

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Burns, Larry A.	2. Court or Organization Southern District of California	3. Date of Report 08/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 333 West Broadway, San Diego, CA 92101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Matthew Bender Publications (book royalties)	\$3,225.85
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Burns, Larry A.	08/08/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. INDIVIDUAL ASSETS (H)									
2. U.S. BANCORP (cash) (X) (Y)									
3. TRUST ACCOUNT #1 (H)									
4. JPMORGAN CHASE BANK (cash) (X)	A	Interest	K	T					
5. CITIBANK(cash) (X)	A	Interest	M	T					
6. WELLS FARGO (cash) (X)	A	Interest	M	T					
7. ETRADE MARGIN BALANCE (cash) (X)		None	O	T					
8. CALL INVITAE CORP OPTION 01/15/21-20		None	J	T	Buy	09/05/19	K		
9. ALIBABA GROUP HOLDING LIMITED (BABA)		None			Sold	01/11/19	O		
10.					Buy	04/18/19	N		
11.					Sold	11/29/19	N		
12. CALL ALIBABA OPTION 01/11/19 - 138		None			Sold	01/03/19	J		
13. PUT ALIBABA OPTION 01/18/19 - 128		None			Sold	01/04/19	J		
14.					Buy	01/07/19	J		
15.					Expired	01/07/19	J		
16. PUT ALIBABA OPTION 01/18/19 - 130		None			Sold	01/07/19	J		
17. PUT ALIBABA OPTION 01/11/19 - 142		None			Sold	01/07/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy	01/08/19	J		
19.					Expired	01/14/19	J		
20. PUT ALIBABA OPTION 01/11/19 - 145		None			Sold	01/08/19	J		
21.					Buy	01/09/19	J		
22.					Expired	01/14/19	J		
23. PUT ALIBABA OPTION 01/25/19 - 136		None			Sold	01/09/19	J		
24. PUT ALIBABA OPTION 01/18/19 - 143		None			Sold	01/09/19	J		
25.					Buy	01/15/19	J		
26.					Expired	01/22/19	J		
27. PUT ALIBABA OPTION 01/11/19 - 150		None			Sold	01/09/19	J		
28. PUT ALIBABA OPTION 02/08/19 - 130		None			Sold	01/11/19	J		
29. PUT ALIBABA OPTION 01/18/19 - 150		None			Sold	01/15/19	J		
30.					Buy	01/16/19	J		
31.					Expired	01/28/19	J		
32. PUT ALIBABA OPTION 01/18/19 - 152.50		None			Sold	01/16/19	J		
33. PUT ALIBABA OPTION 01/25/19 - 148		None			Sold	01/16/19	J		
34. PUT ALIBABA OPTION 02/15/19 - 135		None			Sold	01/17/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. PUT ALIBABA OPTION 01/18/19 - 155		None			Sold	01/18/19	J		
36. PUT ALIBABA OPTION 02/01/19 - 142		None			Sold	01/24/19	J		
37.					Buy	01/28/19	J		
38.					Expired	02/01/19	J		
39. PUT ALIBABA OPTION 01/25/19 - 152.50		None			Sold	01/24/19	J		
40. PUT ALIBABA OPTION 01/25/19 - 157.50		None			Buy	01/25/19	J		
41.					Expired	01/28/19	J		
42. PUT ALIBABA OPTION 02/01/19 - 150		None			Sold	01/28/19	J		
43. PUT ALIBABA OPTION 02/01/19 - 157.50		None			Buy	01/30/19	J		
44.					Sold	01/30/19	J		
45. PUT ALIBABA OPTION 02/01/19 - 162.50		None			Sold	01/30/19	J		
46. PUT ALIBABA OPTION 02/08/19 - 150		None			Sold	01/30/19	J		
47. PUT ALIBABA OPTION 02/15/19 - 152.50		None			Sold	01/31/19	J		
48. PUT ALIBABA OPTION 02/22/19 - 152.50		None			Sold	02/04/19	J		
49. PUT ALIBABA OPTION 03/01/19 - 148		None			Sold	02/04/19	J		
50. PUT ALIBABA OPTION 02/22/19 - 147		None			Sold	02/05/19	J		
51. PUT ALIBABA OPTION 02/08/19 162.50		None			Sold	02/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. PUT ALIBABA OPTION 02/15/19 162.50		None			Sold	02/11/19	J		
53. PUT ALIBABA OPTION 02/22/19 157.50		None			Sold	02/12/19	J		
54. PUT ALIBABA OPTION 03/08/19 - 148		None			Sold	02/13/19	J		
55. PUT ALIBABA OPTION 03/08/19 - 155		None			Sold	02/13/19	J		
56. PUT ALIBABA OPTION 03/01/19 - 157.50		None			Sold	02/19/19	J		
57. PUT ALIBABA OPTION 03/15/19 - 157.50		None			Sold	02/20/19	J		
58.					Buy	03/08/19	J		
59.					Expired	03/18/19	J		
60. PUT ALIBABA OPTION 03/15/19 - 162.50		None			Sold	02/22/19	J		
61.					Buy	03/08/19	J		
62.					Expired	03/18/19	J		
63. PUT ALIBABA OPTION 03/08/19 - 162.50		None			Sold	02/22/19	J		
64. PUT ALIBABA OPTION 03/15/19 - 175		None			Sold	02/25/19	J		
65. PUT ALIBABA OPTION 03/01/19 - 175		None			Sold	02/25/19	J		
66. PUT ALIBABA OPTION 03/22/19 - 170		None			Sold	03/01/19	J		
67.					Sold	03/11/19	J		
68.					Sold	03/13/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Burns, Larry A., 08/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns (1)-(5) for transaction details. Rows 69-85 show various ALIBABA options.

1 Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000
2 Value Codes J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000
(See Columns C1 and D3) N=\$250,001 - \$500,000, O=\$500,001 - \$1,000,000, P3=\$25,000,001 - \$50,000,000, P4=More than \$50,000,000
3 Value Method Codes Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market
(See Column C2) U=Book Value, V=Other, W=Estimated

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. PUT ALIBABA OPTION 05/24/19 - 160		None			Sold	04/17/19	J		
87.					Buy	05/06/19	J		
88.					Expired	05/24/19	J		
89. PUT ALIBABA OPTION 04/26/19 - 177.50		None			Sold	04/17/19	J		
90. CALL ALIBABA OPTION 04/26/19 - 187.50		None			Sold	04/22/19	J		
91. PUT ALIBABA OPTION 05/03/19 - 177.50		None			Sold	04/23/19	J		
92. PUT ALIBABA OPTION 05/31/19 - 165		None			Sold	04/23/19	J		
93.					Buy	05/09/19	K		
94.					Expired	05/31/19	K		
95. PUT ALIBABA OPTION 05/10/19 - 177.50		None			Sold	04/25/19	J		
96.					Buy	05/06/19	J		
97.					Expired	05/10/19	J		
98. PUT ALIBABA OPTION 05/17/19 - 195		None			Sold	04/26/19	J		
99. PUT ALIBABA OPTION 05/17/19 - 180		None			Sold	05/01/19	J		
100.					Buy	05/16/19	K		
101.					Expired	05/17/19	K		
102. PUT ALIBABA OPTION 05/10/19 - 182.50		None			Sold	05/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Buy	05/09/19	L		
104.					Expired	05/10/19	L		
105. PUT ALIBABA OPTION 05/17/19 - 177.50		None			Sold	05/03/19	J		
106.					Buy	05/16/19	J		
107.					Expired	05/17/19	J		
108. PUT ALIBABA OPTION 05/24/19 - 177.50		None			Sold	05/03/19	J		
109.					Buy	05/22/19	M		
110.					Expired	05/24/19	M		
111. PUT ALIBABA OPTION 05/31/19 - 172.50		None			Sold	05/03/19	J		
112.					Buy	05/22/19	L		
113.					Expired	05/31/19	L		
114. CALL ALIBABA OPTION 05/24/19 - 182.50		None			Sold	05/15/19	J		
115.					Buy	05/16/19	J		
116.					Expired	05/24/19	J		
117. CALL ALIBABA OPTION 06/07/19 - 185		None			Sold	05/16/19	J		
118.					Buy	05/17/19	J		
119.					Expired	06/07/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. PUT ALIBABA OPTION 06/14/19 - 162.50		None			Sold	05/16/19	J		
121.					Buy	05/24/19	L		
122.					Expired	06/14/19	L		
123. CALL ALIBABA OPTION 06/07/19 - 180		None			Sold	05/17/19	J		
124.					Buy	05/20/19	J		
125.					Expired	06/07/19	J		
126. PUT ALIBABA OPTION 06/28/19 - 172.50		None			Sold	05/22/19	L		
127.					Buy	05/28/19	M		
128.					Expired	06/28/19	M		
129. PUT ALIBABA OPTION 06/28/19 - 177.50		None			Sold	05/22/19	M		
130.					Buy	05/28/19	M		
131.					Expired	06/28/19	M		
132. CALL ALIBABA OPTION 05/31/19 - 165		None			Sold	05/22/19	J		
133. PUT ALIBABA OPTION 07/05/19 - 162.50		None			Sold	05/24/19	L		
134.					Buy	05/28/19	L		
135.					Expired	07/05/19	L		
136. PUT ALIBABA OPTION 08/16/19 - 160		None			Sold	05/28/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy	05/29/19	L		
138.					Expired	08/16/19	L		
139. PUT ALIBABA OPTION 10/18/19 - 170		None			Sold	05/28/19	M		
140.					Buy	06/11/19	M		
141.					Expired	10/18/19	M		
142. PUT ALIBABA OPTION 10/18/19 - 175		None			Sold	05/28/19	M		
143.					Buy	06/11/19	M		
144.					Expired	10/18/19	M		
145. CALL ALIBABA OPTION 06/14/19 - 162.50		None			Sold	05/28/19	J		
146.					Buy	05/29/19	J		
147.					Expired	06/14/19	J		
148. PUT ALIBABA OPTION 10/18/19 - 160		None			Sold	05/29/19	M		
149.					Buy	06/11/19	L		
150.					Expired	10/18/19	L		
151. CALL ALIBABA OPTION 05/31/19 - 150		None			Sold	05/29/19	J		
152. CALL ALIBABA OPTION 06/07/19 - 150		None			Sold	06/03/19	J		
153.					Buy	06/04/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Expired	06/07/19	J		
155. CALL ALIBABA OPTION 07/05/19 - 155		None			Sold	06/04/19	J		
156.					Buy	06/11/19	K		
157.					Expired	07/05/19	K		
158. CALL ALIBABA OPTION 08/16/19 - 160		None			Sold	06/10/19	K		
159.					Buy	07/16/19	K		
160.					Expired	08/16/19	K		
161. PUT ALIBABA OPTION 01/17/20 - 155		None			Sold	06/11/19	L		
162. PUT ALIBABA OPTION 01/17/20 - 165		None			Sold	06/11/19	M		
163.					Buy	09/13/19	K		
164.					Expired	09/16/19	K		
165. PUT ALIBABA OPTION 01/17/20 - 170		None			Sold	06/11/19	M		
166.					Buy	09/13/19	L		
167.					Expired	09/16/19	L		
168. PUT ALIBABA OPTION 08/16/19 - 145		None			Sold	06/24/19	J		
169. PUT ALIBABA OPTION 07/19/19 - 165		None			Sold	07/01/19	J		
170. CALL FACEBOOK 07/19/19 - 197.50		None			Buy	07/09/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	07/19/19	K		
172. PUT ALIBABA OPTION 08/16/19 - 160		None			Sold	07/09/19	J		
173. CALL FACEBOOK 07/26/19 - 215		None			Buy	07/15/19	J		
174.					Sold	07/25/19	J		
175. CALL ALIBABA OPTION 09/20/19 - 160		None			Sold	07/16/19	K		
176.					Buy	08/07/19	J		
177.					Expired	09/20/19	J		
178. PUT ALIBABA OPTION 09/06/19 - 157.50		None			Sold	07/26/19	J		
179. PUT ALIBABA OPTION 08/30/19 - 157.50		None			Sold	07/26/19	J		
180. CALL ALIBABA OPTION 10/18/19 - 165		None			Sold	08/07/19	J		
181.					Buy	10/01/19	J		
182.					Expired	10/18/19	J		
183. CALL FACEBOOK 10/19/19 - 200		None			Buy	08/08/19	J		
184.					Sold	10/17/19	J		
185. PUT ALIBABA OPTION 09/13/19 - 162.50		None			Sold	08/19/19	J		
186. PUT ALIBABA OPTION 09/20/19 - 162.50		None			Sold	08/19/19	J		
187. PUT ALIBABA OPTION 09/27/19 - 162.50		None			Sold	09/13/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. PUT ALIBABA OPTION 03/20/20 - 155		None			Sold	09/13/19	K		
189.					Buy	09/25/19	K		
190.					Expired	10/25/19	K		
191. PUT ALIBABA OPTION 03/20/20 - 160		None			Sold	09/13/19	K		
192.					Buy	09/25/19	K		
193.					Expired	10/14/19	K		
194. PUT ALIBABA OPTION 03/20/20 - 165		None			Sold	09/13/19	L		
195.					Buy	09/25/19	L		
196.					Expired	10/14/19	L		
197. PUT ALIBABA OPTION 09/27/19 - 170		None			Sold	09/19/19	J		
198.					Buy	09/25/19	K		
199.					Expired	09/27/19	K		
200. PUT ALIBABA OPTION 01/15/21 - 140		None			Sold	09/25/19	L		
201.					Buy	10/10/19	L		
202.					Expired	10/14/19	L		
203. PUT ALIBABA OPTION 01/15/21 - 145		None			Sold	09/25/19	L		
204.					Buy	10/10/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Expired	10/14/19	L		
206. PUT ALIBABA OPTION 01/15/21- 150		None			Sold	09/25/19	L		
207.					Buy	10/09/19	L		
208.					Expired	10/14/19	L		
209. CALL ALIBABA OPTION 11/08/19 - 170		None			Sold	10/01/19	J		
210.					Buy	10/28/19	K		
211.					Expired	11/08/19	K		
212. PUT ALIBABA OPTION 10/11/19 - 157.50		None			Sold	10/03/19	J		
213. PUT ALIBABA OPTION 01/21/22 - 140		None			Sold	10/09/19	M		
214.					Buy	12/23/19	L		
215.					Expired	12/23/19	L		
216. PUT ALIBABA OPTION 01/21/22 - 130		None			Sold	10/10/19	L		
217.					Buy	12/24/19	K		
218.					Expired	12/30/19	K		
219. PUT ALIBABA OPTION 01/21/22 - 135		None			Sold	10/10/19	L		
220.					Buy	12/24/19	K		
221.					Expired	12/30/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. PUT ALIBABA OPTION 11/08/19 - 160		None			Sold	10/17/19	J		
223.					Buy	10/25/19	J		
224.					Expired	11/08/19	J		
225. PUT ALIBABA OPTION 11/22/19 - 160		None			Sold	10/25/19	J		
226. CALL ALIBABA OPTION 11/29/19 - 170		None			Sold	10/28/19	K		
227. PUT ALIBABA OPTION 12/06/19 - 162.50		None			Sold	11/01/19	J		
228.					Buy	11/07/19	J		
229.					Expired	12/06/19	J		
230. PUT ALIBABA OPTION 11/15/19 - 170		None			Sold	11/05/19	J		
231. PUT ALIBABA OPTION 12/06/19 - 170		None			Sold	11/07/19	J		
232. PUT ALIBABA OPTION 11/29/19 - 170		None			Sold	11/07/19	J		
233. PUT ALIBABA OPTION 12/13/19 - 170		None			Sold	11/22/19	J		
234. PUT ALIBABA OPTION 12/20/19 - 170		None			Sold	11/25/19	J		
235. PUT ALIBABA OPTION 12/27/19 - 170		None			Sold	11/25/19	J		
236. PUT ALIBABA OPTION 12/20/19 - 182.50		None			Sold	11/27/19	J		
237. PUT ALIBABA OPTION 12/13/19 - 182.50		None			Sold	11/27/19	J		
238. PUT ALIBABA OPTION 12/27/19 - 182.50		None			Sold	11/27/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. PUT ALIBABA OPTION 12/13/19 - 187.50		None			Sold	12/05/19	J		
240. PUT ALIBABA OPTION 01/03/20 - 180		None			Sold	12/06/19	J		
241. PUT ALIBABA OPTION 12/27/19 - 180		None			Sold	12/12/19	J		
242. PUT ALIBABA OPTION 12/27/19 - 197.50		None			Sold	12/16/19	J		
243. PUT ALIBABA OPTION 12/27/19 - 200		None			Sold	12/16/19	J		
244. PUT ALIBABA OPTION 01/03/20 - 197.50		None			Sold	12/18/19	J		
245. PUT ALIBABA OPTION 01/10/20 - 195		None			Sold	12/19/19	J		
246. PUT ALIBABA OPTION 01/21/22 - 175		None			Sold	12/23/19	M		
247. PUT ALIBABA OPTION 01/21/22 - 150		None			Sold	12/24/19	M		
248. PUT ALIBABA OPTION 01/10/20 - 205		None			Sold	12/26/19	J		
249. PUT ALIBABA OPTION 01/17/20 - 205		None			Sold	12/26/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts. They do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Larry A. Burns**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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