

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 04/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge, Ninth Circuit (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 401 W. Washington Street Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Avondale Acres Investment Partnership
2. Committee Member	Commission on the Future of Legal Education
3. Committee Member	National Conference of Bar Examiners Torts Drafting Committee
4. Trustee	Trust #1 (reportable assets are included in Part VII)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Arizona Elected Officials Retirement System; no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hurwitz, Andrew

Date of Report

04/24/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	National Conference of Bar Examiners (stipend)	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Arizona State Retirement System (pension)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Commission on the Future of Legal Education	February 14-15, 2019	New York, NY	Committee Member, CFLE Working Session	Transportation, meals, lodging
2.	Commission on the Future of Legal Education	March 3-4, 2019	Austin, TX	Committee Member, Conference of Chief Justices	Transportation, meals, lodging
3.	Fordham University	March 29 - April 1, 2019	New York, NY	Kaufman Moot Court Competition Judge	Transportation, meals, lodging
4.	National Conference of Bar Examiners	April 25-28, 2019	Palm Spring, CA	Torts Drafting Committee Meeting	Transportation, meals, lodging
5.	National Conference of Bar Examiners	November 21-24, 2019	Maui, HI	Torts Drafting Committee Meeting	Transportation, meals, lodging

Name of Person Reporting	Date of Report
Hurwitz, Andrew	04/24/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Morgan Stanley	Portfolio Loan	None
2. Goldman Sachs	Portfolio Loan	N
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. US BANK (cash)	A	Interest	L	T					
3. AVONDALE ACRES INVESTMENT PARTNERSHIP (REAL ESTATE, AVONDALE, AZ)	A	Distribution	K	W					
4. TRUST ACCOUNT (H)									
5. MORGAN STANLEY BANK N.A. (cash)	A	Interest			Closed	05/01/19	K		
6. GOLDMAN SACHS BANK USA (cash) (X) (Y)									
7. US BANK NATL ASSOC (cash) (X) (Y)									
8. CUBESMART COM (CUBE)	A	Dividend	J	T					
9. EQUITY LIFESTYLE PROPERTIES (ELS)	A	Dividend	K	T					
10. ALPS ALERIAN MLP ETF (AMLP)		None	L	T	Buy	12/02/19	L		
11. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)	C	Dividend			Sold	12/02/19	L		
12. BNY MELLON SMALL MID CAP GRWTH CL I (SDSCX)		None	K	T	Buy	07/01/19	K		
13. COHEN & STEERS PREF SEC&INC I (CPXIX)	B	Dividend	K	T					
14. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	D	Dividend			Sold	07/01/19	L		
15. DOUBLELINE TOTAL RETURN (DBLTX)	B	Dividend	M	T	Buy (add'l)	07/01/19	L		
16. DOUBLELINE SHILLER ENH CAPE (DSEEX)	D	Dividend	M	T	Sold (part)	09/17/19	K	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	D	Dividend			Sold	12/20/19	L		
18. FIRST EAGLE GOLD (FEGIX)	A	Dividend	L	T					
19. FRANKLIN INTERNATIONAL GROWTH ADVANCED (FNGZX)	A	Dividend	K	T					
20. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend	K	T	Buy (add'l)	07/01/19	K		
21. ISHARES EDGE MSCI USA MIN VOL ETF (USMV)	A	Dividend	L	T	Sold (part)	07/01/19	K		
22. ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend	L	T	Buy (add'l)	07/01/19	K		
23. MATTHEWS ASIAN JAPAN (MJFOX)	C	Dividend	K	T					
24. METROPOLITAN WEST TOT RET BD I (MWTIX)	B	Dividend	K	T					
25. NORTHERN AZ TAX EXEMPT FUND (NOAZX)	A	Dividend	K	T					
26. PACER TRENDPILOT US LARGE CAP (PTLC)	B	Dividend	M	T	Buy (add'l)	07/01/19	L		
27.					Sold (part)	09/17/19	K	B	
28. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	K	T	Buy	07/01/19	K		
29. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	K	T	Buy	09/17/19	K		
30. PGIM TOT RET BD Z (PDBZX)	C	Dividend	L	T					
31. PIMCO SHORT TERM P (PTSPX)	A	Dividend	J	T					
32. PIMCO INCOME P (PONPX)	C	Dividend			Sold	12/20/19	L		
33. STRATEGY NASDAQ 7 HANDL INDEX ETF (HNDL)	A	Dividend	K	T	Buy	07/01/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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34. T ROWE PRICE MID CAP GR ADV (RPMGX)	B	Dividend	K	T					
35. T ROWE PRICE QM US SMALL CAP GROWTH EQUITY (PRDSX)	A	Dividend	K	T					
36. TRANSAMERICA BOND CL I (TFXIX)		None	K	T	Buy	12/20/19	K		
37. VANGUARD GROWTH ETF (VUG)	A	Dividend	L	T	Sold (part)	07/01/19	K	D	
38. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend			Sold	07/01/19	K	A	
39. VANGUARD SM CAP VAL ETF (VBR)	A	Dividend			Sold	07/01/19	K	B	
40. WELLS FARGO MUNI BOND (WMBIX)	A	Dividend	K	T					
41. WISDOMTREE EMERG MKTS DIV ETF (DVEM)	A	Dividend	K	T	Buy	12/20/19	K		
42. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	K	T					
43. WISDOMTREE EMERG MKTS CONS GRWTH FD (EMCG)	A	Dividend	K	T	Buy	12/20/19	K		
44. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	K	T					
45. IRA #1 (H)									
46. MORGAN STANLEY BANK N.A. (cash)	A	Interest			Closed	04/01/19	J		
47. HSBC BANK USA NATL ASSOC (cash)	A	Interest	K	T	Open	04/01/19	J		
48. JPMORGAN CHASE ALERIAN MLP INDEX (AMJ)	B	Dividend	K	T	Buy	06/28/19	K		
49. INVESCO DB COMM IDX TRA (DBC)		None			Sold	06/28/19	K		
50. AIG FOCUSED DIV STRAT A (FDSAX)	B	Dividend	K	T	Sold (part)	06/28/19	L		

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(See Columns B1 and D4)

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51. COHEN & STEERS PREF SEC&INC I (CPXIX)	B	Dividend	K	T	Sold (part)	12/20/19	J		
52. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	D	Dividend	K	T	Sold (part)	06/28/19	K		
53. DOUBLELINE TOTAL RETURN (DBLTX)	B	Dividend	L	T	Buy (add'l)	06/28/19	K		
54. DOUBLELINE SHILLER ENH CAPE (DSEEX)	C	Dividend	L	T					
55. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	C	Dividend	K	T					
56. FIRST EAGLE GOLD (FEGIX)	A	Dividend	K	T	Buy (add'l)	06/28/19	J		
57. FLEXSHARES TR STOXX GLOBR INF (NFRA)	A	Dividend			Sold	06/28/19	J		
58. FLEXSHARES GLOBAL UPSTREAM NAT (GUNR)	A	Dividend			Sold	06/28/19	J		
59. ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend	K	T	Buy (add'l)	06/28/19	K		
60. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend	K	T	Buy	06/28/19	K		
61. ISHARES EDGE MSCI MIN VOL ETF (USMV)	A	Dividend	K	T	Buy	06/28/19	K		
62. HENDERSON EUROPEAN FOCUS (HFEIX)	A	Dividend	K	T	Sold (part)	06/28/19	J		
63. MATTHEWS ASIAN JAPAN INV (MJFOX)	B	Dividend	K	T	Sold (part)	06/28/19	J		
64. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	K	T					
65. PACER TRENDPILOT 750 ETF (PTLC)	B	Dividend	M	T	Buy (add'l)	06/28/19	K		
66. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	K	T	Buy	06/28/19	K		
67. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	K	T	Buy	12/20/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hurwitz, Andrew

Date of Report

04/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. PGIM FLOATING RATE INC Z (FRFZX)	A	Dividend	J	T					
69. PGIM TOT RET BD Z (PDBZX)	C	Dividend	K	T	Sold (part)	06/28/19	K		
70. PIMCO INCOME P (PONPX)	B	Dividend	K	T					
71. STRAT NASDAQ 7 HANDL ETF (HNDL)	B	Dividend	K	T	Buy	06/28/19	K		
72. T ROWE PRICE QM US SM CP GR EQ (PRDSX)	A	Dividend	J	T	Sold (part)	06/28/19	J		
73. T ROWE PRICE MID CAP GR ADV (RPMGX)	A	Dividend	J	T	Sold (part)	06/28/19	K		
74. TOUCHSTONE SANDS CAP SEL GR Y (CFSIX)		None			Sold	06/28/19	L		
75. VANGUARD INFLAT PROT SEC FUND (VIPSX)	A	Dividend	J	T					
76. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Sold (part)	06/28/19	J		
77. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	J	T	Sold (part)	06/28/19	J		
78. VANGUARD SHORT TERM BOND ETF (BSV)	A	Dividend			Buy	06/28/19	J		
79.					Sold	12/20/19	J		
80. VANGUARD SM CAP VAL ETF (VBR)	A	Dividend			Sold	06/28/19	K		
81. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	K	T					
82. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	K	T	Sold (part)	06/28/19	J		
83. IRA #2 (H)									
84. JOHN HANCOCK MGD VOL GROWTH PORTFOLIO		None	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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85. IRA #3 (H)									
86. CUBESMART COM (CUBE)	A	Dividend	J	T					
87. EQUITY LIFESTYLE PROPERTIES (ELS)	A	Dividend	J	T					
88. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)	A	Dividend	J	T					
89. BNY MELLON SM/MD/CAP GRW I (SDSCX) (FORMERLY DREYFUS TBC)		None	J	T	Buy (add'l)	07/01/19	J		
90. AIG FOCUSED DIV STRAT A (FDSAX)	A	Dividend			Sold (part)	07/01/19	J		
91.					Sold	12/23/19	J		
92. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T					
93. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)	B	Dividend	J	T	Sold (part)	07/01/19	J		
94. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	J	T	Buy (add'l)	07/01/19	J		
95. DOUBLELINE SHILLER ENH CAPE (DSEEX)	A	Dividend	J	T	Buy (add'l)	07/01/19	J		
96.					Sold (part)	12/20/19	J		
97. FIDELITY ADV EMERG MKTS I (FIMKX)	A	Dividend	J	T					
98. FIRST EAGLE GOLD (FEGIX)	A	Dividend	J	T					
99. FRANKLIN INTL GROWTH ADV (FNGZX)	A	Dividend	J	T	Sold (part)	07/01/19	J		
100. ISHARES MORTGAGE REAL ESTATE (REM)	A	Dividend	J	T	Buy (add'l)	07/01/19	J		
101. ISHARES RESIDNTL RL EST ETF (REZ)	A	Dividend	J	T	Buy (add'l)	07/01/19	J		

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J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
102. ISHARES EDGE MSCI USA MIN VOL (USMV)	A	Dividend	J	T	Sold	07/01/19	J		
103.					Buy (add'l)	12/20/19	J		
104. MATTHEWS ASIAN JAPAN INV (MJFOX)	A	Dividend	J	T					
105. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T					
106. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	K	T	Buy (add'l)	07/01/19	J		
107. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	J	T	Buy	07/01/19	J		
108. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	J	T	Buy	12/20/19	J		
109. PGIM FLOATING RATE INC Z (FRFZX)	A	Dividend			Sold	12/20/19	J		
110. PGIM TOT RET BD Z (PDBZX)	A	Dividend	J	T					
111. PIMCO INCOME (PONPX)	A	Dividend	J	T	Sold (part)	07/23/19	J		
112. STRATEGY NASDAQ 7 HANDL INDEX ETF (HNDL)	A	Dividend	J	T	Buy	07/01/19	J		
113. TOUCHSTONE SANDS CAP SEL GR (CFSIX)		None			Sold	07/01/19	J		
114. VANGUARD GROWTH ETF (VUG)	A	Dividend	J	T					
115. WISDOMTREE TRUST JAPN HEDGE (DXJ)	A	Dividend	J	T					
116. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	J	T					
117. IRA #4 (H)									
118. INVESCO DB COMMODITY INDEX TRA (DBC) (X)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. AIG FOCUSED DIV STRAT A (FDSAX)	A	Dividend	J	T					
120. COLUMBIA SELECT LG CAP GW I (UMLGX) (X)	A	Dividend	J	T					
121. DOUBLELINE SHILLER ENH CAPE (DSEEX)	A	Dividend	J	T					
122. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	J	T					
123. PGIM TOT RET BD Z (PDBZX)	A	Dividend			Sold	12/12/19	J		
124. TOUCHSTONE SANDS CAP SEL GR (CFSIX)	A	Dividend	J	T					
125. VANGUARD GROWTH ETF (VUG) (X)	A	Dividend	J	T					
126. 529 #1 (H)									
127. AMERICAN AMCAP 529A (CAFAX)	A	Dividend	K	T					
128. AMERICAN MUTUAL 529A (CMLAX)	A	Dividend	K	T					
129. 529 #2 (H)									
130. AMERICAN AMCAP 529A (CAFAX)	A	Dividend	K	T					
131. AMERICAN MUTUAL 529A (CMLAX)	A	Dividend	K	T					
132. 529 #3 (H)									
133. AMERICAN AMCAP 529A (CAFAX)	A	Dividend	J	T					
134. AMERICAN BALANCED 529 A (CLBAX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hurwitz, Andrew

Date of Report

04/24/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Trust Accounts 1, 2, & 3 of the prior report were consolidated into a single account during 2019. This process did not involve reportable transactions.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Hurwitz, Andrew

Date of Report

04/24/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544