

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alonso, Jorge L.	2. Court or Organization United States District Court, Northern District of Illinois	3. Date of Report 07/02/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge, United States District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Everett M. Dirksen United States Courthouse 219 South Dearborn Street Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Daniel Murphy Scholarship Fund
2. Adjunct Professor	John Marshall Law School
3. Member, Board of Directors	Cristo Rey Jesuit High School
4. Lecturer at Law	University of Chicago Law School
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	Judges' Retirement System of Illinois
2. Current	Participant in Federal Retirement System
3.	

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Alonso, Jorge L.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	John Marshall Law School: compensation as adjunct professor	\$9,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Alonso, Jorge L.	07/02/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Education Services	Education loan	J
2. Northwestern Mutual Life Insurance Company	Loan from life insurance policy	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds: Bond Fund of America	A	Int./Div.	J	T					
2. American Funds: EuroPacific Growth Fund	A	Int./Div.	J	T					
3. American Funds: Growth Fund of America	A	Int./Div.	J	T					
4. American Funds: Intermediate Bond Fund of America	A	Int./Div.	J	T					
5. American Funds: New Economy Fund	A	Int./Div.	J	T					
6. American Funds: New Perspective Fund	A	Int./Div.	J	T					
7. American Funds: Smallcap World Fund	A	Int./Div.	J	T					
8. American Funds: The Investment Company of America	A	Int./Div.	J	T					
9. American Funds: Washington Mutual Investors Fund	D	Int./Div.	J	T					
10. Bright Start (Sec. 529) Age Based 15-16 Moderate Portfolio Acct. #1	A	Int./Div.	M	T	Buy (add'l)	03/26/19	J		
11. Bright Start (Sec. 529) Age Based 17-18 Moderate Poirtfolio Acct. #2	A	Int./Div.	M	T	Buy (add'l)	03/26/19	J		
12. T. Rowe Price New Income Fund	A	Int./Div.	J	T					
13. Chase Bank Cash Accounts	A	Interest	J	T					
14. Northwestern Nutual Life Ins. Co. (Whole Life Policy)	A	Int./Div.	J	T					
15. Blackrock Index FDS: iShares MSCI EAFE International Index Fund	C	Int./Div.	K	T					
16. Vanguard Charlotte FDS: Vanguard Total International Bond Index Fund	A	Int./Div.	J	T					
17. JPMorgan US Government Money Market Fund	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Index Trust (S&P 500 Index)	A	Int./Div.	K	T					
19. Fidelity 500 Index Fund	B	Int./Div.	L	T	Buy (add'l)	01/04/19	J		
20. JPMorgan ETF-Canada	A	Int./Div.	J	T	Buy (add'l)	04/08/19	J		
21. JPMorgan ETF-Asia Excluding Japan	A	Int./Div.	J	T					
22. JPMorgan ETF-Europe	A	Int./Div.	J	T	Buy (add'l)	04/08/19	J		
23. JPMorgan ETF-Japan	A	Int./Div.	J	T					
24. Six Circles Int'l Equity Fund	A	Int./Div.	J	T	Buy (add'l)	04/08/19	J		
25. Six Circles U.S. Equity Fund	A	Int./Div.	K	T	Buy (add'l)	04/08/19	J		
26. Six Circles Ultra Short Duration Fund	A	Int./Div.	J	T					
27. Vanguard Total Bond Market Index Fund	A	Interest	J	T	Buy (add'l)	02/07/19	J		
28. Vanguard Total International Bond ETF	A	Interest	J	T	Buy (add'l)	02/07/19	J		
29. Fidelity International Index Fund	A	Int./Div.	J	T	Buy	04/08/19	J		
30. iShares 20 Year Treasury Bond ETF	A	Interest	J	T	Buy	09/23/19	J		
31. iShares 10 Year Treasury Bond ETF	A	Interest	J	T	Buy	02/14/19	J		
32. iShares MBS ETF	A	Int./Div.	J	T	Buy	02/07/19	J		
33. Causeway International Value Fund		None			Sold	02/14/19	J	A	
34. Dodge & Cox Funds: Dodge & Cox International Stock Fund		None			Sold	02/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. MFS Research (International Fund)		None			Sold	02/07/19	J	A	
36. Dodge & Cox Income Fund	A	Int./Div.	J	T	Buy (add'l)	02/07/19	J		
37. PIMCO Fund PAC INV (Credit Bond Fund)		None			Sold	02/07/19	J	A	
38. PIMCO Total Return Fund		None			Sold	02/07/19	J	A	
39. Vanguard Fixed Income Fund		None			Sold	02/07/19	J	A	
40. Vanguard Short Term Bond ETF		None			Sold	02/07/19	J	A	
41.									
42.									
43.									
44.									
45.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions (Line 4):

Note that while Judge Alonso is a Lecturer at Law at the University of Chicago Law School, his is a voluntary/unpaid position.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jorge L. Alonso**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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