

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Leonard E.	2. Court or Organization Eastern District of Texas	3. Date of Report 05/21/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (Retired May 15, 2015)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 05/15/2015
7. Chambers or Office Address 211 West Ferguson Street Tyler, TC 76702		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 3/19/2015	Agreement with Fish and Ricahardson, P.C. for post-judicial employment starting May 18, 2015 after retirement from the court on May 15, 2015. See Part VIII.
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014-15	State of Texas - Twelfth Court of Appeals - Salary 01/01/14 until Retirement on 03/31/2015
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Washington University School of Law	January 20-21, 2014	Washington, D.C.	Judging Moot court Competition	Transportation, Hotel, Meals
2.	State Bar of Texas CLE Arbitration Program	January 30, 2014	Dallas, TX	Arbitration Seminar and CLE	Transportation
3.	Northern District Calif Bar Assoc Practice Program	February 10-11, 2014	San Francisco, CA	Intellectual Property Seminar and Roundtable CLE	Transportation, Hotel, Meals
4.	University of Southern California	March 16-19, 2014	Los Angeles, CA	Intellectual Property Seminar and CLE	Transportation, Hotel, Meals

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5.	New York Intellectual Property Law Association	March 28-29, 2014	New York, NY	Annual NYIPLA Dinner Honoring the Federal Judiciary	Transportation, Hotel, Meals
6.	The Sedona Conference	April 9-12, 2014	San Francisco, CA	Sedona Conference Working Group 10 Conference	Transportation, Hotel, Meals
7.	American Conference Institute	April 28-29, 2014	New York, NY	Intellectual Property Seminar and CLE	Transportation, Hotel, Meals
8.	George Washington University School of Law	May 5-6, 2014	Washington, DC	GW Law IP Symposium and CLE	Transportation, Hotel, Meals
9.	Delaware Federal Bar Association	May 12-14, 2014	Wilmington, DE	Delaware Federal Bar Assoc IP Seminar and CLE	Transportation, Hotel, Meals
10.	Colorado Bar Association	May 28-29, 2014	Denver, CO	Colorado Bar Association IP Seminar and CLE	Transportation, Hotel, meals
11.	Federal Circuit Bar Association	June 18-21, 2014	Ashville, NC	Federal Circuit Bar Association Annual Meeting, Seminar and CLE	Transportation, Hotel, Meals
12.	Widner University School of Law	September 29-30, 2014	Wilmington, DE	Widner Univ School of law Dupont IP CLE	Transportation, Hotel, Meals
13.	American Board of Trial Advocates	December 12-13, 2014	Lufkin, TX	Annual Meeting and Dinner and new member Oaths	Transportation, Hotel, Meals
14.	Houston IP Inn of Courts	March 5, 2015	Houston, TX	Houston IP Inn of Courts Awards Dinner and CLE	Transportation, Hotel, Meals
15.	University of Texas School of Law / USPTO	March 12-13, 2015	Washington, DC	University of Texas School of Law / USPTO Seminar and CLE	Transportation, Hotel, Meals
16.	New York Intellectual Property Law Association	March 27-28, 2015	New York, NY	Annual NYIPLA Dinner Honoring the Federal Judiciary	Transportation, Hotel, Meals
17.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Accounts	A	Interest	J	T					
2. Farm - Smith County Texas		None	O	W					
3. Mineral Rights, Vermillion Lands Trust, Canada		None	J	W					
4. Royalty, Lynx Energy, Smith County, Texas		None	J	W					
5. Royalty, Sunoco, Smith County, Texas	A	Royalty	J	W					
6. Royalty, BP Amoco Production Co.; LeFlore County, OK	D	Royalty	K	W					
7. Working Interest, Jandar Exploration, Inc., Texas	B	Distribution	J	W					
8. Working Interest, Hopewell Operating, Texas	A	Distribution	J	W					
9. Working Interest, Lancer Petroleum Texas See Part VIII	A	Distribution	J	W					
10. Working Interest, EXCO Operating Co See Part VIII	A	Distribution	J	W					
11. Vanguard Life Strategy Fund See Part VIII	B	Dividend	L	T					
12. Vanguard Prime Money Mkt Fund See Part VIII	A	Dividend	J	T					
13. [REDACTED]'s State Retirement Defined Benefit Plan		None	K	T					
14. Vanguard Wellington - [REDACTED] 401K	A	Dividend	J	T					
15. Davis NY Venture Fund - [REDACTED] 401K	A	Dividend	J	T					
16. Vanguard Growth Index Fund - [REDACTED] 401K	A	Dividend	J	T					
17. First Eagle fund of America - [REDACTED] 401K	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Fidelity Diversified Intl Fund	401K	A	Dividend	J	T				
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II. Agreements - Please note that I fully complied with Section 17(b) of the STOCK ACT by filing with the Administrative Office my Statement Regarding Agreement for Post-Judicial Employment with Fish & Richardson, P.C. on March 20, 2015 and my Statement Regarding Negotiation For Post-Judicial Employment with Fish & Richardson, P.C. and Notice of Recusal Regarding Post-Judicial Employment with Fish & Richardson, P.C. on January 15, 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Leonard E. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544