

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Collier, Lacey A.	2. Court or Organization Northern District of Florida	3. Date of Report 12/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address One North Palafox Street Pensacola, FL 32502		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee and Director	Naval Aviation Museum Foundation
2. Member, Board of Directors	Florida State University College of Medicine, West Florida, Inc.
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Collier, Lacey A.	12/31/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting Collier, Lacey A.	Date of Report 12/31/2020
---	------------------------------

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Collier, Lacey A.

Date of Report

12/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 24702RAJ0 Dell Technologies Bond	A	Interest			Redeemed	06/15/19	J		
2. 01748XAA0 Allegiant Travel Bond	A	Interest			Sold	05/13/19	K	A	
3. 156700AN6 CenturyLink Bond	A	Interest			Redeemed	09/15/19	J		
4. 268648AQ5 Dell EMC Bond	A	Interest	J	T					
5. 451102AX5 Icahn Enterprises Bond					Buy (add'l)	01/09/19	J		
6. 451102AX5 Icahn Enterprises Bond	B	Interest			Redeemed	08/01/19	K		
7. 852061AR1 Sprint Bond	A	Interest			Sold	07/26/19	J	A	
8. 577081AT9 Mattel Bond		None			Sold	02/22/19	J	A	
9. 24702RAQ4 Dell Technologies Bond	A	Interest	J	T					
10. 00101JAK2 ADT Security Bond	A	Interest			Sold	10/09/19	J	A	
11. 03674PAL7 Antero Resources Bond	A	Interest			Sold	08/08/19	J		
12. 451102BF3 Icahn Enterprises Bond					Buy	06/26/19	K		
13. 451102BF3 Icahn Enterprises Bond					Buy (add'l)	07/09/19	J		
14. 451102BF3 Icahn Enterprises Bond	A	Interest	K	T					
15. T (AT&T stock)	A	Dividend			Sold	11/20/19	K	C	
16. ADS (Alliance Data Systems stock)	A	Dividend			Sold	10/24/19	J		
17. GOOGL (Alphabet stock)			K	T	Buy (add'l)	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Collier, Lacey A.

Date of Report

12/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. MO (Altria Group stock)	A	Dividend	J	T					
19. AAPL (Apple Inc. stock)	A	Dividend	K	T					
20. AINC (Ashford Inc. stock)		None			Sold	08/09/19	J		
21. BRKB (Berkshire Hathaway stock)		None	L	T	Buy (add'l)	08/02/19	K		
22. BLK (Blackrock Inc. stock)	A	Dividend			Sold	06/27/19	J	B	
23. BKNG (Booking Holdings Inc. stock)		None	K	T	Buy (add'l)	01/08/19	J		
24. COF+D (Capital One Preferred 6.7% stock)	A	Dividend			Redeemed	12/02/19	J		
25. CAH (Cardinal Health Inc. stock)	A	Dividend			Sold	05/31/19	J		
26. SCHW (Charles Schwab Corp. stock)					Buy	05/23/19	K		
27. SCHW (Charles Schwab Corp. stock)		None	K	T	Sold (part)	11/21/19	J	A	
28. CHTR (Charter Communications stock)		None	K	T					
29. CMCSA (Comcast Corp. stock)	A	Dividend	J	T					
30. DG (Dollar General Corp. stock)	A	Dividend	K	T					
31. ENB (Enbridge Inc. stock)	B	Dividend	K	T	Buy (add'l)	06/26/19	J		
32. FCAU (Fiat Chrysler Auto stock)	C	Dividend	J	T	Sold (part)	11/04/19	J	A	
33. JNJ (Johnson & Johnson stock)	A	Dividend	J	T					
34. KEWL (Keweenaw Land Association stock)		None			Sold	08/12/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Collier, Lacey A.

Date of Report

12/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. LENB (Lennar Corp. stock)	A	Dividend	K	T					
36. MCK (McKesson Corp. stock)	A	Dividend			Sold	05/31/19	J		
37. NSRGY (Nestle stock)		None			Sold	02/28/19	J	B	
38. PM (Philip Morris International stock)	A	Dividend	J	T					
39. PPT (Putnam Premier Income Trust CEF)	B	Dividend	J	T					
40. QCOM (Qualcomm Inc. stock)	A	Dividend			Sold	05/02/19	K	D	
41. SVIN (Scheid Vineyards stock)		None			Sold	12/16/19	J		
42. SO (Southern Company stock)	A	Dividend	K	T	Buy (add'l)	12/31/19	J		
43. SBUX (Starbucks Corp. stock)		None			Sold	01/28/19	J	A	
44. UPS (United Parcel Service stock)	A	Dividend	J	T	Sold (part)	07/24/19	J	A	
45. UTX (United Technologies stock)	A	Dividend	K	T					
46. WMT (Wal-Mart Stores stock)	A	Dividend	K	T	Sold (part)	04/12/19	J	B	
47. DIS (Walt Disney Co. stock)	A	Dividend	K	T	Sold (part)	07/18/19	J	B	
48. WFC (Wells Fargo Bank stock)	A	Dividend	K	T					
49. PGX (Invesco Preferred ETF)	A	Dividend			Sold	02/01/19	K		
50. RYT (Invesco S&P 500 Equal Weight Tech ETF)	A	Dividend	J	T					
51. CWI (SPDR MSCI ACWI Ex-US ETF)		None			Sold	01/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Collier, Lacey A.

Date of Report

12/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. DBCMX (DoubleLine Strategic Commodity Fund)		None			Sold	03/07/19	J		
53. NLY (Annaly Capital Management REIT)	A	Dividend	J	T					
54. BX (Blackstone Group LP)	A	Dividend	K	T					
55. CLNY+E (Colony Capital Preferred 8.75%)	B	Dividend	K	T	Buy (add'l)	03/15/19	J		
56. AER (Aercap Holdings stock)		None	J	T	Buy	09/18/19	J		
57. ATUS (Altice USA Inc. stock)		None	K	T	Buy	11/19/19	K		
58. FB (Facebook Inc. stock)		None	J	T	Buy	01/11/19	J		
59. KKR (KKR & Co., Inc. stock)	A	Dividend	K	T	Buy	08/12/19	K		
60. MSG (Madison Square Garden Co. stock)		None	J	T	Buy	08/21/19	J		
61. SAVE (Spirit Airlines stock)		None	K	T	Buy	10/31/19	K		
62. SRC (Spirit Realty Capital REIT)	A	Dividend	K	T	Buy	05/29/19	J		
63. UNH (UnitedHealth Group Inc. stock)	A	Dividend	K	T	Buy	11/05/19	K		
64. DSEEX (DoubleLine Shiller Enhanced CAPE Fund)	A	Dividend	J	T	Buy	08/05/19	J		
65. CELG (Celgene Corp. stock)		None			Buy	03/18/19	J		
66. CELG (Celgene Corp. stock)					Sold	03/29/19	J	A	
67. CMPR (Cimpress stock)		None			Buy	01/31/19	J		
68. CMPR (Cimpress stock)					Sold	05/20/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. KHC (Kraft Heinz Co stock)					Buy	02/22/19	J		
70. KHC (Kraft Heinz Co stock)	A	Dividend			Sold	08/08/19	J		
71. KTB (Kontoor Brands Inc. stock)					Buy	07/17/19	J		
72. KTB (Kontoor Brands Inc. stock)	A	Dividend			Sold	10/11/19	J	A	
73. MLNX (Mellanox Tech Ltd stock)					Buy	03/26/19	J		
74. MLNX (Mellanox Tech Ltd stock)		None			Sold	05/17/19	J	A	
75. DWDP (DowDuPont stock)	A	Dividend			Buy	02/04/19	J		
76. CTVA (Corteva stock)					Spinoff (from line 75)	06/01/19	J		
77. CTVA (Corteva stock)	A	Dividend	J	T	Buy (add'l)	10/31/19	J		
78. DOW (Dow Inc. stock)	A	Dividend	J	T		04/01/19	J		
79. DD (Dupont De Nemours stock)	A	Dividend	J	T		06/03/19	J		
80. NFCU Share Savings Account	A	Interest	M	T					
81. Suntrust Bank Accounts	A	Interest	M	T					
82.									
83.									
84.									
85.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.									
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Collier, Lacey A.

Date of Report

12/31/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting	Date of Report
Collier, Lacey A.	12/31/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lacey A. Collier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544