

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Dubina, Joel F.	2. Court or Organization U.S. Court of Appeals/11th Cir	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge -- Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address one Church Street, Rm 408 Montgomery, AL 36104		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. I. JOEL F. DUBINA (H)									
2. Insignia Fini Group Inc.	A	Dividend	J	T					
3. Torchmark Corp Name Change 8/9/19 NOW Globe Life Inc. Co.	A	Dividend	L	T					
4. Apartment Investment Management Co.	A	Dividend	J	T					See Seciton VIII
5. U. S. Shelter Corp. Del	A	Dividend	J	T					
6. Money Market Funds Stifel, Nicolaus & Company	A	Interest	J	T					
7. 12.5% interest Investments Unlimited, an L.L.C.	F	Rent	O	W					See Section VIII.
8. 12.5% interest in house, Ada, AL		None	L	W					See Section VIII
9. Mosaic	A	Dividend	J	T					
10. VF Corp.	A	Dividend	K	T					
11. Bershire Hathaway Inc.		None	K	T	Buy (add'l)	01/25/19	K		
12. Invesco Ltd.	A	Dividend	J	T					
13. Franklin Growth	A	Dividend	J	T					
14. Franklin AL Tax Free Income CLA #354723884	A	Dividend	J	T					
15. Alabama PUB HSG Authj Cap 4.450% 01/01/04	A	Interest	J	T	Redeemed	01/12/19	J		
16. Glaxosmithkline LC Cusip	A	Dividend			Sold	12/05/19	J	A	
17. Huntsville AL Hlthcare Auth Rev Ser A 96.929 5.25%	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Huntsville AL WTR SYS REV 4.000% 11/01/31	A	Interest	J	T					
19. Mobile AL WTR & SWR Commrs Rev 98,500 4.118%	A	Interest	J	T					
20. Pepsico INC	A	Dividend	K	T					
21. Sylacauga AL Wtx B/E 4.00% due 02/01/34	A	Interest	J	T					
22. Troy AL Elec Wtr & Swr 97.614 4.82%	A	Interest	J	T					
23. University of AL at Birmingham 3.000% 10/01/30	A	Interest	J	T					
24. Wal Mart Stores Inc	A	Dividend	K	T					
25. Yum Brands Inc.	A	Dividend	J	T					
26. Yum China holdings Inc.	A	Dividend	J	T					
27. Bristol Myers Squibb	A	Dividend	J	T					
28. Intrexon Corp		None	J	T	Sold (part)	12/05/19	J		
29. Pay Pal		None			Sold	12/05/19	J	D	
30. Therapeuticsmd Inc.		None	J	T					
31. 3 D Systems		None	J	T					
32. Construction Partners Inc.		None	K	T					
33. McDermott Intl. Co.		None			Sold	12/05/19	J		
34. Altria Group Inc.	A	Dividend	J	T	Buy	04/04/19	J		

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35. Boeing Co.	A	Dividend	J	T	Buy	06/17/19	J		
36. Vanguard Federal Money Market Investor CL	A	Dividend	J	T	Buy	04/17/19	K		
37.					Sold (part)	06/17/19	J		
38.					Sold (part)	06/26/19	J		
39.					Sold (part)	08/14/19	J		
40.					Sold (part)	12/03/19	J		
41.					Sold (part)	12/05/19	J		
42.					Sold (part)	12/09/19	J		
43. Kontoor Brands Inc.	A	Dividend			Spinoff (from line 10)	05/23/19	J		
44.					Sold	12/05/19	J	A	
45. BENEFICIARY IRA									
46. Money Market Funds Stifel, Nicholas & Company	A	Interest	J	T					
47. Exxon Mobil Corp.	A	Dividend	J	T	Sold (part)	04/17/19	J		
48. Nokia Corp.	A	Dividend	J	T	Buy (add'l)	04/17/19	J		
49. II. TRUSTS ASSETS #1									
50. Money Market Funds 19/19 Investment Counsel & Trust	A	Interest	L	T					
51. Torchmark Corp.Now Globe Life Inc. Com.	B	Dividend	M	T					

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52. River Bank and Trust	A	Dividend	K	T					
53. Federated Intermediate Mun. TrShBenInt.	A	Dividend	L	T	Sold (part)	12/11/19	J	A	
54. U.S. Treasury Bond 5/375%	C	Interest	L	T					
55. Altria Group Inc.	B	Dividend	K	T					
56. John Hancock Flexible Prem. Life Ins.		None	L	U					
57. Adobe Systems		None	L	T					
58. Microsoft Corp.	A	Dividend	K	T					
59. Booking Holdings Inc. (formerly Priceline Com		None	K	T					
60. Alphabet Inc. Cap STK CLA (Formerly Google, Inc.)		None	K	T					
61. Apple Computer, Inc.	B	Dividend	M	T					
62. Amazon.Com, Inc.		None	K	T					
63. Emerson Electric Co.	A	Dividend	K	T					
64. Alphabet Inc Cap STK CLC (Formerly Google Inc. CL A))		None	K	T					
65. Bank of America Corp.	A	Dividend	K	T					
66. Suntrust Banks	B	Dividend			Closed	12/09/19	K		See Section VIII
67. Chevron Copr.	A	Dividend	K	T					
68. Fidelity Invst MM FD Govt. 1 #57	B	Interest	L	T	Buy (add'l)	12/31/19	M		

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69.					Sold (part)	12/31/19	M		
70. AT&T INC. Com	B	Dividend	K	T					
71. Du Pont E I D E Nemours & Co. Com	A	Dividend			Sold	11/19/19	J		
72. Exxon Mobil Corp. Com	A	Dividend	J	T					
73. Johnson & Johnson Com	A	Dividend			Sold	10/19/19	K	D	
74. Oracle Corp SRBD 3.4% DTD 7/8/2014 Due 7/8/2024	A	Interest	K	T					
75. Pepsico Inc. SR NT 2.25% DTD 7/30/2013 Due 1/7/2019	A	Interest			Sold	01/07/19	K		
76. Pfizer Inc. Com	B	Dividend	K	T					
77. Sempra Energy Com	A	Dividend	K	T					
78. Southern Co. Com	A	Dividend	K	T					
79. United Parcel Service In. CLD	A	Dividend	K	T					
80. U.S. Bankcorp MTN Sub NTS FR 2.95% DTD 7/23/20 Dues 7/15/22	A	Interest	K	T					
81. United Health Group Inc. SR. NT 2.875% DTD 12/8/2014 due 12/15/2021	A	Interest	K	T					
82. UnitedHealth Group Inc. Com	A	Dividend	K	T					
83. Walmart Stores Inc. Com	A	Dividend	K	T					
84. Cisco Sys Inc. OM	A	Dividend	K	T					
85. Cintas Corp. Com	A	Dividend	K	T					

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86. Comcast Corp. New NT 3.125% DTD 07/02/17 Due 7/15/22	A	Interest	K	T					
87. Costco Whsl Corp. New com	A	Dividend	K	T					
88. Crown Castle Intl Corp. New Com	A	Dividend	K	T					
89. Deere & Co. SR GLBC	A	Interest	K	T					
90. General Electric Co. NT 2.70 DTD 10/9/12 Due 10/09/12 Due 10/9/22	B	Interest	L	T					
91. Merck & co. Inc. New com	B	Dividend	K	T					
92. Oracle Corp. Com. 9/29/17 @ 48.27	A	Dividend	K	T	Sold	05/01/19	K	C	
93. U. S. Treasury Note 1.625% 2/15/16 due 2/15/26	A	Interest	K	T					
94. T-Rowe Price Tax Free High Yield Fund #59	B	Dividend	L	T					
95. Beckton Dickinson & Co. Com	A	Dividend	K	T					
96. Borgwarner Inc. com	A	Dividend			Sold	08/13/19	K		
97. Facebook Inc. CLA		None	K	T					
98. Merck & Co. Inc. New Com	B	Dividend	K	T					
99. Noble Energy Inc.. Com	A	Dividend	L	T					
100. Teleflex	A	Dividend	K	T					
101. United Technologies Corp. Com	A	Dividend	K	T					
102. Boston Properties		None	J	T	Buy	12/23/19	J		

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. Disney Walt Co. Com Disney	A	Dividend	J	T	Buy	03/12/19	J		
104. DowDupont Inc. Com	A	Dividend	J	T	Buy	06/03/19	J		
105. Eastman Chem. Co. Inc.	A	Dividend	K	T	Buy	06/03/19	J		
106. Nutrien Ltd. Com		None	J	T	Buy	12/23/19	J		
107. Cortera Inc. Com		None			Spinoff (from line 104)	06/03/19	J		
108.					Sold	07/19/19	J	A	
109. Dow Inc. Com	A	Dividend			Spinoff (from line 104)	04/02/19	J		
110.					Sold	06/03/19	J		
111. Federated Intermediate Municipal Trust #739		None	L	T	Spinoff (from line 53)	02/06/19	L		
112. TRUIST FINL. Corp. Com		None	K	T	Buy	12/09/19	K		See Section VIII
113.					Sold (part)	12/09/19	J	A	
114. PERSONAL HOLDINGS:									
115. Money Market Funds Sifel, Nicolaus & Co.	A	Interest	J	T					
116. Torchmark Corp. Name Change Now GLOBE LIFE INC.	A	Dividend	M	T					
117. 56 TMK, United Inc.	A	Dividend	J	T					
118. 12.5% interest Investments Unlimited, as L.L.C.	F	Rent	O	W					See Section VIII
119. 12.5% interest in house, Ada, AL		None	L	W					See Section VIII

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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Dubina, Joel F.

Date of Report

05/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII
121. Huntsville AL RFDG & Cap Improvements WTS Ser A	A	Interest	K	T					
122. Novartis AG RMT Sponsored ADR	A	Dividend	K	T					
123. River Bank & Trust RMT	A	Dividend	K	T					
124. Madison Cnty Brd Ed Cap OID 96.801 4.7% CPN 4.500% cusip 556751GG4	B	Interest	K	T					
125. Madison Cnty AL Brd EDP Cap Outlay Cpn 5.000% Due 9/1/36 556751GB5	B	Interest			Donated	12/09/19	J		
126. Shelby Cnty AL Brd EEDP RFDG 10 MillB/ECPN 5.0% due 2/1	B	Interest	K	T					
127. Amgen Inc.	B	Dividend	L	T	Sold (part)	12/05/19	K	D	
128. Homewood AL Edl Bldg Rev Samford Univ. 4.500%	B	Interest	K	T					
129. Huntsville AL RFDG WTS OID @97.541 3.3% CUSIP 447025NY9	A	Interest	K	T					
130. University of AL at B'ham Genl Rev A2 OID @98.653 3.1T	A	Interest	K	T					
131. Enterprise AL WTS Ser A B/E OID @96.949 4.85%	B	Interest	K	T	Sold (part)	12/10/19	J	A	
132. Foley AL WTS BE OID @98.446 4.1% CPN 4.000% due 6/1/39	A	Interest	K	T					
133. SERVISFIRST Bancshares)	A	Dividend	K	T					
134. V F Corp.	A	Dividend	K	T					
135. AL State Pub HC Auth Pub HLTH FAc OID 96.120 4%	A	Interest	K	T					
136. Berkshire Hathaway Inc		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Mobile AL Wtr & Swr Commrs. Rev B/E OID 98.500 @4.118%	A	Interest	K	T					
138. Sylacauga AL W & S B/E CPN 4% Due 2/1/31	A	Interest	K	T					
139. Brewton AL WTS SER A BAM 3% due 12/02/28	A	Dividend	K	T					
140. Jasper AL WTS BAMBLE CPN 3.250%	A	Interest	K	T					
141. HENA Inc , name change, now named Control Flow Inc.		None	L	T					
142. AIPS AIERIAN MLP ETF	B	Dividend	K	T					
143. Bristol Myers Squibb	A	Dividend	K	T					
144. Intrexon Corp		None	J	T					
145. Paypal Holdings Inc.		None			Sold	12/05/19	J	D	
146. Therapeuticsmd Inc.		None	J	T					
147. 3-D Systems Corp. Del New		None	J	T					
148. Tuscaloosa AL Pub. Edl 8 Bldg Stdnt HSG Rev 3.00% due 7/1/31	A	Interest	K	T					
149. Construction Partners Inc.		None	J	T	Sold (part)	10/07/19	J		
150. McDermott Intl. Inc.		None			Sold	12/05/19	J		
151. Altria	A	Dividend	J	T	Buy	04/04/19	J		
152. Kontoor Brands Inc.	A	Dividend			Spinoff (from line 134)	05/22/19	J		
153.					Sold	10/07/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Alcon Inc.					Spinoff (from line 122)	10/07/19	J		
155.					Sold	12/07/19	J	A	
156. PRINCETON MANAGED ACCT									
157. Money Market Funds Stiffel, Nicolaus & Co.	A	Interest	J	T					
158. AT&T	A	Dividend	J	T					
159. American Tower Corp New	A	Dividend	J	T					
160. Apple Inc	A	Dividend	J	T					
161. Boeing Company	A	Dividend	J	T					
162. Bristol Myers Squibb Company	A	Dividend	J	T					
163. Canadian Pacific Railway Limited	A	Dividend	J	T					
164. Cisco systems Inc.	A	Dividend	J	T					
165. Corning Inc	A	Dividend	J	T	Sold (part)	01/23/19	J	A	
166. Crown Castle Intl Corp New	A	Dividend	J	T					
167. Alphabet Inc. (Formerly Google Inc. CL A)		None	J	T					
168. Intel Corp.	A	Dividend	J	T					
169. Martin Marietta Materials Inc.	A	Dividend	J	T					
170. Microsoft Corp	A	Dividend	J	T					

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171. QualComm Inc.	A	Dividend	J	T					
172. Union Pacific Corp	A	Dividend	J	T					
173. Universal Electrs Inc.		None			Sold	04/18/19			
174. Verizon Communications Inc.	A	Dividend	J	T					
175. NOVO Nordisk AS ADR	A	Dividend	J	T					
176. Facebook Inc CL A		None	J	T					
177. Pfizer Inc.	A	Dividend	J	T	Sold (part)	01/15/19	J	A	
178.					Sold (part)	01/16/19	J	A	
179. Visa Inc. Class A	A	Dividend	J	T					
180. Abbvie Inc.	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
181. Amazon Com Inc.		None	J	T					
182. Comcast corp. CL Anew	A	Dividend	J	T					
183. Walt Disney co.	A	Dividend	J	T	Buy (add'l)	10/30/19	J		
184. United Parcel Service	A	Dividend	J	T					
185. CHARITABLE ACCOUNT									
186. Money Market Funds Stifel, Nicolaus & Co.	A	Interest	K	T					
187. Alexander City AL RFDG WTS Ser A OID 98.889 3.16% CPN 3.000 Due 5/1/19	A	Interest			Redeemed	05/01/19	J		

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188. Elmore Cnty AL Pub E Coop Dist Pub Ed OID @98.506 3.45%	A	Interest			Redeemed	02/01/19	K		
189. Foley AL Pub Facs Co Dist Rev CPN 4.000% Due 9/1/19	A	Interest			Redeemed	09/03/19	K		
190. Opelika AL School Warrants SER A CPN 3.500% Due 10/1/20	A	Interest	K	T					
191. AL. WTR Pollution Auth. RFDG RVLVNG 3.00% Due 8/15/21	A	Interest	J	T					
192. Phenix City AL Pub. Bldg Auth Rev Mun A DB 3.00% Due 4/1/21	A	Interest	J	T					
193. Troy AL RFDG WTS AGM OID @ 99.108 2.17% 2% due 8/1/23 3	A	Interest	K	T					
194. AL State Bd. of Ed Rev RFDG 98.742 3.00% 7/1/23	A	Interest	K	T	Buy	05/16/19	K		
195. Valley AL Wts 98.815 2.65% 3/1/20	A	Interest	K	T	Buy	05/16/19	K		
196. HORIZON ACCOUNT @ Stifel, Nicolaus & Co.									
197. Tax-exempt Accrued Interest Account		None							See Section VIII
198. Pacific Life Ins. Co. Pac Index Fundtn 5 indexed annuity	D	Interest	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 4, 7, 8, . Property located in Montgomery County, AL; (*Walton County, FL), Lowndes County, AL

110, 111 *In 2014, Investments Unlimited sold the beach house in Walton County, Florida for \$2.8 million. A family member and I received 12.5% each of the proceeds.

No. 66/112 A mandatory exchange was made of Suntrust Bank Inc. shares for Truist Finl., Line 112.

No. 112. Effective December 31, 2007, I gifted my interest in land in Montgomery County, equally to family members. I formed an L.L.C. named "Hancey Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hancey Mill Road Farm, L.L.C. and then gifted to family members in equal shares. The total value of this property at the time it gifted was \$790,000 to be equally shared by the 4. I filed a gift tax return showing this transfer on 4/15/08.

No. 197. The Tax-exempt Accrued Interest Account was rolled over into the Pacific Life Ins. Co. PAC Index Fundtn 5 Indexed Annuity that is reported on Line 198.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel F. Dubina**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544