

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gustafson, John P.	2. Court or Organization U.S. Bankruptcy Court, Ohio	3. Date of Report 06/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court James M. Ashley and Thomas W.L. Ashley U.S. Courthouse 1716 Spielbusch Avenue, Rm. 113 Toledo, Ohio 43604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of the Board of Directors.	The NACCTT Academy (01/24/2013 to 12/31/2019) non-profit educational 501(c)(3)
2. Trustee for [REDACTED] Trust	[REDACTED] Trust: [REDACTED] trust, [REDACTED] beneficiary.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	01/23/2019 to 01/25/2019	Salt Lake City, UT	Educational presentations made at ABI Rocky Mountain Bankruptcy Conference.	Travel (plane fare), meal, est. cost of hotel.
2.	American Bankruptcy Institute	02/18/2019	Detroit, MI	Served as a moot court judge for pre-Duberstein moot court competition.	Parking.
3.	Arkansas Bar Association	03/27/2019 to 3/29/2019	Little Rock, AK	Speaking at 23rd Annual Debtor/Creditor Law Conference	Travel (plane fare), hotel (which included breakfast), mileage, and parking.

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4.	Alabama State Bar	06/07/2019 to 06/08/2019	Destin, FL	Speaking at SanDestin Bankruptcy on the Beach Seminar	Travel (cost of air travel - which was lower - in lieu of mileage), hotel room.
5.	University of Kentucky	06/20/2019	Lexington, KY	Speaking at the 19th Biennial UK/CLE Judge Joe Lee Bankruptcy Institute	A box lunch.
6.	Federal Judicial Center	07/08/2019 to 07/10/2019	New York, NY	Attend educational seminar for bankruptcy judges	Travel, meals and hotel.
7.	NACTT Academy	07/17/19 to 07/20/2019	Indianapolis, IN	Speaking at National Conference of Chapter 13 Trustee's seminar.	Travel (mileage), hotel, and provided food.
8.	Federal Bar Association, Western District of Michigan	07/26/2019 to 07/28/2019	Thompsonville, MI	Speaking at 31st Annual Bankruptcy Section Seminar	Travel (mileage), hotel and provided food.
9.	State Bar of Montana	08/08/2019 to 08/09/2019	Missoula, MT	Speaking at 2019 Bankruptcy Section CLE	Travel (plane fare), hotel, and provided meal.
10.	Credit Education Coalition	11/01/2019	Columbus, Ohio	Educational presentation made at annual Fall CEC bankruptcy educational seminar.	Travel (mileage), hotel, provided breakfast.
11.	American Bankruptcy Institute	11/11/2019	Southfield, MI	Educational presentation made at the annual Steven Rhodes Veteran's Day Seminar	Meal

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA with Charles Schwab									
2. - Research Frontiers, Inc. (Symb. 'REFR') common stock in IRA		None	J	T					
3. - Global Diversfd Inds. New (Restricted Shares) in IRA, prob. defunct		None	J	W					
4. - Vanguard 500 Index Fund (VFINX) in IRA.	A	Int./Div.	J	T					
5. - Cash in IRA	A	Interest	M	T					
6. Family Trust									
7. - Research Frontiers (symbol REFR)		None	L	T					
8. - China Continental Inc. (Symbol CHCL) - probably defunct		None	J	W					
9. - Global Diversified Ind. - probably defunct		None	J	W					
10. - The Majestic Companies, LTD - (Symbol MJXX) probably defunct		None	J	W					
11. - Unitrend, Inc. probably defunct.		None	J	W					
12. State Teachers Retirement System of Ohio Retirement Account.		None	J	V					
13. Structure in Retirement Community, DeLand, Volusia County, Florida		None	L	W					
14.									
15.									
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

State Teachers Retirement System of Ohio is from teaching one class for two years at the University of Toledo more than a decade ago. The amount is minimal, and I chose "other" as the basis for valuation because the statement from STRS does not provide any information other than an amount and I relied on the STRS statement.

Couple who () who stay on the DeLand, FL property pay the lot rent and monthly fees associated with it for the winter months when they reside there full time. Fees in 2019 were about \$650 a month - they paid for January through March, and October through December, 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John P. Gustafson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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