

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PADOVA, JOHN R.	2. Court or Organization EASTERN DISTRICT OF PA	3. Date of Report 03/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 17613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member of Advisory Board	Regina Academies
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. N.Y. Intellectual Property Law Assoc., Inc.	04/01/2016 - 04/02/2016	New York, NY	Annual dinner and program	transportation, cab, tips, hotel, meals for Judge and spouse.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC BANK CHKG (J)	A	Interest	K	T					
2. ANN M. PADOVA, IRA PNC(S)	A	Interest	K	T					
3. Boston Capital (J) 17	A	Interest	J	T					
4. Bank Chkg & Sav. (J) Citizens Bank	A	Interest	J	T					
5. Exelon (J)	A	Dividend	K	T					
6. USAA Sav-Act	A	Interest	K	T					
7. Boston Cap Ser 23	A	Dividend	J	T					
8. Boston Cap SER 15 & 18 (J)	A	Interest	J	T					
9. Vanguard B/M - IRA	C	Dividend	M	T					
10. Vanguard 500 - IRA	D	Dividend	N	T					
11. PNC Bank Sav. (S) & Ckg (S)	A	Interest	L	T					
12. Bernstein Div. Mun SNDPX (S)	B	Dividend	L	T					
13. AllianceBernstein Multi Manager Alt. Fnd IRA (AB15670)	A	Dividend	M	T					
14. AB Discovery Growth CHCYX (S)		None	J	T					
15. AB Discovery Value ABYSK (S)	A	Dividend	J	T					
16. AB International Small Cap Portfolio (S)	A	Dividend	J	T	Buy	02/22/16	J		
17.					Buy (add'l)	10/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. AB International Strategic Equities Portfolio (S)	A	Dividend	K	T	Buy	02/22/16	J		
19.					Buy (add'l)	10/27/16	J		
20. AB Small Cap Core Portfolio (S)	A	Dividend	J	T	Buy	08/05/16	J		
21.					Buy (add'l)	09/15/16	J		
22. AETNA INC (S)	A	Dividend	J	T					
23. Google GOOG (S)		None	J	T					
24. American International Goup	A	Dividend	J	T	Buy	01/28/16	J		
25. ALTRIA GROUP INC (S) (Y)									
26. AMDOCS DOX (S) (Y)									
27. American Electric Power (S) (Y)									
28. Amphenol Corp -Cl A APH (S) (Y)									
29. Ansys ANSS (S)		None			Sold	07/26/16	J	A	
30. Anthem (S) (Y)									
31. Apple AAPL (S)	A	Dividend	J	T					
32. Applied Materials Inc (S) (Y)									
33. Ball Corp (S) (Y)									
34. Bank of America BAC (S)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Bernstein Div. Mun. SDNFX (J)	B	Dividend	L	T	Buy (add'l)	09/27/16	K		
36.					Buy (add'l)	10/27/16	J		
37. Bernstein Emerging Mkts Fund SNEMX (S)	A	Dividend	J	T	Sold (part)	02/22/16	J	A	
38. Bernstein Tax-Man Inter. SNIVX (S)	A	Dividend	K	T	Sold (part)	02/22/16	J	A	
39.					Buy (add'l)	10/27/16	J		
40. Biogen BIIB (S)		None	J	T					
41. Capital One COF (S)	A	Dividend	J	T					
42. CF Industries Holdings Inc. (S) (Y)									
43. Cigna (S) (X)		None			Sold	12/06/16	J	A	
44. Citizens Financial Group (S)	A	Dividend			Sold	04/07/16	J	A	
45. Comcast CMCSA (S)	A	Dividend	J	T					
46. Costco COST (S) (Y)									
47. CVS (S)	A	Dividend	J	T					
48. Danaher DHR (S) (Y)									
49. Delta Air Lines Inc (S) (Y)									
50. Discover Financial Services DFS (S) (Y)									
51. Dollar General Corp DG (S)	A	Dividend	J	T					

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52. Dow Chemical Co (S) (X)		None	J	T					
53. Dr Pepper Snapple DPS (S) (Y)									
54. Edison International (S) (Y)									
55. Eog Resources Inc. EL (S) (Y)									
56. Estee Lauder Companies-CI A EL (S) (Y)									
57. Exxon Mobil Corp	A	Dividend	J	T	Buy	05/11/16	J		
58. Facebook Inc-A FB (S) (Y)									
59. Fiserv Inc. FISV (S) (Y)									
60. General Mills (S) (X)	A	Dividend			Sold	10/18/16	J	A	
61. Gilead Sciences GILD (S)	A	Dividend	J	T					
62. Hewlett-Packard HPQ (S)	A	Dividend	J	T					
63. Home Depot HPQ (S)	A	Dividend	J	T					
64. HP Inc. (S) (X)	A	Dividend	J	T					
65. Intl Business Machines Corp	A	Dividend	J	T	Buy	10/31/16	J		
66. Intuitive Surgical Inc. (S) (Y)									
67. ITT Corp ITT (S)	A	Dividend			Sold	09/06/16	J	A	
68. Johnson & Johnson (S)	A	Dividend	J	T					

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69. Kimberly-Clark Corp (S) (Y)									
70. Kroger KR (S)	A	Dividend	J	T					
71. L-3 Communications LLL (S) (Y)									
72. Lyondellbasell LYB (S) (Y)									
73. Mcdonald's Corp MCD (S)	A	Dividend			Sold	07/18/16	J	A	
74. Medtronic PLC MDT (S) (Y)									
75. Microsoft Corp MSFT (S)	A	Dividend	J	T					
76. Nike Inc. - C1 B NKE	A	Dividend	J	T					
77. Northrop Grumman Corp NOC (S) (Y)									
78. Oracle Corp ORCL (S) (Y)									
79. Pepsico Inc. PEP (S) (Y)									
80. Pfizer PFE (S)	A	Dividend	J	T					
81. PPL Corporation (PPL) (S)	A	Dividend			Sold	10/10/16	J	A	
82. Priceline PCLN (S)		None	J	T					
83. Progressive Corp (S) (X)		None			Sold	08/16/16	J	A	
84. Ross Stores Inc. (S) (Y)									
85. Sherwin-Williams SHW (S) (Y)									

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86. Starbucks SBUX (S)	A	Dividend	J	T					
87. Texas Instruments Inc. (S)	A	Dividend	J	T	Buy	07/29/16	J		
88. United Technologies Corp UTX (S) (Y)									
89. Unitedhealth Group Inc. UNH (S)	A	Dividend	J	T					
90. US Bancorp (S)	A	Dividend	J	T					
91. Valero Energy VLO (S) (Y)									
92. Verizon Communications, Inc. (S) (X)	A	Dividend	J	T					
93. VISA Inc V (S)	A	Dividend	J	T					
94. Voya Financial Inc. (S) (Y)									
95. Walt Disney DIS (S)	A	Dividend	J	T					
96. Wells Fargo WFC (S)	A	Dividend	J	T					
97. XEROX XRX (S) (Y)									
98. AB Discovery Growth Fnd (J)		None	J	T					
99. AB Discovery Value Fnd (J)	A	Dividend	J	T					
100. AB International Small Cap Portfolio (J)	A	Dividend	J	T	Buy	02/22/16	J		
101.					Buy (add'l)	12/13/16	J		
102. AB International Strategic Equities Portfolio (J)	A	Dividend	K	T	Buy	02/22/16	K		

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103.					Buy (add'l)	12/13/16	J		
104. AB Small Cap Core Portfolio Adv (J)	A	Dividend	J	T	Buy	08/05/16	J		
105.					Buy	09/16/16	J		
106. AETNA AET (J)	A	Dividend	J	T					
107. Allstate Corp		None			Sold	01/21/16	J	A	
108. Google Inc-CL C (J)		None	J	T					
109. Altria Group Inc (J)	A	Dividend	J	T					
110. AMDocs Ltd (J) (Y)									
111. American Electric Power (J) (Y)									
112. American International Group (J)	A	Dividend	J	T	Buy	01/28/16	J		
113.					Buy (add'l)	02/04/16	J		
114. Amphenol Corp CL A (J)	A	Dividend	J	T					
115. Ansys Inc (J)		None			Sold	07/26/16	J	A	
116. Anthem Inc. ANTM (J)	A	Dividend	J	T					
117. Apple Inc (J)	A	Dividend	J	T					
118. Applied Materials Inc. AMAT (J) (Y)									
119. Ball Corp (J)	A	Dividend			Sold	04/26/16	J	A	

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120. Bank of America (J)	A	Dividend	J	T					
121. Bernstein Diversified Municipal Portfolio SNDPX (J)	C	Dividend	M	T	Buy (add'l)	01/06/16	J		
122.					Sold (part)	01/29/16	J	A	
123.					Sold (part)	02/29/16	J	A	
124.					Sold (part)	06/30/16	J	A	
125.					Buy (add'l)	12/02/16	J		
126. Bernstein Emerging Mkts (J)	A	Dividend	J	T	Buy (add'l)	01/06/16	J		
127.					Sold (part)	02/22/16	J	A	
128.					Sold (part)	06/30/16	J	A	
129.					Buy (add'l)	12/02/16	J		
130. Bernstein Tax-Managed International Portfolio (J)	A	Dividend	K	T	Buy (add'l)	01/06/16	J		
131.					Sold (part)	02/22/16	K	A	
132.					Sold (part)	02/29/16	J	A	
133.					Buy (add'l)	12/02/16	J		
134. Biogen IDEC Inc (J) (Y)									
135. Capital One Fin Corp (J) (Y)									
136. CF Industries CF (J) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Cigna Corp (J) (X)		None			Sold	12/06/16	J	A	
138. Citizens Financial Group CFG (J)	A	Dividend			Sold	04/07/16	J	A	
139. Comcast Corp-Class A CMCSA (J)	A	Dividend	J	T					
140. Costco Whlse Corp (J)	A	Dividend	J	T					
141. CVS Health Corp (J)	A	Dividend	J	T					
142. Danaher Corp (J) (Y)									
143. Delta Air Lines Inc. DAL (J)	A	Dividend			Sold	09/26/16	J	A	
144. Discover Financial Svcs DFS (J) (Y)									
145. Dollar General Corp DG (J)	A	Dividend	J	T					
146. Dow Chemical Co (J)		None	J	T	Buy	10/21/16	J		
147. Dr Pepper Snapple (J) (Y)									
148. Eaton Corp PIC (J)	A	Dividend	J	T	Buy	09/07/16	J		
149. EBAY Inc. EBAY (J)		None	J	T					
150. Edison International (J)	A	Dividend	J	T					
151. EOG Resources (J)	A	Dividend	K	T					
152. Estee Lauder CL A (J) (Y)									
153. Exelon Corporation EXC (J)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Exxon Mobil Corp (J)	A	Dividend	J	T	Buy	05/11/16	J		
155. Facebook Inc-A (J)		None	J	T					
156. Fiserv Inc. FISV (J) (Y)									
157. General Electric (J)		None	J	T	Buy	12/21/16	J		
158. General Mills (J) (X)	A	Dividend			Sold	10/18/16	J	A	
159. Gilead Sciences (J)	A	Dividend	J	T					
160. Hewlett-Packard (J)	A	Dividend	J	T					
161. Home Depot Inc. HD (J)	A	Dividend	J	T					
162. HP Inc. (J) (X)	A	Dividend	J	T					
163. Intuitive Surgical Inc (J) (Y)									
164. ITT Corp (J)	A	Dividend			Sold	09/06/16	J	A	
165. Johnson & Johnson (J)	A	Dividend	J	T					
166. Kroger (J)	A	Dividend	J	T					
167. L-3 Communications (J) (Y)									
168. Lyondellbasell Indu-CL A (J) (Y)									
169. McDonald's Corp MCD (J)	A	Dividend			Sold	07/18/16	J	A	
170. McKesson Corp MCK (J) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Medtronic Inc (J) (Y)									
172. Merck & Co. Inc MRK (J) (Y)									
173. Microsoft Corp (J)	A	Dividend	J	T					
174. Nike Inc-CL B (J)	A	Dividend	J	T					
175. Northrop Grumman (J)	A	Dividend	J	T					
176. Oracle Corp ORCL (J) (Y)									
177. PepsiCo Inc. (J) (Y)									
178. Pfizer (J)	A	Dividend	J	T					
179. PPL Corporation PPL (J)	A	Dividend			Sold	10/10/16	J	A	
180. Priceline Group (J)		None	J	T					
181. Progressive Corp (J)		None			Buy	07/20/16	J		
182.					Buy (add'l)	07/21/16	J		
183.					Sold	08/16/16	J	A	
184. Ross Stores (J) (Y)									
185. Schlumberger Ltd (J) (Y)									
186. Sealed Air Corp (J) (Y)									
187. Sherwin-Williams (J) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Starbucks Corp (J)	A	Dividend	J	T					
189. Synchrony Financial (J) (X)	A	Dividend	J	T					
190. Tax-Aware Overlay A Portfolio SATOX (J)	A	Dividend	M	T	Buy (add'l)	01/06/16	J		
191.					Sold (part)	08/26/16	J	A	
192.					Sold (part)	10/27/16	J	A	
193.					Buy (add'l)	12/20/16	J		
194. Tax-Aware Overlay B Portfolio SBTOX (J)	B	Dividend	L	T	Buy (add'l)	01/06/16	J		
195.					Sold (part)	05/18/16	J	A	
196.					Buy (add'l)	12/20/16	J		
197. United Technologies Corp UTX (J) (Y)									
198. Unitedhealth Group Inc. UNH (J)	A	Dividend	J	T					
199. United Parcel Service-CL B (J) (X)	A	Dividend			Sold	09/15/16	J	A	
200. US Bancorp (J) (Y)									
201. Valero Entergy Corp (J) (Y)									
202. Verizon Communications (J)	A	Dividend	J	T					
203. Visa Inc Class A Shrs (J)	A	Dividend			Sold	01/19/16	J	A	
204. Voya International (J)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Walt Disney Co (J)	A	Dividend	J	T					
206. Wells Fargo (J)	A	Dividend	J	T					
207. Xerox Corp (J) (Y)									
208. AllianceBernstein Discovery Growth Fnd		None	J	T					
209. AllianceBernstein Value Fnd	A	Dividend	J	T					
210. AB International Samll Cap Portfolio	A	Dividend	J	T	Buy	02/22/16	J		
211. AB International Strategic Equities	A	Dividend	K	T	Buy	02/22/16	K		
212. AB Small Cap Core Portfolio	A	Dividend	J	T	Buy	05/23/16	J		
213.					Buy (add'l)	06/09/16	J		
214.					Buy (add'l)	08/05/16	J		
215.					Buy (add'l)	08/22/16	J		
216.					Buy (add'l)	09/15/16	J		
217. Adobe Systems Inc.		None	J	T	Buy	07/20/16	J		
218. Aetna Inc	A	Dividend	J	T	Buy (add'l)	01/13/16	J		
219. Google Inc -CL C		None	J	T					
220. Altria Group Inc	A	Dividend	J	T					
221. AMDOCS (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. American Electric Power (Y)									
223. American International Group	A	Dividend	J	T	Buy	01/28/16	J		
224.					Buy (add'l)	02/09/16	J		
225. Amphenol Corp CL A (Y)									
226. Ansys		None			Sold	07/26/16	J	A	
227. AON PLC (Y)									
228. Anthem Inc.	A	Dividend			Sold (part)	07/29/16	J	A	
229.					Sold	08/18/16	J	A	
230. Apple Inc	A	Dividend	J	T					
231. Applied Materials Inc	A	Dividend			Sold	11/07/16	J	A	
232. Ball Corp	A	Dividend			Sold	04/26/16	J	A	
233. Bank of America	A	Dividend	J	T					
234. Bernstein Diversified Municipal Portfolio	D	Dividend	N	T					
235. Bernstein Emerging Mkts	A	Dividend	J	T	Buy (add'l)	02/01/16	J		
236.					Sold (part)	02/22/16	J	A	
237. Bernstein Tax-Managed Int'l Portfolio	A	Dividend	K	T	Buy (add'l)	02/02/16	J		
238.					Sold (part)	02/22/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Biogen IDEC Inc		None	J	T					
240. Capital One Fin Corp	A	Dividend	J	T					
241. CF Industries Holdings CF	A	Dividend			Buy (add'l)	02/25/16	J		
242.					Sold	09/12/16	J	A	
243. Cigna Corp		None			Buy	08/17/16	J		
244.					Sold	12/06/16	J	A	
245. Cisco Systems Inc	A	Dividend	J	T	Buy	09/20/16	J		
246. Citizens Financial CFG	A	Dividend			Sold	04/07/16	J	A	
247. Comcast Corp-Class A	A	Dividend	J	T					
248. Computer Sciences Corp (X)	A	Dividend			Sold	04/21/16	J	A	
249. Costco Wholesale COST	A	Dividend	J	T					
250. CVS Health Corp	A	Dividend	J	T					
251. Danaher Corp (Y)									
252. Delta Air Lines Inc	A	Dividend			Buy (add'l)	07/19/16	J		
253.					Sold (part)	09/26/16	J	A	
254.					Sold	09/26/16	J	A	
255. Discover Financial Services DFS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Dollar General Corp	A	Dividend	J	T					
257. Dow Chemical Corp		None	J	T	Buy	10/21/16	J		
258. Dr Pepper Snapple	A	Dividend	J	T					
259. Eaton Corp PLC	A	Dividend	J	T	Buy	09/07/16	J		
260. EBAY Inc.		None	J	T					
261. Edison International	A	Dividend	J	T					
262. Edwards Lifesciences		None	J	T	Buy	10/31/16	J		
263. EOG Resources	A	Dividend	J	T					
264. Estee Lauder CL A	A	Dividend			Sold	06/01/16	J	A	
265. Exxon Mobil Corp	A	Dividend	J	T	Buy	05/11/16	J		
266. Facebook Inc-A		None	J	T					
267. Fiserv		None	J	T					
268. Gamestop Corp Class A (Y)									
269. General Electric Co		None	J	T	Buy	12/21/16	J		
270. General Mills (X)	A	Dividend			Sold	10/18/16	J	A	
271. Gilead Sciences Inc	A	Dividend	J	T					
272. Hellmuth & Payne	A	Dividend	J	T	Buy	04/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Hess Corp	A	Dividend	J	T	Buy	03/31/16	J		
274. Hewlett-Packard	A	Dividend	J	T					
275. Home Depot Inc. HD	A	Dividend	J	T					
276. Honeywell International Inc.	A	Dividend	J	T	Buy	04/28/16	J		
277.					Buy (add'l)	10/18/16	J		
278. HP Inc. HPQ (Y)									
279. Intel Corp (X)	A	Dividend	J	T					
280. Intl Business Machines Corp	A	Dividend	J	T	Buy	11/01/16	J		
281. Intuitive Surgical Inc		None	J	T					
282. ITT Corp	A	Dividend			Sold	09/06/16	J	A	
283. JetBlue Airways		None			Sold	05/11/16	J	A	
284. Johnson & Johnson	A	Dividend	J	T					
285. Kimberly-Clark Corp KMB (Y)									
286. Kroger Co	A	Dividend	J	T					
287. L Brands Inc. LB (Y)									
288. L-3 Communications	A	Dividend	J	T	Sold (part)	10/26/16	J	A	
289. Lyondellbasell Indu-CL A	A	Dividend			Sold	05/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Magna International Inc	A	Dividend	J	T					
291. McDonald's Corp.	A	Dividend			Sold	07/18/16	J	A	
292. McKesson Corp MCK (Y)									
293. Medtronic Inc	A	Dividend			Sold	12/08/16	J	A	
294. Merck (Y)									
295. Microsoft Corp	A	Dividend	J	T					
296. Monster Beverage (Y)									
297. Mosaic Co / The MOS		None			Buy (add'l)	01/04/16	J		
298.					Sold	02/01/16	J	A	
299. Nike Inc CL B (Y)									
300. Northrop Grumman NOC		None			Sold	06/01/16	J	A	
301. ORACLE CORP ORCL	A	Dividend	J	T	Buy (add'l)	07/29/16	J		
302. Oshkosh Corp	A	Dividend	J	T	Buy	09/12/16	J		
303. Palo Alto Networks Inc.		None	J	T	Buy	06/06/16	J		
304. Pepsico Inc	A	Dividend	J	T					
305. Pfizer Inc	A	Dividend	J	T					
306. PPL Corporation	A	Dividend			Sold	10/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. Priceline Group		None	J	T	Sold (part)	02/01/16	J	A	
308.					Buy (add'l)	11/29/16	J		
309. Progressive Corp		None			Buy	07/20/16	J		
310.					Buy (add'l)	07/21/16	J		
311.					Sold	08/16/16	J	A	
312. Public Storage (Y)									
313. Ross Stores Inc ROST (Y)									
314. Schlumberger LTD	A	Dividend	J	T	Buy (add'l)	03/03/16	J		
315. Sealed Air Corp	A	Dividend	J	T					
316. Sherwin Williams	A	Dividend			Sold	08/08/16	J	A	
317. Starbucks Corp	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
318. Synchrony Financial (Y)									
319. Tax-Aware Overlay A Portfolio Class 1	A	Dividend	L	T	Buy (add'l)	09/27/16	J		
320. Tax-Aware Overlay B Portfolio Class 1	D	Dividend	N	T	Buy (add'l)	12/20/16	J		
321. Texas Instruments Inc.	A	Dividend	J	T	Buy	07/29/16	J		
322. Tyson Foods Inc.	A	Dividend	J	T	Buy	03/11/16	J		
323. Union Pacific Corp		None			Sold	02/03/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. United Parcel Service	A	Dividend			Buy	05/12/16	J		
325.					Sold	09/15/16	J	A	
326. United Technologies UTX	A	Dividend	J	T					
327. Unitedhealth Group	A	Dividend	J	T	Sold (part)	06/02/16	J	A	
328.					Sold (part)	06/03/16	J	A	
329. US Bancorp	A	Dividend	J	T					
330. Valero Energy Corp	A	Dividend			Sold	01/04/16	J	A	
331. Verizon Communications VZ	A	Dividend	J	T	Buy (add'l)	01/27/16	J		
332. Visa Inc Class A Shrs	A	Dividend	J	T					
333. Voya Financial Inc. (X)		None			Sold	01/19/16	J	A	
334. Walt Disney	A	Dividend	J	T					
335. Wells Fargo	A	Dividend	J	T					
336. Xerox	A	Dividend	J	T					
337. IRA - Sanford C. Bernstein & Co., LLC	E	Int./Div.	P1	T					
338. - AB Discovery Growth CHCYX		None	J	T	Sold (part)	05/27/16	J	A	
339.					Sold (part)	12/01/16	J	A	
340. - AB Discovery Value Fund ABYSX	A	Dividend	J	T	Sold (part)	05/27/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold (part)	12/01/16	J	A	
342. - AB GLOBAL BOND FUND- ADV	D	Dividend	M	T	Sold (part)	05/27/16	J	A	
343.					Sold (part)	12/01/16	J	A	
344.					Buy (add'l)	12/12/16	J		
345. - AB High Income	C	Dividend	M	T	Buy	05/27/16	M		
346.					Buy (add'l)	05/31/16	J		
347.					Sold (part)	12/01/16	J	A	
348. - AB International Small Cap Portfolio	A	Dividend	J	T	Buy	02/22/16	J		
349.					Sold (part)	05/27/16	J	A	
350. - AB International Strategic Equities Portfolio	A	Dividend	K	T	Buy	02/22/16	K		
351.					Sold (part)	05/27/16	J	A	
352.					Sold (part)	12/01/16	J	A	
353. - AB Small Cap Core Portfolio Adv Cl	A	Dividend	J	T	Buy	05/23/16	J		
354.					Buy (add'l)	08/05/16	J		
355.					Buy (add'l)	08/22/16	J		
356.					Buy (add'l)	09/15/16	J		
357.					Sold (part)	12/01/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - AETNA INC	A	Dividend	J	T					
359. - Google Inc GOOG		None	J	T	Sold (part)	05/27/16	J	A	
360. - Altria Group MO	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
361. American International Group	A	Dividend	J	T	Buy	02/08/16	J		
362.					Buy (add'l)	03/07/16	J		
363.					Sold (part)	05/27/16	J	A	
364. - Amdocs DOX (Y)									
365. - American Electric (Y)									
366. - Amphenol Corp APH (Y)									
367. - Ansys Inc ANSS		None			Sold	07/26/16	J	A	
368. - Apple Inc AAPL	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
369. - Applied Materials Inc	A	Dividend			Sold (part)	05/27/16	J	A	
370.					Sold	11/07/16	J	A	
371. - Ball Corp BLL	A	Dividend			Sold	04/26/16	J	A	
372. - Bank of America BAC	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
373. - Bernstein Emerging Market SNEMX	A	Dividend	J	T	Sold (part)	02/22/16	J	A	
374.					Sold (part)	05/27/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	12/01/16	J	A	
376. - BERNSTEIN INTERMEDIATE DURATION PORTFOLIO SNIDX	D	Dividend	M	T	Sold (part)	05/27/16	J	A	
377.					Sold (part)	12/01/16	J	A	
378.					Buy (add'l)	12/13/16	J		
379. - Bernstein International SIMTX	A	Dividend	K	T	Sold (part)	02/22/16	K	A	
380.					Sold (part)	05/27/16	K	A	
381. - Biogen BIIB		None	J	T					
382. - Capital One Financial COF (Y)									
383. - CF Industries CF (Y)									
384. - Cigna Corp (X)		None			Sold	12/06/16	J	A	
385. - CITIZENS FINANCIAL GROUP CFG	A	Dividend			Sold	04/07/16	J	A	
386. - COMCAST CORP-CLASS A CMCSA		None	J	T					
387. - Computer Sciences Corp (X)	A	Dividend			Sold	04/21/16	J	A	
388. - Costco Wholesale COST	A	Dividend	J	T					
389. - CVS Corp CVS (Y)									
390. - Danaher Corp DHR	A	Dividend			Sold	05/27/16	J	A	
391. - Delta Air Lines Inc	A	Dividend			Sold	09/26/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Discover Financial Services DFS	A	Dividend							
393. - Dr Pepper Snapple Group	A	Dividend			Sold	05/27/16	J	A	
394. - Dollar General (Y)									
395. - DowChemical Co		None	J	T	Buy	10/21/16	J		
396. - Eaton Corp PLC (X) (Y)									
397. - Ebay EBAY		None	J	T					
398. - Edison International	A	Dividend	J	T					
399. - Estee Lauder CL A	A	Dividend			Sold	05/27/16	J	A	
400. - EOG Resources Inc	A	Dividend	J	T					
401. - Exxon Mobil Corp	A	Dividend	J	T	Buy	05/11/16	J		
402. - Facebook Inc-A		None	J	T	Sold (part)	05/27/16	J	A	
403. - F5 Networks FFIV (Y)									
404. - Fiserv Inc FISV (Y)									
405. - Gamestop GME	A	Dividend			Sold	03/11/16	J	A	
406. - General Electric Co		None	J	T	Buy	12/21/16	J		
407. - General Mills Inc (X)	A	Dividend			Sold	10/18/16	J	A	
408. - Gilead Sciences GILD (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PADOVA, JOHN R.

Date of Report

03/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Helmerich & Payne (X) (Y)									
410. - Hess Corp HES (Y)									
411. - Hewlett-Packard HPQ	A	Dividend	J	T					
412. - HOME DEPOT INC HD	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
413. - Honeywell International Inc.	A	Dividend	J	T	Buy	04/28/16	J		
414. - HP Inc. (X)	A	Dividend	J	T					
415. - Intel Corp (X)	A	Dividend	J	T					
416. - Intuitive Surgical SRG		None			Sold	05/27/16	J	A	
417. - ITT Corp	A	Dividend			Sold	09/06/16	J	A	
418. - Intl Business Machines Corp	A	Dividend	J	T	Buy	11/01/16	J		
419. - JETBLUE AIRWAYS JBLU		None			Sold	05/11/16	J	A	
420. - Johnson & Johnson	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
421. - KIMBERLY-CLARK CORP (Y)									
422. - Kroger Co KR (Y)									
423. - L Brands LB (Y)									
424. - L-3 Communications (Y)									
425. - Lyondellbasell LYB	A	Dividend			Sold	05/10/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Magna International (Y)									
427. - McDonald's Corp	A	Dividend			Sold	07/18/16	J	A	
428. - McKesson (Y)									
429. - Medtronic MDT	A	Dividend			Sold	12/08/16	J	A	
430. - Merck & Co MRK (Y)									
431. - Microsoft Corp MSFT	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
432. - Monster Beverage MNST (Y)									
433. - Murphy Oil Corp	A	Dividend			Sold	02/11/16	J	A	
434. - Nike Inc NKE	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
435. - NORTHROP GRUMMAN CORP	A	Dividend	J	T	Sold (part)	05/13/16	J	A	
436. - Oracle Corp (Y)									
437. - Oshkosh Corp (X) (Y)									
438. - OVERLAY A PORTFOLIO CLASS SAOOX	A	Dividend	M	T	Sold (part)	05/27/16	J	A	
439.					Sold (part)	12/01/16	J	A	
440. - OVERLAY B PORTFOLIO CLASS SBOOX	D	Dividend	N	T	Sold (part)	05/27/16	J	A	
441.					Sold (part)	12/01/16	J	A	
442.					Buy (add'l)	12/20/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Pepsico PEP	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
444. - Pfizer Inc PFE	A	Dividend	J	T					
445. - PPL Corporation	A	Dividend			Sold	10/10/16	J	A	
446. - Priceline.Com PCLN		None	J	T	Sold (part)	05/27/16	J	A	
447. - Progressive Group Inc.		None			Buy	07/20/16	J		
448.					Buy (add'l)	07/21/16	J		
449.					Sold	08/16/16	J	A	
450. - PUBLIC STORAGE (Y)									
451. - Ross Stores ROST (Y)									
452. - Schlumberger SLB	A	Dividend	J	T					
453. - Sealed Air Corp (Y)									
454. - Servicenow Inc (Y)									
455. - Sherwin Williams SHW (Y)									
456. - Starbucks SBUX	A	Dividend	J	T					
457. - SYNCHRONY FINANCIAL (Y)									
458. - Texas Instruments Inc.	A	Dividend	J	T	Buy	07/29/16	J		
459. - T-Mobile US Inc.		None	J	T	Buy	09/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Tyson Foods Inc. (X) (Y)									
461. - Union Pacific UNP	A	Dividend			Sold	02/03/16	J	A	
462. - United Parcel Services	A	Dividend			Buy	05/12/16	J		
463.					Sold	09/15/16	J	A	
464. - United Technologies UTX	A	Dividend	J	T					
465. - Unitedhealth Group	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
466. - US Bancorp USB	A	Dividend	J	T					
467. - Valero Energy VLO (Y)									
468. - Verizon Communications VZ	A	Dividend	J	T	Buy (add'l)	01/27/16	J		
469.					Sold (part)	05/27/16	J	A	
470. - VISA Inc V	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
471. - Voya Financial		None			Sold	01/19/16	J	A	
472. - Walt Disney Co DIS	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
473. - Anthem (Y)									
474. - Wells Fargo WFC	A	Dividend	J	T	Sold (part)	05/27/16	J	A	
475. - Xerox XRX (Y)									
476. - Xilinx Inc.	A	Dividend	J	T	Buy	02/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.									
478.									
479.									
480.									
481.									
482.									
483.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000				
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PADOVA, JOHN R.

Date of Report

03/06/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JOHN R. PADOVA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544