

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Brown, Elizabeth E.	2. Court or Organization U.S. Bankruptcy Court for the District of Colorado	3. Date of Report 06/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Editor-in-Chief	American Bankruptcy Law Journal
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

06/05/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Editor in Chief, American Bankruptcy Law Journal	\$16,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed (psychotherapist)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABI Rocky Mountain Bankruptcy Institute	January 23-25, 2019	Salt Lake City, UT	Speaker	Transportation, meals, lodging
2.	American College of Bankruptcy	March 15-17, 2019	San Diego, CA	Conference	Transportation, meals, lodging
3.	American College of Bankruptcy	August 22-25, 2019	Santa Fe, NM	Speaker	Transportation, meals, lodging
4.	National Conference of Bankruptcy Judges	October 29- November 1, 2019	Washington, DC	Speaker	Transportation, meals, lodging
5.					

Name of Person Reporting	Date of Report
Brown, Elizabeth E.	06/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

06/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. TRUST #1 (H)									
2. John Hancock Universal Life Insurance Policy		None	L	T					
3. Metlife (aka Brighthouse) stock	A	Dividend	J	T					
4. IRA #1 (H)									
5. Fundamental Investors Cl A (ANCFX)	B	Dividend	K	T					
6. Fundamental Investors Inc Cl F1 (AFIFX)	C	Dividend	K	T	Buy (add'l)	12/23/19	J		
7. Growth Fund of Amer (GFAFX)	C	Dividend	L	T	Buy (add'l)	12/23/19	J		
8. Investment Co. of Amer Cl A (AIVSX)	B	Dividend	J	T					
9. Investment Co of Amer Cl F1 (AICFX)	C	Dividend	K	T	Buy (add'l)	12/19/19	J		
10. Washington Mutual Inv (WSHFX)	C	Dividend	K	T	Buy (add'l)	12/23/19	J		
11. Cap World Grwth & Incm (CWGIX)	A	Dividend	K	T					
12. New Perspective Fund Cl A (ANWPX)	A	Dividend	K	T					
13. New Perspective Fund Cl F1 (NPFFX)	A	Dividend	K	T					
14. iShares TR (TIP)	A	Dividend	K	T					
15. Amer. Balanced Cl A (ABALX)	B	Dividend	K	T					
16. Amer Balanced Fd Cl F1 (BALFX)	B	Dividend	K	T					
17. IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. RBC Insured Deposits (cash)	A	Interest	K	T					
19. Artisan Partners Funds Val Fund (APDLX)	A	Dividend	J	T					
20. Dodge & Cox Stock Fd (DODGX)	B	Dividend	J	T					
21. iShares Core S&P Small Cap (IJR)	A	Dividend	J	T					
22. iShares Core S&P 500 (IVV)	A	Dividend	J	T					
23. Ivy Lg Cap Gwth Fd (IYGIX)	A	Dividend	J	T					
24. Riverpark Wedgewood Fund (RWGIX)	B	Dividend	J	T					
25. SPDR S&P 500 ETR (SPY)	A	Dividend	J	T					
26. Thornburg Intl Value Fd (TGVIX)	A	Dividend	J	T					
27. Loomis Sayles Inv (LSIIX)	A	Dividend	J	T					
28. Schwab Strategic TR Int Term US Treasury (SCHR)	A	Dividend	K	T	Buy	05/15/19	K		
29. iShares 1-3 Yr Treasury Bond ETF (SHY)	A	Dividend			Sold	05/15/19	K	A	
30. CollegeInvest Colorado 529 College Savings Plan (H)									
31. Scholars Choice College Savings Prgm - Age Based		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

06/05/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Brown, Elizabeth E.

Date of Report

06/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Elizabeth E. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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