

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Facciola, John M.	2. Court or Organization District of Columbia	3. Date of Report 06/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address E. Barrett Prettyman Courthouse 333 Constitution Avenue N.W. Washington DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 08/31/2013	Georgetown University Approved Teaching at Foundations of American Law Program for foreign students	\$600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 12/31/2013	Fairfax County School System Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Ave Maria School of Law	Jan. 21, 2013	Naples, FL	Conference	(meals, transportation, lodging)
2. American Bar Assn.	Jan. 23 - 25, 2013	Tampa, FL	Conference	(meals, transportation, lodging)
3. The Sedona Conference	Feb. 20-22, 2013	Phoenix, AZ	Conference	(meals, transportation, lodging)
4. American Bar Assn.	Feb. 22-27, 2013	San Francisco, CA	Conference	(meals, transportation, lodging)
5. South Carolina Law Review	March 1, 2013	Columbia, SC	Conference	(meals, transportation, lodging)
6. Arizona State University	March 14, 2013	Tempe, AZ	Conference	(transportation)
7. American Bar Assn.	May 5, 2013	New York, NY	Conference	(transportation)

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8.	FC Business Intelligence	May 20-21, 2013	Pittsburgh, PA	Conference	(meals, transportation, lodging)
9.	Federal Circuit Bar Assn.	May 28-29, 2013	Newark, NJ	Conference	(meals, transportation, lodging)
10.	Litigation Advocacy Institute	Oct. 1, 2013	New York, NJ	Conference	(transportation)
11.	ITLEX Inc.	Oct. 16-17, 2013	Orlando, FL	Conference	(meals, transportation, lodging)
12.	The Sedona Conference	Oct. 23-26, 2013	San Diego, CA	Conference	(meals, transportation, lodging)
13.	Milwaukee Bar Assn.	Oct. 31 - Nov. 1, 2013	Milwaukee, WI	Conference	(meals, transportation, lodging)
14.	The Sedona Conference	Nov. 6-8, 2013	Phoenix, AZ	Conference	(meals, transportation, lodging)
15.	Penn. Bar Institute	Nov. 10-11, 2013	Philadelphia, PA	Conference	(meals, transportation, lodging)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit Card	J
2.	Master Card	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Savings and Money Market Accounts BB&T	A	Interest	L	T					
2. Paine Webber Cash Fund	A	Interest	J	T					
3. Federal Justice Credit Union	A	Interest	J	T					
4. ING Groep NV Perpetual Hybrid Cap PFD stock	B	Dividend			Redeemed	12/16/13	K		
5. Lehman Bros Holding Inc Preferred Stock		None	J	T					
6. Lehman Bros Holdings Ind (II) Bond		None	J	T					
7. Pacific Frontiers Life Insurance Fund	B	Interest	K	T					
8. Invesco Mortgage Capital Inc	B	Dividend	J	T					
9. United Development Fundng IV	A	Dividend	J	T					
10. Brokerage Insured Deposit Account-Bank of America	A	Interest	J	T					
11. Penn Mutual Flexible Premium Variable Life Insurance	A	Interest	K	T					
12. HSBC Bank USA NA US Indy Titans -CD	A	Interest	J	T					
13. Van Kampen Unit TR 1106	A	Dividend			Redeemed	04/30/13	J		
14. Telecom Italia Capital Note	A	Interest			Sold	06/28/13	K	A	
15. Brokerage Insured Deposit Account jmf gij co-tenants Continental Bank	A	Interest	J	T					
16. Amerenergy Generating Co Senior Note due 04/15/18	B	Interest			Sold	10/10/13	K		
17. Vodafone Group PLC New Sponsored ADR	A	Dividend			Sold	05/08/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AG Mortgage Inv. TR Inc	A	Dividend			Sold	01/08/13	J	A	
19. Amerenergy Generating Co. Inc Note due 06/01/32	B	Interest			Sold	10/03/13	J		
20. Smart Trust High 20 Div Strategy	A	Dividend			Sold	04/29/13	K	B	
21. Babson Capital (GLB Short Duration High Yield)	B	Dividend	K	T					
22. Jefferies Group Inc Senior Note	A	Interest			Sold	07/03/13	K	B	
23. Smart Trust Zacks Diversified Equity & Corp	A	Dividend	J	T	Buy	02/05/13	J		
24. Smart Trust Enhanced Value II std 7	A	Dividend	K	T	Buy	05/08/13	K		
25. Smart Trust Enhanced Value II std 7		None			Buy	08/20/13	J		
26. Smart Trust Dynamic Sector Income 5	A	Dividend	K	T	Buy	06/12/13	K		
27. First Trust Bal Inc, Eqty & ETF 5	A	Dividend	J	T	Buy	08/21/13	J		
28. CVR Refining Ld Partnership Com Unit Pub Traded Partnership	A	Distribution	J	T	Buy	10/03/13	J		
29. ARES Multi Strategy Credit Fund		None	J	T	Buy	10/28/13	J		
30. Smart Trust Cap INov GLB infra & mlp 4		None	K	T	Buy	12/16/13	K		
31. Smart Trust Tax Free Inc Ser 13 Trust	A	Dividend	J	T	Buy	04/17/13	K		
32. First Trust -3 Bal Incm Equity & ETF 3	A	Dividend	K	T	Buy	04/22/13	K		
33. First Trust Bal Incm Equity & ETF 3		None	K	T	Buy	03/21/13	J		
34. Royal Bank Scotland Sub note	A	Interest			Buy	07/03/13	K		

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35. Royal Bank Scotland Sub note		None			Sold	12/18/13	K	A	
36. Angolgold Ashanti Holdings Note	A	Interest			Buy	07/10/13	K		
37. Angolgold Ashanti Holdings note		None			Sold	12/18/13	K		
38. Smart Trust Strat Growth & Inc 4		None	J	T	Buy	12/24/13	J		
39. Smart Trust ENH Value II 8		None	J	T	Buy	12/24/13	J		
40. Smart Trust Cap Inov GLB infra & mlp 4		None	J	T	Buy	12/24/13	J		
41. Smart Trust Tax-Free Income Ser.14	A	Dividend	J	T	Buy	08/20/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Facciola**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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