

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) FUNK, JERRY A.	2. Court or Organization BANKRUPTCY COURT	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 NORTH HOGAN ST #4-104 JACKSONVILLE, FLORIDA 32202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Florida Bar	1/16/19-1/17/19	Orlando, FL	Bankruptcy UCC Meeting	Transportation, Meals, Lodging
2.	The Jacksonville Bankruptcy Bar	8/22/19-8/23/19	Jacksonville, FL	Bankruptcy Seminar	Meals, Lodging
3.					
4.					
5.					

Name of Person Reporting	Date of Report
FUNK, JERRY A.	05/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alerian MLP ETF		None	J	T	Buy	11/22/19	J		
2. Amer Cent Small Cap Growth Inst # [REDACTED]	D	Distribution	L	T	Sold (part)	11/04/19	J	B	
3. Am South Bank of FLA IRA	A	Interest	J	T					
4. Artisan Intl FD # [REDACTED]	B	Dividend	M	T					
5. Artisan Intl FD # [REDACTED]	D	Distribution	M	T	Sold (part)	11/04/19	K	C	
6. ASG Global Alternatives-Y # [REDACTED]		None			Sold	11/04/19	L	B	
7. Ashmore Emerg Mkts CR DB-Ins	D	Dividend	M	T					
8. AT&T Inc.	A	Dividend	J	T	Buy (add'l)	04/12/19	J		
9. Atlanta, GA WTR & WSTEWTR X	A	Interest	J	T					
10. Black Rock GL L/S Credit-k # [REDACTED]	B	Dividend	L	T					
11. Berkshire Hathaway Inc. Class B		None	K	T					
12. Boeing Co.	A	Dividend	J	T	Buy (add'l)	07/12/19	J		
13. Boston Partners Long/Short Research Fund		None			Sold	11/04/19	K	B	
14. BNY Mellon Tax Sensitive Tot	B	Dividend	L	T	Buy	06/03/19	L		
15. BNY Mellon Tax Sensitive Tot	C	Distribution	L	T					
16. Chevron Texaco Corp	A	Dividend	K	T					
17. Citigroup Inc.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Coca Cola (common)	D	Dividend	O	T					
19. CVS Health Corp. Com	A	Dividend	J	T	Buy	09/27/19	J		
20. Delta Airlines Inc.	A	Dividend	J	T	Buy (add'l)	02/08/19	J		
21. Dodge & Cox Int'l STK Fund # [REDACTED]	D	Dividend	M	T	Sold (part)	11/04/19	K	A	
22. Dodge & Cox Int'l STK Fund # [REDACTED]	B	Dividend	M	T					
23. Dodge & Cox Int'l STK Fund # [REDACTED]	D	Distribution	M	T					
24. Downtown Savannah ATH GA X	A	Interest	J	T					
25. Dreyfus/Standish in T/E Bond # [REDACTED]	B	Dividend			Sold	06/03/19	L		
26. Duke Energy Corp New	A	Dividend	J	T					
27. Eaton Vance Global Macro-I # [REDACTED]	A	Dividend			Sold	11/04/19	K	A	
28. Evergreen Core Equity Com STK FD	A	Interest	K	T					
29. Evergreen Enhanced S&P 500 FD CL	A	Dividend	K	T					
30. Evergreen Enhanced S&P FD CL I	A	Interest	J	T					
31. Evergreen Equity TR SPL Values FD	A	Interest	J	T					
32. Evergreen FDS Emerging MKTS Growth	A	Interest	J	T					
33. Evergreen FDS FLA MUN BD PORT	A	Interest	J	T					
34. Evergreen International Bond FD CL 1	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Evergreen Large Cap Eq Fund	A	Dividend	J	T					
36. Evergreen Large Cap Equity FD Class I	A	Interest	J	T					
37. Evergreen Select Equity	A	Interest	J	T					
38. First TR Exchange Traded FD VI	A	Dividend	K	T	Buy (add'l)	09/06/19	J		
39. FT Unit 726 DIV INC TR SER 3	A	Dividend	J	T					
40. Guggenheim S & P 500 EQU Weight	A	Dividend	J	T					
41. Harbor Capital Aprction-Inst # [REDACTED]	A	Dividend	M	T					
42. Harbor Capital Aprction-Inst # [REDACTED]	E	Distribution	M	T					
43. Hartford Midcap Fund-Y # [REDACTED]	D	Distribution	M	T					
44. Hilliard Lyons Gov't Fund Inc.	A	Interest	J	T					
45. Home Depot Inc.	A	Dividend	J	T	Buy	03/01/19	J		
46. Interlocal KY SCH DIST BD	A	Interest	K	T					
47. Inv Opp Develop Mrkts R6 # [REDACTED]	B	Dividend	M	T	Buy	05/28/19	M		
48. IShares Core Dividend Growth	A	Dividend			Sold	03/01/19	J	C	
49. IShares Core MSCI Emerging	A	Dividend	K	T					
50. ISHARES Russell Mid-Cap ETF	B	Dividend	M	T					
51. ISHARES S&P Mid-Cap 400 G	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. ISHARES S&P 500 GRW ETF	A	Dividend	J	T	Sold (part)	07/12/19	J	D	
53. ISHARES S & P 500 GRW FD	A	Dividend	K	T					
54. ISHARES S & P VLU INDX 500	A	Dividend	L	T					
55. ISHARES S & P M/C 400 GRW	A	Dividend	K	T					
56. ISHARES S & P M/C VLU 400	A	Dividend	K	T					
57. ISHARES S & P S/C 600 GRW	A	Dividend	K	T					
58. ISHARES S & P S/C 600 VLU	A	Dividend	L	T					
59. ISHARES TR MSCI Emerging	A	Dividend	K	T					
60. ISHARES Short Maturity Bond	A	Dividend	J	T					
61. John Hancock Multi Fact LRG	A	Dividend	L	T					
62. JP Morgan Alerian MLP Index	A	Dividend			Sold	11/22/19	J	B	
63. JP Morgan Chase & Co.	A	Dividend	J	T					
64. JP Morgan Mid Cap Value-L #	B	Dividend	M	T					
65. JP Morgan Mid Cap Value-L #	C	Distribution	M	T					
66. JP Morgan High Yield-I #	B	Dividend	K	T					
67. Johnson & Johnson	A	Dividend	J	T					
68. Lake Central Ind Multi-District RFDG BD	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Merger Fund Class Inst # [REDACTED]	A	Dividend	K	T					
70. Merger Fund Class Inst # [REDACTED]	A	Distribution	K	T					
71. MFS Value Fund R6- [REDACTED]	C	Dividend	M	T					
72. MFS Value Fund R6- [REDACTED]	B	Distribution	M	T					
73. Morgan Stanley Private Bank NA	A	Interest	J	T					
74. Neuberger Berman Long SH-INS # [REDACTED]	B	Distribution	L	T					
75. Nuveen High Yield Muni BD-R # [REDACTED]	A	Dividend			Sold	04/24/19	K		
76. Nuveen High Yield Muni BD-R6 # [REDACTED]	B	Dividend	K	T	Buy	04/24/19	K		
77. Nuveen Int Duration BD FD R # [REDACTED]	A	Dividend	N	T	Buy	11/04/19	N		
78. Nuveen LTD Term Muni BD R [REDACTED]	B	Dividend	L	T					
79. O'Shares FTSE U.S. Quality Div.	A	Dividend	J	T					
80. Oppenheimer Dev. Mkt-I # [REDACTED]		None			Sold	05/28/19	M		
81. Philip Morris Intl Inc.	A	Dividend			Sold	09/27/19	J	C	
82. Pfizer Inc.	A	Dividend	J	T					
83. Pimco Comm. Real Ret Strat-I# [REDACTED]	B	Dividend	L	T					
84. Pimco Enhanced Shrt Mtrt Exc	A	Dividend	K	T					
85. Pimco Emerging Markets Bond FD	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Pimco Foreign BD FD USD # [REDACTED]	A	Dividend			Sold	11/04/19	K	B	
87. Pimco Foreign BD FD US\$ Hedged Inst CL	A	Interest	K	T					
88. Pimco Total Return ETF	A	Dividend	K	T					
89. Procter & Gamble	A	Dividend	J	T	Sold (part)	07/12/19	J	A	
90. Putnam Int'l Equity Fund Class A	A	Dividend	J	T					
91. Wells Fargo Bank Secured Market Deposit Account	B	Interest	N	T					
92. Smith Barney Money Market	A	Interest	J	T					
93. Smith Barney Muni Funds FLA Portfolio Class A	A	Dividend	J	T					
94. Southern Company	A	Dividend	K	T					
95. SPDR DJ Wilshire Int'l Real	C	Dividend	J	T	Sold (part)	11/04/19	L	B	
96. SPDR EM Mkts Div ETF	A	Dividend	J	T					
97. SPDR SSGA Multi-Asset Real RTN	A	Dividend	K	T					
98. The Financial Sel Sect SPDR FD	A	Dividend	J	T					
99. T Rowe Price Inst Emerging Markets Equity Fund # [REDACTED]	B	Dividend	L	T					
100. TRowe Price Inst Float Rate # [REDACTED]	B	Dividend			Sold	11/04/19	K	A	
101. T Rowe Price Real Estate FD	A	Dividend	J	T					
102. T Rowe Price Real Estate FD # [REDACTED]	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. T Rowe Price Real Estate FD # [REDACTED]	D	Distribution	L	T					
104. Total S A Spon ADR	A	Dividend	J	T					
105. Undiscovered Mngrs Behav Val-L # [REDACTED]	A	Dividend	L	T	Sold (part)	11/04/19	J	A	
106. Undiscovered Mngrs Behav Val-L # [REDACTED]	C	Distribution	L	T					
107. Uniti Group Inc.	A	Dividend	J	T					
108. Vanguard FTSE Emerging Markets Ref: [REDACTED]	A	Dividend	L	T					
109. Vanguard FTSE Developed Markets	A	Dividend	L	T					
110. Vanguard Inflat-Prot Secs-ADM # [REDACTED]	A	Dividend	K	T					
111. Vanguard REIT ETF	A	Dividend	K	T					
112. Vanguard Tax Exempt MMKT	C	Dividend	M	T					
113. Vanguard REIT Viper	B	Dividend	L	T					
114. Verizon Communications	A	Dividend	J	T	Sold (part)	04/12/19	J	A	
115. Virginia State HSG AUTH COMWLTH MTG SER	A	Interest	J	T					
116. Wachovia	C	Interest	M	T					
117. Wash. St. Real Estate, Jax, FL current value (\$242,487)		None	M	W					
118. Wells Fargo & Co. fka Wachovia Corp 2nd	A	Dividend	J	T					
119. Wells Fargo Dis US CR-R6 # [REDACTED]	D	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Wells Fargo Dis US CR-R6 #	D	Distribution	N	T					
121. Windstream	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The investment listed on Line 25 of my report, Dreyfus/Standish in T/E Bond # [REDACTED], was exchanged for the investment listed on Lines 14-15 of my report, BNY Mellon Tax Sensitive Tot, on June 3, 2019.

The investment listed on Line 80 of my report, Oppenheimer Dev. Mkt-I # [REDACTED] was exchanged for the investment listed on Line 47 of my report, Inv Opp Develop Mrkts R6 # [REDACTED], on May 28, 2019.

The investment listed on Line 75 of my report, Nuveen High Yield Muni BD-R # [REDACTED], was exchanged for the investment listed on Line 76 of my report, Nuveen High Yield Muni BD-R # [REDACTED], on April 24, 2019.

The investment listed on Lines 40-41 of my 2018 report, Fidelity Advisors Emerging Markets Income Fund, was sold in its entirety on December 31, 2018, with a value code of M and a gain code of A. It is therefore not listed on this year's report.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

FUNK, JERRY A.

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JERRY A. FUNK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544