

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Holmes, III, Paul K.	2. Court or Organization U.S. District Court, Western District of Arkansas	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 30 South 6th Street Fort Smith, Arkansas 72901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	PKH Properties, LLC
2.	Director	BECH Oil & Gas Company
3.	Trustee	Trust #1
4.	Trustee	Trust #2
5.	Trustee	Trust #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Fort Smith Public School District- Substitute teaching

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ABFS Common	A	Dividend	K	T					
2.					Buy (add'l)	01/30/12	J		
3.					Buy (add'l)	08/06/12	J		
4. CHS Common	A	Dividend	J	T					
5. CNP Common	A	Dividend	J	T					
6. COP Common	C	Dividend	L	T					
7. ESRX Common		None	J	T					
8.			K	T	Buy (add'l)	12/28/12	K		
9. FDX Common	A	Dividend	L	T					
10. DBRN Common		None	J	T					
11. GE Common	B	Dividend	K	T					
12. HD Common	C	Dividend	M	T					
13. HIG Common	A	Dividend	K	T					
14. HPQ Common	B	Dividend	L	T					
15. HOT Common	A	Dividend	J	T					
16. IBKC Common	B	Dividend	L	T					
17. INTC Common	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. JBHT Common	A	Dividend	L	T					
19. JNJ Common	B	Dividend	L	T					
20. MHS Common		None			Merged (with line 7)	04/30/12	J		
21. MON Common	A	Dividend	K	T					
22. MSFT Common	C	Dividend	M	T					
23. MUR Common	B	Dividend	K	T	Buy	05/09/12	J		
24. NUE Common	C	Dividend	L	T					
25. PFE Common	D	Dividend	M	T					
26. RF Common	A	Dividend	J	T					
27. RIG Common	A	Dividend	J	T					
28. SLB Common	B	Dividend	M	T					
29. SO Common	C	Dividend	M	T					
30. T Common	A	Dividend	J	T					
31. WMMVY Common	A	Dividend	K	T					
32. WMT Common	D	Dividend	N	T					
33. Vanguard Total Stock Index Fund	B	Dividend	M	T					
34. Vanguard Extended Market Index Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Growth Index Fund	A	Dividend	L	T	Buy (add'l)	11/26/12	K		
36. Vanguard Capital Opportunity Fund	A	Dividend	M	T	Buy (add'l)	05/30/12	J		
37.					Buy (add'l)	11/19/12	J		
38. Vanguard Developed Markets Index Fund	C	Dividend	M	T					
39. Vanguard Total Int. Stock Index Fund	A	Dividend	M	T	Buy (add'l)	02/06/12	K		
40. Vanguard Inter-Term Inv. Grade Fund	A	Dividend	M	T					
41. Vanguard Inflation-Protect Sec.	B	Dividend	L	T					
42. Vanguard Inter-Term Tax Exempt Inv.	C	Interest	M	T					
43. Vanguard Inter-Bond Index Fund	A	Dividend	L	T					
44. Fidelity Int. Muni Income Fund	D	Interest	M	T					
45. MFS Investors Trust-A Fund	A	Dividend	K	T					
46. T.Rowe Price Growth Stock Fund	A	Dividend	K	T					
47. T.Rowe Price Personal Strategy Growth Fund	A	Dividend	K	T					
48. T.Rowe Price New Horizons Fund	A	Dividend	J	T					
49. T.Rowe Price International Stock Fund	B	Dividend	L	T					
50. U.S.Treasury Notes	C	Interest	K	T					
51. First National Bank of Fort Smith Accounts	A	Interest	J	T					

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52. Schwab Cash Reserves	A	Interest	K	T					
53. T.Rowe Price Tax-Exempt Money Mkt. Fund	A	Interest	K	T					
54. Vanguard Tax-Exempt Money Mkt. Fund	A	Interest	O	T					
55. Vanguard Prime Money Mkt. Fund	A	Interest	L	T					
56. Fidelity Municipal Money Mkt. Fund	A	Interest	M	T					
57. PKH Properties, LLC		None	J	W					
58. BECH Oil & Gas Company	D	Distribution	K	W					
59. HSA Bank Money Mkt. Fund	A	Interest	K	T					
60. TD Ameritrade Money Mkt. Fund (HSA)	A	Interest	J	T					
61. TD Ameritrade SPY Index Fund (HSA)	A	Dividend	K	T					
62. T.Rowe Price- Rollover IRA Acct.	E	Dividend	P1	T					
63. -POMIX									
64. -PRGIX									
65. -TRMCX									
66. -PRTIX									
67. -PRTXX									
68. -TRRBX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -RPSIX									
70. -ESRX Common					Buy (add'l)	12/28/12	J		
71. -INTC Common									
72. -MHS Common					Merged (with line 70)	04/30/12	J		
73. -MRK Common									
74. -NUE Common									
75. -CVX Common									
76. -VIMSX									
77. -FIGRX									
78. T.Rowe Price- Ind. 401K	A	Dividend	K	T					
79. -TRRHX									
80. Vanguard Ind. Roth IRA	A	Int./Div.	J	T					
81. -NAESX									
82. -NAESX									
83. Lincoln National Whole Life		None	K	T					
84. Union Central Whole Life	A	Dividend	J	T					
85. Transamerica Whole Life	A	Dividend	J	T					

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86. Trust #1	E	Int./Div.	P1	T					
87. -ABFS Common									
88. -BAC Common									
89. -CNP Common									
90. -DELL Common									
91. -DOW Common									
92. -FCX Common									
93. -GEN Common (formerly RRI Common)									
94. -JBHT Common									
95. -JPM Common									
96. -MHP Common									
97. -MSFT Common									
98. -NUE Common									
99. -PNC Common									
100. -PSA Common									
101. -SLB Common									
102. -SO Common									

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103. -SPY Index									
104. -MOS Common									
105. -RIG Common									
106. -WIN Common									
107. -PRFDX					Buy	08/20/12	K		
108.					Buy (add'l)	09/10/12	K		
109.					Buy (add'l)	10/04/12	K		
110. -PEXMX					Buy	08/20/12	K		
111.					Buy (add'l)	09/10/12	K		
112.					Buy (add'l)	10/04/12	K		
113. -PRCIX					Buy	08/20/12	K		
114.					Buy (add'l)	09/10/12	K		
115.					Buy (add'l)	10/04/12	K		
116. -Little Rock Health Fac. Bond					Matured	09/04/12	K		
117. -Pulaski Tech. College Rev. Bond					Matured	11/01/12	K		
118. -Univ. AR Rev. Bond					Matured	11/01/12	K		
119. -Little Rock Cap. Imp. Bond									

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120. -AR Dev. Auth. Bond									
121. -Warren Waste Rev. Bond									
122. -Univ. AR Rev. Bond									
123. -Conway Waste Rev. Bond									
124. -Beaver Wtr. Dist. Bond					Redeemed	11/15/12	K		
125. -Fed. Govt. Money Mkt. Fund									
126. Trust #2	D	Dividend	N	T					
127. -BAC Common									
128. -BP Common									
129. -ABC Common									
130. -ESRX Common									
131. -HPQ Common									
132. -GE Common									
133. -GPS Common					Sold (part)	11/27/12	K		
134. -INTC Common									
135. -MHS Common					Merged (with line 130)	04/03/12	J		
136. -MRK Common									

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -JNJ Common									
138. -IBKC Common									
139. -RF Common									
140. -RAI Common									
141. -T Common									
142. -TWC Common									
143. -TWX Common									
144. -CVX Common									
145. -WMT Common									
146. -Schwab Cash Reserves									
147. -MFS Investors Trust-A Fund									
148. -American Century Ultra Fund									
149. -US Treasury Bonds									
150. Trust #3	D	Dividend	N	T					
151. -BAC Common									
152. -BP Common									
153. -ESRX Common									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Holmes, III, Paul K.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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154. -HPQ Common									
155. -GE Common									
156. -GPS Common					Sold (part)	11/27/12	K		
157. -INTC Common									
158. -MHS Common					Merged (with line 153)	04/03/12	J		
159. -MRK Common									
160. -JNJ Common									
161. -IBKC Common									
162. -RF Common									
163. -RAI Common									
164. -T Common									
165. -TWC Common									
166. -TWX Common									
167. -CVX Common									
168. -WMT Common									
169. -Schwab Cash Reserves									
170. -MFS Investors Trust-A Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Holmes, III, Paul K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -T.Rowe Price New Horizons Fund									
172. -American Century Select Fund									
173. -Vanguard Total St. Mkt. Index Fund									
174. -US Treasury Notes									

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS- I am Trustee of Trusts #1, #2, and #3, but have no beneficial ownership or contingent interest in the trusts.

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Name of Person Reporting

Holmes, III, Paul K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul K. Holmes, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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