/19	C	Interest			Matured	06/15/19	J		
77. IShares MSCI India		None			Buy	06/27/19	J		
78.					Sold	12/11/19	J	A	
79. Invesco S&P Pure Growth	A	Dividend	J	T	Buy	01/31/19	J		
80. Invesco S&P Pure Value	A	Dividend	J	T	Buy	01/31/19	J		
81. Invesco India ETF	A	Dividend	J	T	Buy	01/31/19	J		
82. IShares MSCI Mexico	A	Dividend	J	T	Buy	06/12/19	J		
83. IShares MSCI Malaysia	A	Dividend	J	T	Buy	02/27/19	J		
84.					Buy (add'l)	11/04/19	J		
85. IShares Floating Rate	A	Dividend	J	T	Buy	06/18/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86. Schwab US Large Growth	A	Dividend	J	T	Buy	01/31/19	J		
87. Schwab Short Term Treas	A	Dividend	J	T	Buy	06/19/19	J		
88.					Buy (add'l)	07/22/19	J		
89. Schwab US Large Cap Value	A	Dividend	J	T	Buy	01/17/19	J		
90. Communication SVS SLCT SEC	A	Dividend	J	T	Buy	01/17/19	J		
91. Enbridge	A	Dividend	J	T	Buy	06/27/19	J		
92. Vanguard FTSE	A	Dividend	J	T	Buy	11/04/19	K		
93. Hitachi Ltd		None	J	T	Buy	12/11/19	J		
94.					Buy (add'l)	12/13/19	J		
95. Novartis		None	J	T	Buy	07/17/19	J		
96. Pioneer Natural Resources	A	Dividend	J	T	Buy	06/22/19	J		
97.					Buy (add'l)	10/28/19	J		
98. Roche Holding		None	J	T	Buy	07/17/19	J		
99. Total SA		None	J	T	Buy	10/23/19	J		
100. Vaneck Vector Vietnam	A	Dividend	J	T	Buy	11/24/19	J		
101.					Buy (add'l)	12/11/19	J		
102. XTrackers Hrvst CSI 300 China A Shr. ETF	A	Dividend	K	T	Buy	03/22/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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103. Allergen	A	Dividend			Buy	01/17/19	K		
104.					Sold	07/17/19	K	A	
105. Invesco Bulletshares 2019 Corporate Bond ETF (X)	A	Dividend			Buy	08/10/18	J		
106.					Buy (add'l)	01/31/19	J		
107.					Buy (add'l)	06/27/19	J		
108.					Sold	10/31/19	J	A	

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Gordon, Andrew P.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, lines 1 and 2 re Trust #1: I and [REDACTED] are co-trustees of a trust that has been segregated into four cash accounts, one for each of me and three of [REDACTED]. The aggregate amount of those combined cash accounts is reflected in Column C(1). My separate account would be a Category M.
- 2) Part VII, line 15 (Guggenheim Bulletshares 2020 Corp. Bond ETF) : I discovered that in 2018 I made an additional purchase of this asset that was not included in last year's report. So I am including that transaction in this report.
- 3) Part VII, line 18 (Guggenheim S&P 500 Equal Weight Technology ETF): I discovered that in 2018 this asset had a name change to Invesco S&P 500 Equal Weight Technology ETF, which I listed in last year's report. Thus, last year I inadvertently reported the same asset twice under different names.
- 4) Part VII, line 33 (Egshares ETF India): This asset was liquidated as part of a corporate action and cash paid out.
- 5) Part VII, line 74 (HCA): I purchsaed this stock in 2018 as a Christmas gift for [REDACTED]. I transferred it [REDACTED] in 2019.
- 6) Part VII, line 105 (Invesco Bulletshares 2019 Corporate Bond ETF): I discovered that I originally purchased this asset in 2018, but did not report it on last year's report. So I am adding it here, along with transactions from this year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andrew P. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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