

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 08/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Haight, Jr., Charles S.	08/09/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Haight, Jr., Charles S.	08/09/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

08/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Money Market	C	Dividend	N	T					
2. Walt Disney Co.	A	Dividend	K	T					
3. Home Depot Inc.	B	Dividend	K	T					
4. Berkshire Hathaway		None	L	T					
5. Quest Diagnostics	A	Dividend	K	T					
6. Conn. St. Gen. Rev. Bonds	A	Interest	M	T					
7. Capital One Savings Bank	A	Interest	M	T					
8. US Trust Co of NY Bank of America Checking Account		None	K	T					
9. Guilford Savings Bank		None	J	T					
10. Columbia Select Large Cap. Fund		None	K	T					
11. MasterCard Inc.	A	Dividend	L	T	Sold (part)	01/14/19	K	E	
12. West Hartford, CT GO Bonds	C	Interest			Matured	07/15/19	M		
13. Regional School District 18 CT Bonds	D	Interest	M	T					
14. Wethersfield, CT GO Bonds	D	Interest			Matured	07/05/19	M		
15. TJX Cos., Inc.	A	Dividend	K	T					
16. Church & Dwight, Inc.	A	Dividend	K	T					
17. Hunt JB Trans Svcs. Inc.		None			Sold (part)	01/14/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold	07/16/19	J	B	
19. Apple, Inc.	B	Dividend	M	T	Sold (part)	01/14/19	J	B	
20. Johnson & Johnson	A	Dividend	K	T					
21. LyondellBasell Industries	B	Dividend	K	T					
22. Costco Wholesale Corp.	A	Dividend	K	T	Sold (part)	01/10/19	J	A	
23. Booking Holdings, Inc.		None	K	T	Sold (part)	01/14/19	J	C	
24. Amphenol Corp.	A	Dividend	K	T					
25. Salesforce.com		None	K	T					
26. Starbucks	A	Dividend	K	T					
27. Zoetis, Inc.	A	Dividend	K	T					
28. Danaher Corp.	A	Dividend	L	T					
29. Facebook, Inc.		None	K	T					
30. Microsoft Corp.	B	Dividend	M	T	Sold (part)	01/14/19	J	A	
31. Verizon	A	Dividend	K	T	Buy (add'l)	01/14/19	J		
32. Columbia CT Intermediate Muni Bond Fund	D	Interest	N	T					
33. Amazon.com		None	L	T	Sold (part)	07/16/19	J	D	
34. Alphabet Inc.		None	L	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

08/09/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Lauder Estee Cos. Inc.	A	Dividend	K	T					
36. BB & T Corp.		None			Sold	01/14/19	K	B	
37. Charles Schwab Corp.		None			Sold	07/12/19	K	C	
38. EOG Resources Inc.		None			Sold	11/27/19	J		
39. Intercontinental Exchange Inc.	A	Dividend	K	T	Sold (part)	01/14/19	J	A	
40. Jacobs Engineerin g Group Inc.	A	Dividend	K	T					
41. Raytheon Co.		None			Sold	07/16/19	K	C	
42. Columbia AMT-Free Conn. Inter. Muni Bond Fund	D	Interest	N	T					
43. Vanguard Small-Cap. ETF	B	Dividend	M	T					
44. Fortive Corp.	A	Dividend	J	T					
45. Teladoc, Inc.		None	K	T	Sold (part)	11/04/19	J	C	
46. United Parcel Service	A	Dividend	K	T					
47. Accenture PLC	A	Dividend	K	T					
48. Alibaba Group Holding, Ltd.		None	K	T					
49. Leidos Holdings, Inc.	A	Dividend	K	T					
50. Abiomed, Inc.		None			Sold	11/27/19	J		
51. Coca-Cola Co.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Constellation Brands, Inc.		None			Sold	11/27/19	J		
53. Ingersoll-Rand Co., Ltd.	A	Dividend	K	T					
54. Vertex Pharmaceuticals		None	K	T	Buy (add'l)	01/14/19	J		
55. Doubleline Total Return Bond Fund	E	Interest	O	T					
56. Torrington, CT bonds	C	Interest	M	T	Buy	03/27/19	M		
57. Booz Allen Hamilton Holdings Corp.	A	Dividend	K	T	Buy	07/16/19	K		
58. Adobe, Inc.		None	K	T	Buy	01/14/19	K		
59. Nvidia Corp.	A	Dividend	K	T	Buy	01/14/19	J		
60. Paypal Holdings Inc.		None	K	T	Buy	01/14/19	K		
61.					Buy (add'l)	07/16/19	K		
62.					Sold (part)	11/27/19	K		
63. IShares Russell 1000 Growth ETF	A	Dividend	L	T	Buy	11/27/19	L		
64. Idex Corp.		None			Buy	07/16/19	K		
65.					Sold	11/27/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

08/09/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

08/09/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles S. Haight, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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