

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Spraker, Gary A.	2. Court or Organization Bankruptcy Court	3. Date of Report 08/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address US Bankruptcy Court Old Federal Building 605 West 4th Avenue Anchorage, AK 99501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Alaska Permanent Fund Dividend	\$1,606.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Alaska Permanent Fund
2. 2019	Self-employed - attorney
3. 2019	Self- employed - landlord (separate entity owning law firm building in Fairbanks, Alaska)
4. 2019	Municipality of Anchorage-attorney

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	West Publishing	Bankruptcy Exemption Manual 2019	\$527.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	American Express	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco American Franchise Fund (f/k/a Leisure Fund)	B	Dividend	L	T					
2. Franklin Templeton Inv.- Mutual Quest Fund (TEQIX)	C	Dividend	K	T					
3. Touchstone Invests - OM Copper Rock Int'l Small Cap Fund Z	A	Dividend	J	T					
4. T. Rowe Price Small Cap Value Fund (PRSVX)	A	Dividend	K	T					
5. T Rowe Price - Int'l Stock	A	Dividend	K	T					
6. Wells Fargo Advantage Funds - Discovery (Inv)	D	Dividend	L	T					
7. White Oak Growth	A	Dividend	J	T					
8. Vanguard - IRA (H)									
9. - 500 Index Fund (VFIAX)	B	Dividend	M	T					
10. - Wellington Fund Admiral Shares (VWENX)	C	Dividend	L	T					
11. - Wellesley Income Fund Inv (VWINX)	A	Dividend	J	T					
12. - Treasury Money Market (VUSXX)	A	Dividend	L	T					
13. - Global Equity Fund (VHGEX)	B	Dividend	K	T					
14. - Prime Money Market Fund (VMMXX)	D	Dividend	N	T					
15. ABA Retirement Accounts (H)									
16. - Moderate Risk Fund R1		Dividend	N	T					
17. - 2025 Retirement Date Fimd R1 (fka Lifetime Income)		Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Municipality of Anchorage 401k (H)									
19. - Blackrock Equity Index M	A	Dividend	K	T					
20. - DFA World Ex US Core Eq Inst	A	Dividend	L	T					
21. - Vanguard Mid-Cap Growth Fund		Dividend			Sold	09/30/19	L		Fund Exchange
22. - Vanguard Capital Opportunity Adm	D	Dividend	L	T	Buy	09/30/19	L		Fund Exchange
23. UBS Resource Management Account (H)									
24. - UBS Bank USA Deposit Account	B	Interest	N	T					
25. - Nuveen Amt-Free Mun Credit Inc	D	Dividend	M	T					
26. UBS Access Account (H)									
27. - UBS Bank USA Deposit Account	A	Interest	L	T					
28. UBS Retirement Account -IRA (H)									
29. - RMA Govt Money Mkt FD (f/k/a UBS Ret. Money Fund)	A	Interest			Sold	11/18/19	J		Trnsfr to UBS BankUSA Dep
30. - UBS Bank USA Deposit Account	A	Interest	M	T					
31. - Blackrock Eurofund A (MDEFX)	A	Dividend	J	T					
32. - Columbia Seligmann Comm & Info, Class A (SLMCX)	D	Dividend	L	T					
33. - Invesco American Value, Class A (MSVAX)	B	Dividend	K	T					
34. - Invesco Mid Cap Growth, Class A (OGEAX)	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Invesco Small Cap Discovery Fund, Class B (GTSAX)	D	Dividend	L	T					
36. - Victory Munder Multi-Cap Fund Class A (MNNAX)	A	Dividend	J	T					
37. - Lord Abbott Bond Debenture Fund, Class A (LBNDX)	C	Dividend	L	T					
38. UBS Retirement Account- SEP IRA (H)									
39. - RMA Govt Money Markey FD (f/k/a UBS Bank)	A	Interest			Sold	11/18/19	K		Trsfr to UBS BankUSA Dep
40. - UBS Bank USA Deposit Account	A	Interest	J	T					
41. UBS Bank - CODA SEP	A	Interest	L	T					
42. Alaska USA Fed. Credit Union	A	Interest	L	T					
43. Northrim Bank	A	Interest	J	T					
44. Northrim Bank - UTMA 2	A	Interest	J	T					
45. Northrim Bank - III	A	Interest	K	T					
46. Wells Fargo Business Checking Account		None	J	T					
47. Wells Fargo Custodian SEP IRA - Money Market	A	Interest	J	T					
48. TJT Boreal Investments LLC (Fairbanks, Alaska)	A	Rent	K	U					
49. American Enter. Inv. Serv. Inc.-Cap Inc Bldr A	A	Dividend	J	T					
50. Cohen & Steer Closed End Opp. Fund Inc	A	Dividend	J	T					
51. Franklin Inc. Cl. A	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Regions Fin. Corp.	A	Dividend	J	T					
53. Ameriprise Enter. - Cash	A	Interest	J	T					
54. Oregon State University Fed Credit Union	A	Interest	J	T					
55.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III.A. Non-Investment Income. I filed for the 2019 Alaska Permanent Fund Dividend but had to provide additional information before receiving the dividend payment. The payment was actually received in 2020.

V. Gifts. I received a copy of West Publishing's Bankruptcy Exemption Manual as a contributing author. The retail sales price of the book now appears to be \$527.00, so I included it in the gift section as it is over the \$390 minimum.

VII. Investments and Trusts - I have not been able to determine the income attributable to the ABA Retirement Funds for Moderate Risk Fund R1 and 2025 Retirement Date Fund R1. I have accessed the applicable website and have called. I have requested further information that has not been provided to date.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary A. Spraker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544