

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Farrer, Richard B.	2. Court or Organization U.S. District Court for the Western District of Texas	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 655 E. Ceasar E. Chavez Blvd. San Antonio, Texas 78206		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Team Lead	William Sessions Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Farrer, Richard B.

Date of Report

08/11/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Farrer, Richard B.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. WELLS FARGO (cash) (X)	A	Interest	J	T					
3. RENTAL PROPERTY #1, BEXAR COUNTY, TX (X)	D	Rent	N	W					
4. IRA #1 (H)									
5. FIDELITY GOVERNMENT CASH RESERVES (cash eq.) (Y)									
6. FIDELITY 500 INDEX FUND (FXAIX) (X)	A	Dividend	K	T	Buy	01/29/19	K		
7. FIDELITY FOUR IN ONE INDEX (FFNOX) (X)	C	Dividend	M	T					
8. IRA #2 (H)									
9. AMERICAN FUNDS, GROWTH PORTFOLIO-A (GWPAX) (X)	B	Dividend	K	T					
10. IRA #3 (H)									
11. AMERICAN FUNDS, CAPITAL WORLD GROWTH AND INCOME FUND-A (CWGIX) (X)	A	Dividend	J	T					
12. AMERICAN FUNDS, EUROPACIFIC GROWTH FUND-A (AEPGX) (X)	A	Dividend	J	T					
13. AMERICAN FUNDS, GROWTH FUND OF AMERICA-A (AGTHX) (X)	A	Dividend	J	T					
14. AMERICAN FUNDS, INCOME FUND OF AMERICA-A (AMECX) (X)	A	Dividend	J	T					
15. AMERICAN FUNDS, SMALLCAP WORLD FUND-A (SMCWX) (X)	A	Dividend	J	T					
16. IRA #4 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. FIDELITY 500 INDEX FUND (FXAIX)	B	Dividend	L	T					
18. FIDELITY NASDAQ COMPOSITE INDEX (FNCMX)	B	Dividend	K	T					
19. IRA #5 (H)									
20. FIDELITY 500 INDEX FUND (FXAIX)	D	Dividend	N	T					
21. IRA #6 (H)									
22. FIDELITY NASDAQ COMPOSITE INDEX (FNCMX)	B	Dividend	K	T					
23. VANGUARD 500 INDEX ADMIRAL (VFIAX)	A	Dividend	L	T					
24. INVESCO QQQ TR UNIT SER 1 (QQQ)	A	Dividend	K	T					
25. IRA #7 (H)									
26. FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (CASH) (Y)									
27. FIDELITY 500 INDEX FUND (FXAIX)	A	Dividend			Sold	06/18/19	L	A	
28. IRA #8 (H)									
29. CITIZENS BANK (CASH)	A	Interest	J	T					
30. HSBC BANK (CASH) (Y)									
31. IRA #9 (H)									
32. FIDELITY GOVT MONEY MARKET (SPAXX) (cash eq.) (Y)									
33. BROKERAGE ACCOUNT #1 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. FIFTH THIRD BANK (CASH)	A	Interest	J	T	Open	07/08/19	J		
35. BROKERAGE ACCOUNT #2 (H)									
36. FIFTH THIRD BANK INSURED DEPOSIT (CASH)	A	Interest	K	T	Open	07/23/19	J		
37. BROKERAGE ACCOUNT #3 (H)									
38. FIDELITY GOVT CASH RESERVES (FDRXX)	A	Interest	J	T					
39. FIDELITY 500 INDEX FUND (FXAIX)	A	Dividend	K	T					
40. BROKERAGE #4 (H)									
41. AMERICAN FUNDS, GROWTH PORTFOLIO A (GWPAX) (X)		None			Redeemed	07/29/19	J		
42. COLLEGEAMERICA #1 (H)									
43. AMERICAN FUNDS, CAPITAL INCOME BUILDER-529A (CIRAX) (X)	A	Dividend	J	T					
44. AMERICAN FUNDS, CAPITAL WORLD GROWTH AND INCOME FUND-529A (CWIAX) (X)	A	Dividend	J	T					
45. AMERICAN FUNDS, GROWTH FUND OF AMERICA-529A (CGFAX) (X)	B	Dividend	K	T					
46. AMERICAN FUNDS, SMALLCAP WORLD FUND-529A (CSPAX) (X)	A	Dividend	J	T					
47. COLLEGEAMERICA #2 (H)									
48. AMERICAN FUNDS, CAPITAL INCOME BUILDER-529A (CIRAX) (X)	A	Dividend	J	T					
49. AMERICAN FUNDS, CAPITAL WORLD GROWTH AND INCOME FUND-529A (CWIAX) (X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
50. AMERICAN FUNDS, GROWTH FUND OF AMERICA-529A (CGFAX) (X)	B	Dividend	K	T					
51. AMERICAN FUNDS, SMALLCAP WORLD FUND-529A (CSPAX) (X)	A	Dividend	J	T					
52. EDUCATIONAL SAVINGS PLAN #1 (H)									
53. VANGUARD TOTAL STOCK MARKET INDEX	A	Dividend	K	T					
54. UTMA #1 (H)									
55. FIDELITY NASDAQ COMPOSITE INDEX (FNCMX)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FIDELITY 500 INDEX FUND (FXAIX) was FIDELITY 500 INDEX PREMIUM CLASS (FUSVX).

INVESCO QQQ TR UNIT SER 1 (QQQ) was POWERSHARES QQQ TR UNIT SER 1 (QQQ).

VANGUARD 500 INDEX ADMIRAL (VFIAX) was VANGUARD 500 INDEX FD INVESTOR SHS (VFINX).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard B. Farrer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544