

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, Barry	2. Court or Organization United States Bankruptcy Court	3. Date of Report 5/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Roybal Federal Building 255 East Temple Street, Suite 1660 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	L.A. FBA Medal of Valor, Non-Profit
2. Director	Western Justice Center Foundation, Non-Profit
3. Director	Activity Source for Seniors, Inc., Non-Profit
4. Director	L.A. FBA Legal Education Foundation, Non-Profit
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Book Royalty, Thomson-West	\$34,083.23
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	May 17-20, 2019	Rancho Mirage, CA	Bankruptcy Lecture	Meals, Hotel & Transportation
2. George Mason University	October 6-8, 2019	Denver, CO	Symposium on the Law and Economics of Marijuana Legalization	Meals, Hotel & Transportation
3. National Conference of Bankruptcy Judges	October 29-November 3, 2019	Washington, D.C.	Bankruptcy Lectures	Meals, Hotel & Transportation
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Southland Civic Credit Union, Los Angeles CA	C	Interest	M	T					
2. American Tax Credit Prop II, LTD. (see Part VIII) Partnership		None			Closed				
3. Time Share - Palm Springs, CA (see Part VIII for addtl information)			J	R					
4. Vanguard	A	Interest	J	U	Open	07/19/19	J		
5. MSFT	A	Dividend	J	T					
6. LUCENT	A	Dividend	J	T					
7. CISCO	A	Dividend	J	T					
8. AOL	A	Dividend	J	T					
9. IBM	A	Dividend	J	T					
10. YHOO	A	Dividend	J	T					
11. ITEL	A	Dividend	J	T					
12. E-TRADE	A	Dividend	J	T					
13. VTSS	A	Dividend	J	T					
14. MCI	A	Dividend	J	T					
15. AMAT	A	Dividend	J	W					
16. Charles Schwab & Co., Inc. (L&S Advisors) (See Part VIII)	A	Dividend	M	T					
17. ADBE	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AMT	A	Dividend	J	T	Sold (part)	03/13/19	J	A	
19. AMT	A	Dividend	J	T	Sold (part)	04/02/19	J	A	
20. AMT	A	Dividend	J	T	Sold (part)	05/22/19	J	A	
21. AMT	A	Dividend			Sold	12/10/19	J	A	
22. ALXN	A	Dividend	J	T	Buy (add'l)	04/07/19	J		
23. ALXN	A	Dividend			Sold (part)	05/20/19	J	A	
24. ALXN	A	Dividend	J	T	Buy (add'l)	07/12/19	J		
25. ALXN	A	Dividend	J	T	Sold (part)	11/22/19	J	A	
26. ALXN	A	Dividend			Sold	12/06/19	J	A	
27. TEAM	A	Dividend	J	T	Buy	04/08/19	J		
28. TEAM	A	Dividend	J	T	Buy (add'l)	05/09/19	J		
29. TEAM	A	Dividend			Sold	05/20/19	J	A	
30. BABA	A	Dividend	J	T	Sold (part)	03/29/19	J	A	
31. BABA	A	Dividend	J	T	Buy (add'l)	05/22/19	J		
32. BABA	A	Dividend	J	T	Sold (part)	08/13/19	J	A	
33. BABA	A	Dividend			Sold	10/02/19	J	A	
34. AYY	A	Dividend	J	T	Buy	06/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. AXX	A	Dividend			Sold	12/10/19	J	A	
36. GOOGL	A	Dividend	J	T					
37. AMZN	A	Dividend	J	T					
38. ADSK	A	Dividend			Sold	11/07/19	J	A	
39. AAPL	A	Dividend			Sold	08/01/19	J	B	
40. BIDU	A	Dividend	J	T	Buy	01/31/19	J		
41. BIDU	A	Dividend	J	T	Sold (part)	05/10/19	J	A	
42. BIDU	A	Dividend			Sold	05/14/19	J	A	
43. BHVN	A	Dividend	J	T	Buy (add'l)	10/02/19	J		
44. BHVN	A	Dividend			Sold	12/05/19	J	A	
45. BMRN	A	Dividend	J	T	Buy (add'l)	03/04/19	J		
46. BMRN	A	Dividend			Sold	08/08/19	J	A	
47. BLUE	A	Dividend	J	T	Sold (part)	08/13/19	J	A	
48. BLUE	A	Dividend	J	T	Sold (part)	10/07/19	J	A	
49. BLUE	A	Dividend			Sold	11/07/19	J	A	
50. AVGO	A	Dividend	J	T	Sold (part)	02/04/19	J	A	
51. AVGO	A	Dividend			Sold	06/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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52. CGC	A	Dividend			Sold	07/22/19	J	A	
53. CME	A	Dividend	J	T	Buy	05/20/19	J		
54. CME	A	Dividend	J	T	Sold (part)	10/02/19	J	A	
55. CME	A	Dividend			Sold	11/20/19	J	A	
56. CXO	A	Dividend	J	T	Buy (add'l)	03/12/19	J		
57. CXO	A	Dividend	J	T	Sold (part)	04/24/19	J	A	
58. CXO	A	Dividend			Sold	05/02/19	J	A	
59. DXCM	A	Dividend	J	T	Buy	03/13/19	J		
60. DXCM	A	Dividend	J	T	Buy (add'l)	03/22/19	J		
61. DXCM	A	Dividend	J	T	Buy (add'l)	04/10/19	J		
62. DXCM	A	Dividend			Sold	05/17/19	J	A	
63. EQIX	A	Dividend	J	T	Buy	09/23/19	J		
64. EQIX	A	Dividend			Sold	11/12/19	J	A	
65. FB	A	Dividend	J	T					
66. FIVE	A	Dividend	J	T	Buy	04/12/19	J		
67. FIVE	A	Dividend	J	T	Buy (add'l)	04/18/19	J		
68. FIVE	A	Dividend	J	T	Sold (part)	06/18/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. FIVE	A	Dividend			Sold	06/28/19	J	A	
70. FIVE	A	Dividend	J	T	Buy	08/01/19	J		
71. FIVE	A	Dividend	J	T	Sold (part)	11/22/19	J	A	
72. FIVE	A	Dividend	J	T	Buy (add'l)	12/05/19	J		
73. FIVE	A	Dividend			Sold	12/17/19	J	A	
74. FTNT	A	Dividend	J	T	Sold (part)	01/04/19	J	A	
75. FTNT	A	Dividend			Sold	05/19/19	J	A	
76. GH	A	Dividend	J	T	Buy	08/08/19	J		
77. GH	A	Dividend	J	T	Buy (add'l)	08/30/19	J		
78. GH	A	Dividend			Sold	09/11/19	J	A	
79. HD	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
80. HD	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
81. HD	A	Dividend	J	T	Sold (part)	11/05/19	J	A	
82. HD	A	Dividend			Sold	12/12/19	J	B	
83. ILMN	A	Dividend	J	T	Buy	06/14/19	J		
84. ILMN	A	Dividend	J	T	Buy (add'l)	06/18/19	J		
85. ILMN	A	Dividend			Sold	07/12/19	J	A	

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NONE (No reportable income, assets, or transactions.)

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86. INTU	A	Dividend	J	T	Buy	08/26/19	J		
87. INTU	A	Dividend			Sold	11/27/19	J	A	
88. LPI	A	Dividend			Sold	01/14/19	J	A	
89. LYFT	A	Dividend	J	T	Buy	03/29/19	J		
90. LYFT	A	Dividend	J	T	Sold (part)	04/15/19	J	A	
91. LYFT	A	Dividend	J	T	Buy (add'l)	04/27/19	J		
92. LYFT	A	Dividend	J	T	Buy (add'l)	05/14/19	J		
93. LYFT	A	Dividend	J	T	Sold (part)	05/22/19	J	A	
94. LYFT	A	Dividend	J	T	Sold (part)	05/30/19	J	A	
95. LYFT	A	Dividend	J	T	Sold (part)	06/18/19	J	A	
96. LYFT	A	Dividend			Sold	07/30/19	J	A	
97. MELI	A	Dividend	J	T	Sold (part)	02/27/19	J	A	
98. MELI	A	Dividend			Sold	03/22/19	J	A	
99. MSFT	A	Dividend	J	T	Sold (part)	05/20/19	J	A	
100. MSFT	A	Dividend	J	T	Sold (part)	11/07/19	J	A	
101. NTES	A	Dividend	J	T	Buy	08/15/19	J		
102. NTES	A	Dividend			Sold	09/17/19	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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103. NEE	A	Dividend	J	T	Buy	03/22/19	J		
104. NEE	A	Dividend			Sold	05/22/19	J	A	
105. NFLX	A	Dividend	J	T	Sold (part)	05/09/19	J	A	
106. NFLX	A	Dividend			Sold	09/19/19	J	A	
107. NVDA	A	Dividend			Sold	01/28/19	J	A	
108. ORLY	A	Dividend			Sold	01/28/19	J	A	
109. PANW	A	Dividend	J	T	Sold (part)	02/27/19	J	A	
110. PANW	A	Dividend	J	T	Buy (add'l)	05/30/19	J		
111. PANW	A	Dividend	J	T	Buy (add'l)	07/12/19	J		
112. PANW	A	Dividend	J	T	Sold (part)	07/22/19	J	A	
113. PANW	A	Dividend			Sold	08/08/19	J	A	
114. PDD	A	Dividend	J	T	Buy	08/20/19	J		
115. PDD	A	Dividend			Sold	10/24/19	J	A	
116. POST	A	Dividend	J	T	Buy	05/17/19	J		
117. POST	A	Dividend	J	T	Sold (part)	06/13/19	J	A	
118. POST	A	Dividend	J	T	Sold (part)	06/18/19	J	A	
119. POST	A	Dividend			Sold	06/20/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PXD	A	Dividend	J	T	Buy	02/20/19	J		
121. PXD	A	Dividend	J	T	Buy (add'l)	05/02/19	J		
122. PXD	A	Dividend			Sold	06/18/19	J	A	
123. PXD	A	Dividend	J	T	Sold (part)	05/20/19	J	A	
124. PXD	A	Dividend	J	T	Sold (part)	05/22/19	J	A	
125. PXD	A	Dividend			Sold	06/18/19	J	A	
126. PYPL	A	Dividend			Sold	10/02/19	J	B	
127. OLED	A	Dividend	J	T	Buy	10/14/19	J		
128. OLED	A	Dividend			Sold	12/11/19	J	A	
129. RNR	A	Dividend	J	T	Buy	05/17/19	J		
130. RNR	A	Dividend	J	T	Sold (part)	08/20/19	J	A	
131. RNR	A	Dividend			Sold	08/26/19	J	A	
132. CRM	A	Dividend			Sold	05/20/19	J	A	
133. SAGE	A	Dividend	J	T	Buy	01/04/19	J		
134. SAGE	A	Dividend	J	T	Sold (part)	01/07/19	J	A	
135. SAGE	A	Dividend	J	T	Buy (add'l)	03/04/19	J		
136. SAGE	A	Dividend			Sold	12/05/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. SFPT	A	Dividend	J	T	Buy	09/10/19	J		
138. SFPT	A	Dividend	J	T	Buy (add'l)	10/02/19	J		
139. SFPT	A	Dividend	J	T	Sold (part)	11/22/19	J	A	
140. SFPT	A	Dividend			Sold	12/06/19	J	A	
141. SNPS	A	Dividend	J	T	Buy	05/02/19	J		
142. SNPS	A	Dividend	J	T	Buy (add'l)	07/10/19	J		
143. SNPS	A	Dividend	J	T	Sold (part)	07/22/19	J	A	
144. SNPS	A	Dividend	J	T	Buy (add'l)	11/01/19	J		
145. SNPS	A	Dividend			Sold	11/20/19	J	A	
146. NOW	A	Dividend	J	T	Sold (part)	05/20/19	J	A	
147. SPLK	A	Dividend	J	T	Sold (part)	02/04/19	J	A	
148. SPLK	A	Dividend	J	T	Sold (part)	06/18/19	J	A	
149. SPLK	A	Dividend	J	T	Buy (add'l)	09/17/19	J		
150. SPLK	A	Dividend	J	T	Sold (part)	12/06/19	J	A	
151. SPLK	A	Dividend			Sold	12/12/19	J	A	
152. SHOP	A	Dividend	J	T	Sold (part)	03/11/19	J	A	
153. SHOP	A	Dividend	J	T	Sold (part)	05/20/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SHOP	A	Dividend			Sold	05/22/19	J	A	
155. SQ	A	Dividend			Sold	12/10/19	J	A	
156. TDOC	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
157. TDOC	A	Dividend	J	T	Sold (part)	03/28/19	J	A	
158. TDOC	A	Dividend	J	T	Buy (add'l)	07/22/19	J		
159. TDOC	A	Dividend			Sold	08/15/19	J	A	
160. TLRV	A	Dividend	J	T	Buy	01/15/19	J		
161. TLRV	A	Dividend	J	T	Buy (add'l)	01/24/19	J		
162. TLRV	A	Dividend			Sold	03/29/19	J	A	
163. TCEHY	A	Dividend	J	T	Buy	02/04/19	J		
164. TCEHY	A	Dividend	J	T	Sold (part)	05/21/19	J	A	
165. TCEHY	A	Dividend	J	T	Sold (part)	06/13/19	J	A	
166. TCEHY	A	Dividend	J	T	Buy (add'l)	09/04/19	J		
167. TCEHY	A	Dividend			Sold	10/14/19	J	A	
168. TMO	A	Dividend	J	T					
169. TWLO	A	Dividend	J	T	Buy	11/01/19	J		
170. TWLO	A	Dividend			Sold	12/18/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. UBER	A	Dividend	J	T	Buy	05/10/19	J		
172. UBER	A	Dividend	J	T	Buy (add'l)	08/08/19	J		
173. UBER	A	Dividend	J	T	Sold (part)	08/26/19	J	A	
174. UBER	A	Dividend			Sold	09/12/19	J	A	
175. ULTA	A	Dividend	J	T	Buy (add'l)	05/21/19	J		
176. ULTA	A	Dividend			Sold	08/30/19	J	A	
177. UNH	A	Dividend	J	T	Sold (part)	04/12/19	J	B	
178. V	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
179. V	A	Dividend	J	T	Sold (part)	04/24/19	J	B	
180. V	A	Dividend	J	T	Sold (part)	11/20/19	J	A	
181. VEEV	A	Dividend	J	T	Buy	07/10/19	J		
182. VEEV	A	Dividend	J	T	Buy (add'l)	08/26/19	J		
183. VEEV	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
184. VEEV	A	Dividend	J	T	Sold (part)	11/22/19	J	A	
185. VEEV	A	Dividend	J	T	Sold (part)	12/10/19	J	A	
186. VEEV	A	Dividend			Sold	12/12/19	J	A	
187. VHC	A	Dividend	J	T	Buy	01/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. VHC	A	Dividend			Sold	06/18/19	J	A	
189. VKTS	A	Dividend	J	T					
190. VRSK	A	Dividend	J	T	Buy	05/20/19	J		
191. VRSK	A	Dividend			Sold	11/01/19	J	A	
192. WB	A	Dividend	J	T	Buy	02/04/19	J		
193. WB	A	Dividend	J	T	Sold (part)	03/13/19	J	A	
194. WB	A	Dividend			Sold	03/22/19	J	A	
195. WIX	A	Dividend	J	T	Sold (part)	05/16/19	J	A	
196. WIX	A	Dividend			Sold	05/17/19	J	B	
197. WORK	A	Dividend	J	T	Buy	06/20/19	J		
198. WORK	A	Dividend			Sold	07/10/19	J	A	
199. XLNX	A	Dividend	J	T	Sold (part)	01/24/19	J	A	
200. XLNX	A	Dividend	J	T	Sold (part)	01/28/19	J	A	
201. XLNX	A	Dividend			Sold	05/17/19	J	A	
202. XPO	A	Dividend			Sold (part)	02/15/19	J	A	
203. XPO	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
204. XPO	A	Dividend	J	T	Sold (part)	05/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. XPO	A	Dividend	J	T	Sold (part)	05/28/19	J	A	
206. XPO	A	Dividend	J	T	Buy (add'l)	07/12/19	J		
207. XPO	A	Dividend	J	T	Sold (part)	08/08/19	J	A	
208. XPO	A	Dividend	J	T	Sold (part)	08/15/19	J	A	
209. XPO	A	Dividend	J	T	Sold (part)	11/05/19	J	A	
210. XPO	A	Dividend	J	T	Buy (add'l)	11/07/19	J		
211. XPO	A	Dividend			Sold	11/27/19	J	A	
212. ZEN	A	Dividend			Sold	04/02/19	J	A	
213. ZOOM	A	Dividend	J	T	Buy	06/13/19	J		
214. ZOOM	A	Dividend			Sold	09/06/19	J	A	
215. ZTS	A	Dividend	J	T	Buy	03/04/19	J		
216. ZTS	A	Dividend			Sold	05/20/19	J	A	
217. Stifel, Nicolaus & Company TOD Account - see Part VIII for add'l info.	C	Int./Div.	M	T					
218. FB	A	Dividend	K	T					
219. ANAHEIM CA CMNTY DIST SPL TAX 08 1 PLATINUM	A	Interest	J	T					
220. FRESNO CA PENSION OBLIGS NPFGB/ E TXBL REV	A	Interest	J	T					
221. HEMET CA USD RFDG 2012 ELECT SER A	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. GROSSMONT CA UN HIGH SCH DIST RFDG	A	Dividend			Sold	03/06/19	J	A	
223. CALIFORNIA ST PUB WKS BRD LSE REV DEPT CORR & REHAB SER H B/E	A	Dividend			Sold	11/01/19	J	A	
224. DAVIS CA SPL TAX CMNTY FACS DIST 2015 1 REV B/E	A	Interest	J	T					
225. NORWALK CA REDEV AGY TAX ALLOC REV RFDG	A	Interest	J	T					
226. CALIFORNIA ST RFDG VARIOUS PURP B/E PTC	A	Interest	J	T					
227. INDEPENDENT CITIES CA FIN MOBILE HM REV RFDG	A	Interest	J	T					
228. FOLSOM CORDOVA CA UNI SCH DIST SCH FACS IMPT DIST 4 B B/E OID	A	Interest	J	T					
229. FEDL NATL MTG ASSN REMIC SER 1994-61 CL E	A	Dividend	J	T	Sold (part)	12/25/19	J	A	
230. VALERO ENERGY PARTNERS SR NOTE	A	Dividend			Sold	08/16/19	J	A	
231. AB HIGH INCOME	A	Dividend			Sold	12/2/19	J	A	
232. OPPENHEIMER STEELPATH MLP INCOME	A	Dividend			Sold	01/11/19	J	A	
233. SMART TRUST CA MUN 6	A	Dividend			Sold	03/12/19	J	A	
234. GUGGENHEIM FLTG RATE & DIV GRWTH 14	A	Dividend			Sold	04/02/19	J	A	
235. SMART TRUST CEFA SELECT BDC 10	A	Dividend			Sold	06/12/19	J	A	
236. SMART TRUST TECHNOLOGY REVOLUTION 1 MO RE	A	Dividend	J	T					
237. FIRST TRUST EQUITY INCOME 61 MO RE	A	Dividend	J	T	Buy (add'l)	12/26/19	J		
238. CALIFORNIA HLTH FACS FING AUTH RFDG SUTTER HEALTH	A	Interest	J	T	Buy	08/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. SAN BERNARDINO CNTY CA SPL TAX CMNTY FACS DIST 2006	A	Interest	J	T	Buy	03/06/19	J		
240. HOLLISTER CA SCH DIST ELECT 2014 SER	A	Interest	J	T	Buy	01/10/19	J		
241. FEDL NATL MTG ASSN REMI SER 1994-61	A	Interest	J	T	Buy	06/05/19	J		
242. American Century Investments - Growth Fund	A	Dividend	J	U	Buy	08/07/18	J		
243. American Century Investments - Heritage Fund	A	Dividend	J	U	Buy	08/07/18	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Russell, Barry

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, No. 2. American Tax Credit Prop. II, LTD. To my knowledge, the limited partnership dissolved at some point during 2018. The exact date is unknown to me. There were no transactions during 2018 or distributions upon dissolution of the partnership.

Part VII, No. 3. Time Share - Palm Springs, CA: Purchased in December 13, 1996. Purchase price: \$7,990.00

Part VII, No. 4 Vanguard - Purchased July 17, 2019. Purchase price: \$10,000

Part VII, No. 16. Stocks listed in nos. 17-216 in Part VII are part of the L&S Advisors (Charles Schwab) account

Part VII, No. 217. Stocks listed in nos. 218-241 in Part VII are held in a Stifel Nicolas Brokerage Firm Account

Part VII, Lines 242-243: Stocks not previously reported.

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Name of Person Reporting

Russell, Barry

Date of Report

5/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barry Russell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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