

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 07/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/19-12/19	Partner, Law Firm - Pashman Stein Walder Hayden PC, Hackensack, NJ
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T					See Note in Part VIII
3. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
4. - Duke Energy Corp New Common Stock	A	Dividend	K	T					
5. - Enterprise Prods Partners LP Common Stock		None	K	T	Buy (add'l)	12/09/19	J		
6. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
7. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
8. - JP Morgan Chase & Co. Common Stock	B	Dividend	K	T					
9. - Pfizer Inc. Common Stock	A	Dividend	K	T					
10. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	J	T					
11. - Walt Disney Co. Common Stock	A	Dividend	K	T					
12. - Aqua America Inc. Common Stock	A	Dividend	K	T					
13. - AT&T Inc. Common Stock	B	Dividend	J	T	Sold (part)	04/29/19	J	A	
14. - Honeywell Intl Inc. Common Stock	A	Dividend	K	T					
15. - Microsoft Corp Common Stock	A	Dividend	L	T					
16. -Procter & Gamble Co. Common Stock	B	Dividend	K	T	Sold (part)	07/29/19	J	D	
17. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - CVS Caremark Corp. Common Stock	A	Dividend	J	T					
19. - McDonalds Corp Common Stock	A	Dividend	K	T	Sold (part)	07/18/19	J	C	
20. - Wells Fargo & Co Common Stock	A	Dividend	J	T					
21. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T	Buy (add'l)	08/05/19	J		
22. - Conagra Foods Inc. Common Stock	A	Dividend	J	T					
23. - Deere & Co. Common Stock	A	Dividend	K	T					
24. - General Electric Co. Common Stock	A	Dividend	J	T	Sold (part)	03/06/19	J		See Note in Part VIII
25. - Kellogg Co. Common Stock	A	Dividend	J	T					
26. - Mondelez Intl Inc. Common Stock	A	Dividend	J	T					
27. - Nustar Energy LP Common Stock		None	J	T	Buy (add'l)	12/24/19	J		
28. - Parker-Hannifin Corp. Common Stock	A	Dividend	K	T					
29. - Philip Morris Intl Inc. Common Stock	B	Dividend	K	T					
30. - Quanta Services Inc. Common Stock	A	Dividend	K	T					
31. - Raytheon Company Common Stock	A	Dividend	L	T	Buy (add'l)	01/16/19	J		
32.					Buy (add'l)	04/15/19	J		
33.					Buy (add'l)	06/11/19	J		
34. - NJ Edu Facs Auth Rev Rfndg Bonds - Uni of Med/Den of NJ	B	Interest			Redeemed	06/03/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. - New Jersey ST Edl Facs Auth Rev Refdg - Kean Univ Bond	B	Interest			Redeemed	09/03/19	K		
36. - California St Var Purp Genl Oblig Municipal Bond	B	Interest			Redeemed	05/15/19	K		
37. - Boeing Co. Common Stock	B	Dividend	L	T					
38. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
39. - NJ Higher Ed Assistant Auth Bond	A	Interest	K	T					
40. - DowDupont Inc. Common Stock	A	Dividend							See Note in Part VIII
41. - Emerson Electric Co Common Stock	A	Dividend	K	T					
42. - Nucor Corp Common Stock	A	Dividend	K	T					
43. - Morgan Stanley Bank NA Bank Deposit Program	A	Interest	L	T					
44. - Buckeye Partners LP		None			Sold	11/01/19	J		
45. - Eaton Corp. PLC SHS Common Stock	A	Distribution	K	T					
46. - Weyerhaeuser Co. Common Stock	A	Distribution	K	T	Buy (add'l)	06/14/19	J		
47. - Xylem Inc. Common Stock	A	Dividend	K	T					
48. - Apple Inc. Common Stock	A	Dividend	K	T					
49. - BP PLC ADS Common Stock	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
50.					Buy (add'l)	12/24/19	J		
51. - Timken Co Common Stock	A	Dividend	K	T					

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52. - Puerto Rico Elec Pwr Auth Rev Ref Ser-MM Bond	B	Interest	K	T					
53. - Conoco Phillips Common Stock	A	Dividend	J	T					
54. - Exelon Corp. Common Stock	A	Dividend	J	T					
55. - John Hancock Bk & Thrift Fund	A	Dividend	J	T					
56.	A	Distribution							
57. - Merck & Co Inc Common Stock	A	Dividend	J	T					
58. - Steel Dynamics Inc Common Stock	A	Dividend	K	T	Buy (add'l)	01/16/19	J		
59. - Aerie Pharmaceuticals Inc. Common Stock		None	K	T	Buy (add'l)	01/08/19	J		
60.					Buy (add'l)	04/05/19	J		
61.					Buy (add'l)	04/18/19	J		
62.					Buy (add'l)	05/21/19	J		
63.					Buy (add'l)	09/06/19	J		
64.					Buy (add'l)	12/24/19	J		
65. - Bank of America Corp. Common Stock	A	Dividend			Sold	11/12/19	J		
66. - Quotient Ltd Shs Common Stock		None	J	T	Buy (add'l)	12/24/19	J		
67. - Cypress Semiconductor Common Stock	A	Dividend	K	T					
68. - Global Blood Therapeutics Common Stock		None	K	T	Buy (add'l)	04/18/19	J		

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69.					Buy (add'l)	11/11/19	J		
70. - Maxwell Tech Inc. Common Stock		None			Buy (add'l)	01/25/19	J		See Note in Part VIII
71. - Sabre Corporation Common Stock	A	Dividend	J	T					
72. - BASF SE ADR Common Stock	A	Dividend	J	T					
73. - Enbridge Inc Common Stock	B	Dividend	K	T	Buy (add'l)	05/02/19	J		
74.					Buy (add'l)	12/24/19	J		
75. - Golar Lng Ltd Common Stock	A	Dividend	J	T					
76. - Kinder Morgan Incorp Common Stock	A	Distribution	J	T	Buy (add'l)	01/25/19	J		
77.	A	Dividend			Buy (add'l)	06/14/19	J		
78. - Newell Brands Inc Common Stock	A	Dividend	J	T					
79. - Federated Prme Csh Oblgtn WS Mutual Fund	D	Dividend							See Note in Part VIII
80. - Corteva Inc. Common Stock	A	Dividend	J	T	Spinoff (from line 40)	06/01/19	J		See Note in Part VIII
81. - Federated US Trsy Mutual Fund	A	Dividend	N	T					See Note in Part VIII
82. - Gladstone Land Corp. Common Stock	A	Dividend	J	T	Buy	06/14/19	J		
83.	A	Distribution							
84. - Granite Constr Inc. Common Stock	A	Dividend	J	T	Buy	04/05/19	J		
85.					Buy (add'l)	05/21/19	J		

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86.					Buy (add'l)	07/31/19	J		
87.					Buy (add'l)	12/24/19	J		
88. - Marcus Corp. Common Stock	A	Dividend	J	T	Buy	09/18/19	J		
89. - Metlife Incorporated Common Stock	A	Dividend	J	T	Buy	01/16/19	J		
90. - United Parcel Serv Inc. Cl B Common Stock	A	Dividend	J	T	Buy	01/08/19	J		
91. - Verizon Communications Common Stock	A	Dividend	J	T	Buy	01/16/19	J		
92. - Williams Co Inc. Common Stock	A	Dividend	J	T	Buy	08/01/19	J		
93.	A	Distribution			Buy (add'l)	12/09/19	J		
94. - Alphabet Inc. Common Stock		None	J	T	Buy	12/24/19	J		
95. - Amazon Co Inc. Common Stock		None	J	T	Buy	12/24/19	J		
96. - Brookfield Ppty Partners LP		None	J	T	Buy	02/18/19	J		
97.					Buy (add'l)	12/24/19	J		
98. - Brookfield Renew Partners LP		None	K	T	Buy	02/08/19	J		
99.					Buy (add'l)	06/11/19	J		
100. - Dow Inc Common Stock		None	J	T	Spinoff (from line 40)	04/01/19	J		See Note in Part VIII
101.					Buy (add'l)	04/05/19	J		
102. - Occidental Petroleum Corp. Common Stock		None	J	T	Buy	12/20/19	J		

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103.					Buy (add'l)	12/24/19	J		
104. - Takeda Pharmaceuticals Co. Common Stock		None	J	T	Buy	12/24/19	J		
105. - Tesla Inc. Common Stock		None			Spinoff (from line 70)	05/21/19	J		See Note in Part VIII
106.					Sold	07/18/19	J	A	
107. - Dupont Demours Common Stock		None			Spinoff (from line 40)	06/01/19	J		See Note in Part VIII
108.					Sold	07/18/19	J	B	
109. BROKERAGE ACCOUNT #3 (H)									
110. - Morgan Stanley Bank NA	B	Dividend	M	T					
111.	A	Interest							
112. IRA/401K ACCOUNTS (H)									
113. - Morgan Stanley Bank NA Cash Account	A	Interest	K	T					
114. - Altria Group Inc. Common Stock	A	Dividend	J	T					
115. - Bank of America Corp Common Stock	A	Dividend			Sold	11/12/19	J	B	
116. - Bank of New York Mellon Corp Common Stock	A	Dividend	J	T					
117. - Truist Finl Corp. formerly BB&T Corp Common Stock	A	Dividend	J	T					See Note in Part VIII
118. - Celgene Corp Common Stock		None			Merged (with line 141)	11/21/19	J		See Note in Part VIII
119. - Cisco Sys Inc Common Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Hayden, Katharine S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Cubesmart Common Stock	A	Dividend	J	T					
121. - DowDupont Inc. Common Stock	A	Dividend							See Note in Part VIII
122. - Emerson Electric Co Common Stock	A	Dividend	J	T					
123. - General Electric Co Common Stock	A	Dividend			Sold	03/06/19	J		See Note in Part VIII
124. - Kinder Morgan Incorp Common Stock	A	Dividend	J	T					
125. - Marathon Petroleum Corp Common Stock	A	Dividend	J	T					
126. - Merck & Co Inc Common Stock	A	Dividend	J	T					
127. - Metlife Incorporated Common Stock	A	Dividend	J	T					
128. - Novo Nordisk A.S ADR Common Stock	A	Dividend	J	T					
129. - Old Republic Intl CP Common Stock	A	Dividend	J	T					
130. - Paypal Hldgs Inc Common Stock		None	J	T					
131. - Pfizer Inc Common Stock	A	Dividend	J	T					
132. - Qualcomm Inc Common Stock	A	Dividend	J	T					
133. - Toronto Dom Bk New Common Stock	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
134. - US Bancorp New Common Stock	A	Dividend	J	T					
135. - Unilever PLC Common Stock	A	Dividend	J	T					
136. - Wells Fargo & Co New Common Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Weyerhaeuser Co Common Stock	A	Dividend	J	T					
138. - T Rowe Price Sm Cap Val Adv Fund		None			Sold (part)	08/15/19	L	A	
139.					Sold	08/29/19	L		
140. - AT&T Common Stock	A	Dividend	J	T	Sold (part)	04/29/19	J		
141. - Bristol Myers Squibb Co Common Stock	A	Dividend	K	T	Buy (add'l)	08/05/19	J		
142. - Cypress Semiconductor Common Stock	A	Dividend	J	T					
143. - Gladstone Land Corp Common Stock	A	Dividend	J	T	Buy (add'l)	06/14/19	J		
144. - John Hancock Financial Opps Fd	A	Dividend	J	T					
145. - Hannon Armstrong Sys Info Common Stock	A	Dividend	J	T					
146. - Maxwell Tech Inc Common Stock		None			Merged (with line 168)	05/21/19	J		See Note in Part VIII
147. - Nucor Corp Common Stock	A	Dividend	J	T					
148. - Permian Basin Rlty Uni BI Common Stock	A	Distribution	J	T					
149. - Sabre Corporation Common Stock	A	Dividend	J	T					
150. - San Juan Basin Rty Tr UBI Common Stock	A	Distribution	J	T					
151. - Tallgrass Energy Gp, LP Common Stock	B	Dividend	J	T					
152. - Templeton Eng Mkt Incm Fd	A	Dividend	J	T					
153. - Proctor & Gamble Common Stock	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - BP LLC ADS Common Stock	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
155. - Enbridge Inc Common Stock	B	Dividend	K	T	Buy (add'l)	02/21/19	J		
156.					Buy (add'l)	05/02/19	J		
157. - Newell Brands Inc Common Stock	A	Dividend	J	T					
158. - BASF SE SP ADR Common Stock	A	Dividend	J	T					
159. - Natl Fuel Gas Co Common Stock	A	Dividend	J	T					
160. - Annaly Capital Mgmt Common Stock	A	Dividend	J	T	Buy	08/29/19	J		
161. - Brookfield Ppty Partners LP	A	Int./Div.	J	T	Buy	02/08/19	J		
162.	A	Distribution							
163. - Brookfield Renew Partners LP	A	Int./Div.	K	T	Buy	02/18/19	J		
164.	A	Distribution			Buy (add'l)	06/11/19	J		
165. - Dow Inc. Common Stock	A	Dividend	J	T	Spinoff (from line 121)	04/01/19	J		See Note in Part VIII
166.					Buy (add'l)	04/05/19	J		
167. - Dupont De Nemours Common Stock	A	Dividend	J	T	Spinoff (from line 121)	06/01/19	J		
168. - Tesla Inc. Common Stock		None	J	T					See Note in Part VIII
169. - William Co. Common Stock	A	Dividend	J	T	Buy	08/01/19	J		
170.					Buy (add'l)	09/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/09/19	J		
172. - Federated US Trsy Mutual Fund	A	Dividend	L	T					See Note in Part VIII
173. - Centurylink Inc Common Stock		None	J	T	Buy	07/31/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2 - On 1/31/2019, holders of Arbor Realty Trust Inc. common stock received a stock dividend.

Part VII, Lines 24 - Due to a merger, holders of General Electric common stock received .005371 shares of Wabtec Co, common stock per share of General Electric on 2/26/2019. The resulting value of Wabtec share was under \$1000 and not reportable in FDR.

Part VII, Lines 40, 80, 100 and 107 - Due to a spinoff, holders of DowDupont common stock received 1 share of Dow Inc. common stock on 4/1/2019, 1 share of Dupont Demours common stock on 6/1/2019 and 1 share of Corteva Inc. common stock on 6/1/2019 for every 3 shares of DowDupont common stock.

Part VII, Lines 70 and 105 - Due to a merger, holders of Maxwell Tech Inc common stock received .0193 shares of Tesla Inc. common stock for every share of Maxwell Tech Inc. common stock on 5/21/2019.

Part VII, Lines 79 and 81 - Federated Prime Cash Obligations WTS money market fund was used to purchase Federal Trsy Mutual Fund; both were utilized as cash account

Part VII, Line 117 - On 12/9/2019, BB&T Corp. changed its name to Truist Financial Corp.

Part VII, Line 118 and 141, Due to a merger, holders of Celgene Corp common stock received 1 share of Bristol-Myers Squibb common stock, \$50 cash and 1 contingent value right for every share of Celgene Corp.

Part VII, Lines 121, 165 and 167 - Due to a spinoff, holders of DowDupont common stock received 1 share of Dow Inc. common stock on 4/1/2019, 1 share of Dupont Demours common stock on 6/1/2019 and 1 share of Corteva Inc. common stock on 6/1/2019 for every 3 shares of DowDupont common stock. The Corteva Inc. shares issued for this account had a value of under \$1000 and not reportable in FDR

Part VII, Line 123 - Due to a merger, holders of General Electric common stock received .005371 shares of Wabtec Co, common stock per share of General Electric on 2/26/2019. The resulting value of Wabtec share was under \$1000 and not reportable in FDR.

Part VII, Lines 146 and 168 - Due to a merger, holders of Maxwell Tech Inc common stock received .0193 shares of Tesla Inc. common stock for every share of Maxwell Tech Inc. common stock on 5/21/2019. Note value of Maxwell Tech Inc common stock at end of 2018 was under \$1000.

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Name of Person Reporting

Hayden, Katharine S.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544