

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>SQUATRITO, DOMINIC J.                                                                                                                | <b>2. Court or Organization</b><br><br>US DISTRICT COURT HARTFORD, CT                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>5/13/2020                      |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. DISTR. JUDGE-SENIOR STATUS                                               | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>1/1/2019<br>to<br>12/31/2019 |
| <b>7. Chambers or Office Address</b><br><br>US DISTRICT COURT<br>450 MAIN STREET<br>HARTFORD, CT 06103                                                                                                    |                                                                                                                                                                                                                                                                                          |                                                                |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2019     | wages                  |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| SQUATRITO, DOMINIC J.    | 5/13/2020      |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

| CREDITOR                | DESCRIPTION                                                            | VALUE CODE |
|-------------------------|------------------------------------------------------------------------|------------|
| 1. People's United Bank | Mortgage and equipment notes, and revolving credit line for [REDACTED] | P3         |
| 2. Fidelity Investments | Negative margin balance on investments                                 | N          |
| 3.                      |                                                                        |            |
| 4.                      |                                                                        |            |
| 5.                      |                                                                        |            |

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Name of Person Reporting

SQUATRITO, DOMINIC J.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Webster Bank - checking account                                                                                         | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Fidelity contra fund - mutual fund                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. ██████████ - common stock                                                                                               |                                        | None                                          | P3                                             | Q                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Coventry, CT Real Estate                                                                                                |                                        | None                                          | J                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. People's United Bank - checking account                                                                                 |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. AAC Technologies                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 7.                                                                                                                         |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 8. Abbott Laboratories - common stock                                                                                      | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Aercap Holdings                                                                                                         |                                        | None                                          | J                                              | T                                         | Buy<br>(add'l)                                  | 01/04/19                | J                               |                                |                                                                   |
| 10.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/08/19                | J                               | A                              |                                                                   |
| 11. AIA Group                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 10/17/19                | J                               |                                |                                                                   |
| 12.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/11/19                | J                               | A                              |                                                                   |
| 13. Air Liquidel                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 10/18/19                | J                               | A                              |                                                                   |
| 14. Alibaba                                                                                                                |                                        | None                                          | K                                              | T                                         | Buy<br>(add'l)                                  | 11/25/19                | J                               |                                |                                                                   |
| 15.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/30/19                | J                               | A                              |                                                                   |
| 16. AMbev SA                                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 17.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. America Movil SAB DE                                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 10/17/19         | J                        |                         |                                                            |
| 19. Amgen                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 20. AngloGold Ashanti Ltd                                                                                                  |                                        | None                                   |                                                |                                    | Buy                                       | 09/16/19         | J                        |                         |                                                            |
| 21.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 09/25/19         | J                        | A                       |                                                            |
| 22. Anhui                                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 05/06/19         | J                        |                         |                                                            |
| 23. Aon PLC                                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 03/27/19         | J                        | A                       |                                                            |
| 24. Apple Inc. - common stock                                                                                              | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 25. Arkema Spon                                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 26. ASM Pacific Technology ltd                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 27. Asml Holding                                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/27/19         | J                        |                         |                                                            |
| 28.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/09/19         | J                        | A                       |                                                            |
| 29. Assa Abloy                                                                                                             |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/19/19         | J                        |                         |                                                            |
| 30.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/30/19         | J                        |                         |                                                            |
| 31. AT&T                                                                                                                   | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 32. Baidu                                                                                                                  |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 12/02/19         | J                        |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/09/19         | J                        |                         |                                                            |
| 34. Banco Bradesco                                                                                                         |                                        | None                                   |                                                |                                    | Buy                                       | 09/09/19         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 35.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 09/20/19                | J                               |                                |                                                                   |
| 36. Banco do Brasil                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 08/16/19                | J                               |                                |                                                                   |
| 37. Banco Macro SA                                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/26/19                | J                               |                                |                                                                   |
| 38. Bank Mandir                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. BB Seguridade                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 08/16/19                | J                               |                                |                                                                   |
| 40. Berkshire Hathaway Inc Cl B                                                                                            |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. Bidvest Group                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. Borg Warner INC                                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/22/19                | J                               |                                |                                                                   |
| 43. Brenntag AG UNSP                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. Bristol Myers Squibb                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. Bridgestone                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. Bunzl Spon                                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 06/06/19                | J                               |                                |                                                                   |
| 47. Checkpoint Software                                                                                                    |                                        | None                                          | J                                              | T                                         | Buy<br>(add'l)                                  | 11/22/19                | J                               |                                |                                                                   |
| 48.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 01/31/19                | J                               |                                |                                                                   |
| 49. Chevron Corp. - common stock                                                                                           | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/22/19                | K                               | D                              |                                                                   |
| 50. China Construction Bank                                                                                                | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 51.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. China Merchants Bank                                                                                                   |                                        | None                                          | J                                              | T                                         | Buy                                             | 08/16/19                | J                               |                                |                                                                   |
| 53.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 04/29/19                | J                               |                                |                                                                   |
| 54. China Mobile LTD                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/30/19                | J                               |                                |                                                                   |
| 55.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/06/19                | J                               |                                |                                                                   |
| 56. China Shenhua Energy Co                                                                                                | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 57.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 58. Cielo SA Spon ADR                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 59.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 60. Cisco                                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Clicks Group LTD                                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/17/19                | J                               | A                              |                                                                   |
| 62. CNOOC LTD                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Coca Cola Co. - common stock                                                                                           | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. Commercial Int'l Bank Egypt                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Compagnie Financiere                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 08/01/19                | J                               |                                |                                                                   |
| 66. Compass Group                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 09/13/19                | J                               | A                              |                                                                   |
| 67. Continental AG ADR (Y)                                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 68. Core Laboratories NV (Y)                                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Costco                                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. CRH Spon ADR EA                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 07/31/19                | J                               |                                |                                                                   |
| 71. Daikin Industries                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 09/13/19                | J                               | A                              |                                                                   |
| 72. Danaher Corp. - common stock                                                                                           | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. DBS Group Holdings                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. Deutsch CMO - bond                                                                                                     | A                                      | Interest                                      | J                                              | T                                         | Redeemed<br>(part)                              | 12/25/19                | J                               | A                              |                                                                   |
| 75. Deutsche Boerse AG (Y)                                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. Discover Financial Services                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/22/19                | J                               | C                              |                                                                   |
| 77. Dr. Reddy S Laboratories (Y)                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 78. Ecolab Inc. - common stock                                                                                             | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. ENN Energy Holdings                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 10/18/19                | J                               | A                              |                                                                   |
| 80. Equinor ASA (Y)                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 81. Facebook                                                                                                               |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. Ferguson PLC                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Fidelity Treasury MM Fund                                                                                              | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Fomento Economico Mexicano                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/04/19                | J                               |                                |                                                                   |
| 85.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/31/19                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Franklin Templeton ETF India                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 08/23/19                | J                               |                                |                                                                   |
| 87.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/12/19                | J                               | A                              |                                                                   |
| 88. Frankilin Templeton ETF TR Brazil                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 11/26/19                | J                               |                                |                                                                   |
| 89.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/4/19                 | J                               |                                |                                                                   |
| 90. Franklin Tempelton ETF TR China                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/04/19                | J                               |                                |                                                                   |
| 91.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/06/19                | J                               |                                |                                                                   |
| 92. Franklin Templeton ETF TR Russia                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 09/17/19                | J                               |                                |                                                                   |
| 93.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/17/19                | J                               | A                              |                                                                   |
| 94. Franklin Templeton ETF TR South Korea                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 12/04/19                | J                               |                                |                                                                   |
| 95. Galp Energia                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 09/17/19                | J                               |                                |                                                                   |
| 96. Gazprom OAO                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 12/11/19                | J                               | A                              |                                                                   |
| 97. Givaudan SA ADR                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 01/29/19                | J                               | A                              |                                                                   |
| 98. Global X MSCI Argentina ETF (Y)                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 99. HDFC Bank                                                                                                              |                                        | None                                          | J                                              | T                                         | Buy<br>(add'l)                                  | 10/10/19                | J                               |                                |                                                                   |
| 100.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/09/19                | J                               | A                              |                                                                   |
| 101. Heineken                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 08/02/19                | J                               |                                |                                                                   |
| 102.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/09/19                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Hengan International                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/02/19         | J                        |                         |                                                            |
| 104.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/30/19         | J                        |                         |                                                            |
| 105. Henkel AG&CO                                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/11/19         | J                        |                         |                                                            |
| 106. Hines Global REIT                                                                                                     | E                                      | Distribution                           | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 107. Honeywell Int'l Inc. - common stock                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 108. Hoya Corp                                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/10/19         | J                        |                         |                                                            |
| 109.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 09/12/19         | J                        | A                       |                                                            |
| 110. Illinois Tool Sks Inc. - common stock                                                                                 | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 111. Imperial Holdings                                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/03/19         | J                        |                         |                                                            |
| 112. Infineon Technologies                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 10/09/19         | J                        |                         |                                                            |
| 113.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 05/08/19         | J                        |                         |                                                            |
| 114. Infosys                                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 11/06/19         | J                        |                         |                                                            |
| 115.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/15/19         | J                        | A                       |                                                            |
| 116. Ishares EDGE MSCI min volume emerging<br>markets ETF                                                                  |                                        | None                                   |                                                |                                    | Sold                                      | 01/03/19         | J                        |                         |                                                            |
| 117. Ishares Core MSCI Emerging Markets                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 118. Ishares MSCI MLY ETF                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 11/11/19         | J                        |                         |                                                            |
| 119.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/04/19         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Ishares MSCI STHAFR ETF                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/31/19                | K                               |                                |                                                                   |
| 121.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/26/19                | K                               |                                |                                                                   |
| 122. Ishares MSCI STHKOR ETF                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | K                               |                                |                                                                   |
| 123.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/04/19                | K                               |                                |                                                                   |
| 124. Ishares MSCI Turkey ETF                                                                                               |                                        | None                                          |                                                |                                           | Buy<br>(add'l)                                  | 09/25/19                | J                               |                                |                                                                   |
| 125.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/25/19                | J                               |                                |                                                                   |
| 126. Ishares Latin America ETF                                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 04/12/19                | J                               | A                              |                                                                   |
| 127. Ishares MSCI Brazil                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/04/19                | K                               |                                |                                                                   |
| 128.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/06/19                | J                               |                                |                                                                   |
| 129. Ishares MSCI Chile (Y)                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 130. Ishares MSCI Indonesia                                                                                                | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 10/18/19                | J                               |                                |                                                                   |
| 131.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/01/19                | J                               |                                |                                                                   |
| 132. Ishares MSCI Mexico ETF                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/06/19                | J                               |                                |                                                                   |
| 133.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/04/19                | K                               |                                |                                                                   |
| 134. Ishares MSCI Peru ETF                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 06/14/19                | J                               |                                |                                                                   |
| 135.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/30/19                | J                               |                                |                                                                   |
| 136. Ishares MSCI Philippines ETF                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/31/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/22/19         | J                        | A                       |                                                            |
| 138. Ishares MSCI Poland ETF                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/12/19         | J                        |                         |                                                            |
| 139.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/19/19         | J                        |                         |                                                            |
| 140. Ishares MSCI Rus ETF                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 11/01/19         | J                        |                         |                                                            |
| 141.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/17/19         | J                        | A                       |                                                            |
| 142. Ishares MSCI Saudi Arabia                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 12/06/19         | J                        |                         |                                                            |
| 143.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/01/19         | J                        |                         |                                                            |
| 144. Ishares MSCI Taiwan capped ETF                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/06/19         | K                        |                         |                                                            |
| 145.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/31/19         | K                        | A                       |                                                            |
| 146. Ishares MSCI Thicap ETF                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/04/19         | J                        |                         |                                                            |
| 147.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/18/19         | J                        |                         |                                                            |
| 148. Ishares Tr MSCI China                                                                                                 | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 12/17/19         | K                        |                         |                                                            |
| 149.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/04/19         | K                        |                         |                                                            |
| 150. Ishares India SM CP                                                                                                   |                                        | None                                   |                                                |                                    | Buy                                       | 09/23/19         | J                        |                         |                                                            |
| 151.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 10/02/19         | J                        |                         |                                                            |
| 152. Ishares Tr MSCI India                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/23/19         | K                        |                         |                                                            |
| 153.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/06/19         | L                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 154. Itau Unibanco Holding                                                                                                 |                                        | None                                          |                                                |                                           | Buy                                             | 09/09/19                | J                               |                                |                                                                   |
| 155.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/20/19                | J                               |                                |                                                                   |
| 156. JP Morgan Chase - common stock                                                                                        | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. Julius Baer                                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 11/25/19                | J                               |                                |                                                                   |
| 158.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/23/19                | J                               |                                |                                                                   |
| 159. KAO Corp                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 03/14/19                | J                               | A                              |                                                                   |
| 160. Kasikornbank                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 161.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 162. KB Financial Group                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 163.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 164. KBC Corp                                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 09/13/19                | J                               |                                |                                                                   |
| 165. Kerry Group PLC                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/17/19                | J                               |                                |                                                                   |
| 166. Kimberly Clark de Mexico                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 167. KOC Holdings                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 168.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 169. Korea Electric Power                                                                                                  |                                        | None                                          |                                                |                                           | Sold                                            | 01/04/19                | J                               | A                              |                                                                   |
| 170. Kraneshares Tr CSI China                                                                                              |                                        | None                                          |                                                |                                           | Buy                                             | 11/04/19                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/20/19         | J                        |                         |                                                            |
| 172. Life Healthcare Group Holdings LTD (X)                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 08/19/19         | J                        |                         |                                                            |
| 173. Linde PLC COM                                                                                                         | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 174. London Stock Exchange Group                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 09/16/19         | J                        | A                       |                                                            |
| 175. Lonza Group AG                                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 01/31/19         | J                        |                         |                                                            |
| 176. L'Oreal Co                                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 177. Merck & Company - common stock                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 178. Microsoft                                                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 179. Mobile Telesystems                                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 07/08/19         | J                        |                         |                                                            |
| 180.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/10/19         | J                        |                         |                                                            |
| 181. Naspers                                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 11/18/19         | J                        |                         |                                                            |
| 182.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 11/08/19         | J                        |                         |                                                            |
| 183. National Australia Bank                                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 04/29/19         | J                        |                         |                                                            |
| 184. Nedbank Group                                                                                                         | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 03/07/19         | J                        | A                       |                                                            |
| 185. Netease                                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/06/19         | J                        |                         |                                                            |
| 186.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/20/19         | J                        | A                       |                                                            |
| 187. Novartis A G                                                                                                          | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 04/11/19         | J                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. NXP Semiconductors NV                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 11/08/19         | J                        |                         |                                                            |
| 189.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/09/19         | J                        | A                       |                                                            |
| 190. Oil Company Lukoil                                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/04/19         | J                        |                         |                                                            |
| 191.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/10/19         | J                        | A                       |                                                            |
| 192. Oracle                                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 193. Pernod Ricard                                                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 08/01/19         | J                        |                         |                                                            |
| 194. Petroleo Brasileiro                                                                                                   | A                                      | Dividend                               |                                                |                                    | Buy                                       | 10/31/19         | J                        |                         |                                                            |
| 195.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/26/19         | J                        |                         |                                                            |
| 196. Pfizer Inc. - common stock                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 197. Prudential ADR                                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/28/19         | J                        |                         |                                                            |
| 198.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/01/19         | J                        |                         |                                                            |
| 199.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 11/08/19         | J                        |                         |                                                            |
| 200. PT Astra International                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/02/19         | J                        |                         |                                                            |
| 201.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/30/19         | J                        |                         |                                                            |
| 202. Rickett Benckiser Group PLC                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 08/02/19         | J                        |                         |                                                            |
| 203. Relx Plc                                                                                                              | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 04/24/19         | J                        |                         |                                                            |
| 204. Rentokil Initial ADR                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/04/19         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 08/01/19                | J                               | A                              |                                                                   |
| 206. Roche Hldg                                                                                                            | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 207. Samsonite Intl                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 11/25/19                | J                               |                                |                                                                   |
| 208.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/23/19                | J                               |                                |                                                                   |
| 209. Samsung Electrs LTD                                                                                                   |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/23/19                | J                               |                                |                                                                   |
| 210. Sanlam                                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 211. Santen Pharmaceutical                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 212. SAP                                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 07/19/19                | J                               |                                |                                                                   |
| 213.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/09/19                | J                               | A                              |                                                                   |
| 214. Sberbank of Russia                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/05/19                | J                               | A                              |                                                                   |
| 215. Schneider Electric (Y)                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 216. Sensata Technology                                                                                                    |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 217. SGS SA                                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 218. Shinhan Financial Group                                                                                               | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/02/19                | J                               |                                |                                                                   |
| 219.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 10/30/19                | J                               |                                |                                                                   |
| 220. Shoprite Hldgs (Y)                                                                                                    |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 221. SMC Corp                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Buy<br>(add'l)                                  | 06/05/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

5/13/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 10/16/19         | J                        | A                       |                                                            |
| 223. Smiths Group PLC (Y)                                                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 224. Sonova Holding                                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 225. Standard Bak Group                                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 03/07/19         | J                        | A                       |                                                            |
| 226. Stryker Corp. - common stock                                                                                          | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 227. Suncor Energy                                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 228. Swedebank AB (Y)                                                                                                      |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 229. Sysco Corp. - common stock                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 230. Taiwan Semiconductor                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 10/22/19         | J                        |                         |                                                            |
| 231.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/30/19         | J                        |                         |                                                            |
| 232. Technopro Holdings Inc                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 05/13/19         | J                        |                         |                                                            |
| 233. Techtronic Industries                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 11/29/19         | J                        | A                       |                                                            |
| 234. Telekomunikasi Indonesia                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 235. Tencent Holdings (Y)                                                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 236. Ternium SA                                                                                                            | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/02/19         | J                        |                         |                                                            |
| 237.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/30/19         | J                        |                         |                                                            |
| 238. Total S A                                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239. Tower Semiconductor LTD                                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 02/14/19         | J                        |                         |                                                            |
| 240. Toyota                                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/05/19         | J                        |                         |                                                            |
| 241.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/09/19         | J                        | A                       |                                                            |
| 242. UBS Group                                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 11/25/19         | J                        |                         |                                                            |
| 243.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 10/23/19         | J                        |                         |                                                            |
| 244. Unilever                                                                                                              | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/04/19         | J                        |                         |                                                            |
| 245. Union Pacific Corp. - common stock                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 246. United Technologies Corp. - common stock                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 247. Vale SA                                                                                                               |                                        | None                                   |                                                |                                    | Buy                                       | 05/24/19         | J                        |                         |                                                            |
| 248.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 05/29/19         | J                        | A                       |                                                            |
| 249. Valeo                                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/28/19         | J                        |                         |                                                            |
| 250. VanEck Vectors Gold miners ETF                                                                                        |                                        | None                                   |                                                |                                    | Buy                                       | 05/23/19         | J                        |                         |                                                            |
| 251.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 05/28/19         | J                        |                         |                                                            |
| 252. Vipshop Holdings Ltd                                                                                                  |                                        | None                                   |                                                |                                    | Buy                                       | 07/08/19         | J                        |                         |                                                            |
| 253. Vipshop Holdings Ltd                                                                                                  |                                        | None                                   |                                                |                                    | Sold                                      | 07/15/19         | J                        |                         |                                                            |
| 254. Vodacom                                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/02/19         | J                        |                         |                                                            |
| 255. Weichai Power Co                                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                     |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 256. Willis Towers Watson                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 257. Wilmington Large-Cap Strategy Fund                                                                                    | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 258.                                                                                                                       | C                                      | Distribution                           |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 259. Woolworths Hldgs                                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 07/02/19         | J                        |                         |                                                            |
| 260. Xtrackers harvest CSI 300 China A ETF                                                                                 |                                        | None                                   |                                                |                                    | Buy                                       | 11/01/19         | J                        |                         |                                                            |
| 261.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/26/19         | J                        | A                       |                                                            |
| 262. YPF Sociedad Anonima                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 09/04/19         | J                        |                         |                                                            |
| 263. Corrum Capital Railcar Investors LP                                                                                   |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 07/26/19         | M                        |                         |                                                            |
| 264. GE Capital Assurance Universal Life                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 265. Fidelity 401(k) Profit Sharing Plan & Trust                                                                           | C                                      | Int./Div.                              | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 266. South Kingston, RI rental property                                                                                    | D                                      | Rent                                   | N                                              | R                                  |                                           |                  |                          |                         |                                                            |
| 267. American Funds 529 Plan                                                                                               | D                                      | Dividend                               | O                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following properties are personal residences and are, therefore, not listed in section VII:

[REDACTED]  
[REDACTED]  
[REDACTED]

The South Kingston, RI property noted in section VII line 226 was rented out during 2019. The original cost was \$450,000 and the acquisition date was 7.3.2001

The Appraisal date of [REDACTED] was 4.30.2015

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOMINIC J. SQUATRITO**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544