

# FINANCIAL DISCLOSURE REPORT

## FINAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Brown, Joe                                                                                                                           | <b>2. Court or Organization</b><br><br>Middle District TN                                                                                                                                                                                                                                | <b>3. Date of Report</b><br><br>3/7/2020                     |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Magistrate Judge (Recall Full)                                                | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>1/1/2019<br>to<br>3/2/2020 |
| <b>7. Chambers or Office Address</b><br><br>Room 783 U.S. Courthouse<br>801 Broadway<br>Nashville, TN 37203                                                                                               |                                                                                                                                                                                                                                                                                          |                                                              |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                              |

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

| <u>POSITION</u>                                 | <u>NAME OF ORGANIZATION/ENTITY</u>                   |
|-------------------------------------------------|------------------------------------------------------|
| 1. Co-Trustee . Assets listed Part VII line 226 | Y P Trust. Trust for Family Cemetary Taylorsville KY |
| 2. Custodian Assets listed Part VII line 144    | Uniform Gift to Minors, [REDACTED]                   |
| 3. Custodian Assets listed Part VII line 144    | Education trust [REDACTED]                           |
| 4. Custodian Assets listed Part VII line 144    | Education Trust [REDACTED]                           |
| 5. Custodian Assets listed Part VII line 144    | Education trust [REDACTED]                           |
| 6. Custodian Assets listed Part VII line 144    | Education trust [REDACTED]                           |
| 7. Custodian Assets listed Part VII line 144    | Education trust [REDACTED]                           |
| 8. Custodian Assets listed Part VII line 144    | Education trust [REDACTED]                           |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------|----------------------------------------|
| 1. 5/5/19   | Nashville Schoold ol law IRA | \$260.00                               |
| 2.          |                              |                                        |
| 3.          |                              |                                        |
| 4.          |                              |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>     | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u>                 | <u>ITEMS PAID OR PROVIDED</u> |
|-------------------|--------------|-----------------|--------------------------------|-------------------------------|
| 1. EDI Conference | 9/26-27/2019 | Detroit MI      | education seminar Panel on EDI | 600 Travel and room and meals |
| 2.                |              |                 |                                |                               |
| 3.                |              |                 |                                |                               |
| 4.                |              |                 |                                |                               |
| 5.                |              |                 |                                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Brown, Joe               | 3/7/2020       |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

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Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Abbott Laboratories (ABT)                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 2.                                                                                                                         |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/16/19         | J                        |                         |                                                            |
| 3. Abbvie Inc (ABBV)                                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | A                       | a                                                          |
| 4. Adobe Inc (ADBE)                                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/21/19         | J                        |                         |                                                            |
| 5. Alaska Air Group (ALK)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        |                         | all sold                                                   |
| 6. Alexion Pharm (ALXN)                                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/18/19         | J                        |                         |                                                            |
| 7. Allergan PLC comm (AGN)                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 12/20/19         | J                        | A                       | all sold gain                                              |
| 8. Allstate Corp (ALL)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/24/19         | J                        |                         |                                                            |
| 9.                                                                                                                         |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/08/19         | J                        |                         |                                                            |
| 10. Alphabet Inc Class A (GOOGL)                                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/21/19         | J                        |                         |                                                            |
| 11.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/08/19         | J                        |                         |                                                            |
| 12.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/16/19         | J                        | A                       |                                                            |
| 13. Altria Group inc (MO)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 05/15/19         | J                        |                         | all sold at loss                                           |
| 14. Amazon (AMZN)                                                                                                          | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/19/19         | J                        |                         |                                                            |
| 15. Ameren Corp (AEE)                                                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/18/19         | J                        |                         |                                                            |
| 16. American Beacon INTL Equity (ABEYX)                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 06/11/19         | J                        |                         | Part sold at loss                                          |
| 17.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/20/19         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 20

Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. American Beacon MNCD Futures (AHLIX)                                                                                   | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 12/13/19                | J                               |                                |                                                                   |
| 19.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/13/19                | J                               | A                              |                                                                   |
| 20. American Capitol World Grth (CWGIX)                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. American Elect Power (AEP)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/18/19                | J                               |                                |                                                                   |
| 22. American Express (APX) X                                                                                               | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. American Tower Corp (AMT)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/18/19                | J                               |                                |                                                                   |
| 24. Analog Devices Inc                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/14/19                | J                               |                                |                                                                   |
| 25.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 06/21/19                | J                               | A                              |                                                                   |
| 26. Applied Materials (AMAT)                                                                                               | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 04/02/19                | J                               |                                |                                                                   |
| 27.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 06/21/19                | J                               | A                              | all sold                                                          |
| 28. Apple (AAPL)                                                                                                           | A                                      | Dividend                                      | L                                              | T                                         | Sold<br>(part)                                  | 06/21/19                | J                               | A                              |                                                                   |
| 29. AQR Small Cap (QSERX)                                                                                                  | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 12/13/19                | J                               |                                |                                                                   |
| 30.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/13/19                | J                               |                                | Part sold loss                                                    |
| 31. AQR Style Premia Alt FD Cl 6 (QSPRX)                                                                                   | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. AT&T Common USD1 (T)                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               |                                | all sold loss                                                     |
| 33. Baird Core Plus Bond institutional (BCOIX)                                                                             | A                                      | Interest                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 06/11/19                | K                               |                                | a                                                                 |
| 34.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 01/22/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Bank New York Mellon Corp (BK)                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        |                         | all sold loss                                              |
| 36. Bank Of America (BAC)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 37. Barrick Gold Corp (GOLD)                                                                                               | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/19/19         | J                        |                         |                                                            |
| 38. Baxter International (BAX)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 39. Biomarin Pharmacettical (BMRN)                                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/18/19         | J                        |                         |                                                            |
| 40. Boeing CO (BA)                                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | A                       | all sold                                                   |
| 41. Bristol Myers Squibb (BMY)                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 42. Broadcom Limited (AVGO)                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/21/19         | J                        |                         |                                                            |
| 43. Cabot Oil and Gas (COG)                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/17/19         | J                        |                         | all sold loss                                              |
| 44. Celgene Corp (CELG)                                                                                                    | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 02/25/19         | J                        |                         | sold at loss                                               |
| 45.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 06/20/19         | J                        | A                       | all sold                                                   |
| 46. Chevron Corp (CVX)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 11/06/19         | J                        |                         |                                                            |
| 47. Chubb Limited Common (CB)                                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | A                       | all sold                                                   |
| 48. Cisco Sys Inc (CSCO)                                                                                                   | B                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/21/19         | J                        |                         |                                                            |
| 49. Citigroup (C)                                                                                                          | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/24/19         | J                        |                         |                                                            |
| 50. Cigna (CI)                                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 07/19/19         | J                        |                         |                                                            |
| 51.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/27/19         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 20

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Colgate-Palmolive (CL)                                                                                                 | A                                      | Dividend                               |                                                |                                    | Buy                                       | 11/08/19         | J                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 12/20/19         | J                        | A                       |                                                            |
| 54. Comcast Corp (CMCSA)                                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 12/20/19         | J                        |                         |                                                            |
| 55. Conocophillips (COP)                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 06/19/19         | J                        |                         | lpart sold loss                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 11/08/19         | J                        | A                       |                                                            |
| 57. Costco (COST)                                                                                                          | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 58. CVS Health Corp (CVS)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 03/15/19         | J                        |                         |                                                            |
| 59.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 06/19/19         | J                        |                         | all sold loss                                              |
| 60. Cypress Semiconductr (CY)                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 61. Deere & Company (DE)                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | A                       | all sold                                                   |
| 62. Delta Air Lines (DAL)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 63.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 01/13/20         | J                        | A                       |                                                            |
| 64. Dentsply Sirona Inc (XRAY)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 01/31/20         | J                        |                         |                                                            |
| 65. Discovery Inc (DISCA)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/19/19         | J                        |                         |                                                            |
| 66. Dish Network (DISH)                                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 06/21/19         | J                        |                         |                                                            |
| 67. Disney Walt (DIS)                                                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/21/19         | J                        |                         |                                                            |
| 68. Electronic Arts (EA)                                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/21/19         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Eli Lilly (LLY)                                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 12/20/19         | J                        |                         |                                                            |
| 70. EOG Resources ((EOG)                                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 71. Equity Lifestyle (ELS)                                                                                                 | A                                      | Dividend                               |                                                |                                    | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 72.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 01/31/20         | J                        | A                       | all sold                                                   |
| 73. Exelon Corp (EXC)                                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/18/19         | J                        | A                       | all sold                                                   |
| 74. Facebook Inc Common (FB)                                                                                               | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 06/21/19         | J                        |                         |                                                            |
| 75.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 06/19/19         | J                        | A                       | all sold                                                   |
| 76. Fidelity National Information Services (FIS)                                                                           | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 01/17/19         | K                        |                         |                                                            |
| 77. Fifth Third Bankcorp (FITB)                                                                                            | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        |                         | all sold loss                                              |
| 78. Gallagher Arthur J & Co (AJG)                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | A                       | all sold                                                   |
| 79. General Motors GM)                                                                                                     | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/19/19         | J                        |                         |                                                            |
| 80. Gilead Sciences Inc (GILD)                                                                                             | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        |                         | all sold loss                                              |
| 81. Goldman Sacks Small Cap R6 (GICUX)                                                                                     | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 06/11/19         | J                        |                         | Part sold at loss                                          |
| 82.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/13/19         | J                        |                         | Part sold at loss                                          |
| 83.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/23/19         | J                        |                         |                                                            |
| 84. Harding Loevner Intl Equity (HLIZX)<br>(HLIZX)                                                                         | A                                      | Dividend                               | L                                              | T                                  | Sold<br>(part)                            | 06/11/19         | L                        | A                       | Part sold                                                  |
| 85.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/13/19         | J                        | B                       | part sold                                                  |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Hartford Shroders Emg Mkts (SENMX)<br>now (HHHFX)                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/04/19         | J                        |                         |                                                            |
| 87. Health Stream Stock (HSTM)                                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 88. Home Depot (HD)                                                                                                        | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/19/19         | J                        |                         |                                                            |
| 89. Host Hotels and resorts (HST)                                                                                          | A                                      | Dividend                               |                                                |                                    | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 90.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 10/25/19         | J                        |                         | All sold at loss                                           |
| 91. HP Inc (HPQ)                                                                                                           | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/19/19         | J                        | A                       | allsold                                                    |
| 92. Ingersoll-Rand PLC (IR)                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 93. Intel Stock (INTC)                                                                                                     | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 94. Intercontinental Exchange (ICE)                                                                                        | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | B                       | all sold                                                   |
| 95. International Bus Mach Co ((IBM)                                                                                       | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/19/19         | J                        |                         |                                                            |
| 96. ISHARES Core S&P Small Cap (IJR)                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 06/26/19         | J                        |                         | part at loss                                               |
| 97.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 12/07/19         | J                        |                         | all at loss                                                |
| 98.                                                                                                                        |                                        |                                        |                                                |                                    | Buy                                       | 01/17/19         | J                        |                         |                                                            |
| 99. ISHARES Core S&P 500 ETF (IVV)                                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/11/19         | J                        |                         |                                                            |
| 100.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/02/19         | J                        |                         | Paart sold at loss                                         |
| 101. ISHARES TR Barclays (IEF)                                                                                             | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/11/19         | J                        |                         |                                                            |
| 102.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/05/19         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 02/11/20                | J                               |                                |                                                                   |
| 104. ISHARES TR Iboxx                                                                                                      | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 01/11/19                | J                               |                                |                                                                   |
| 105.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/28/19                | J                               | A                              |                                                                   |
| 106. Ishares TR JP Mor (EMB)                                                                                               | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 06/28/19                | J                               |                                |                                                                   |
| 107.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 01/08/20                | J                               | A                              | all sold                                                          |
| 108. Ishares Trust Core MSCI (IEFA)                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/05/19                | J                               |                                |                                                                   |
| 109.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 01/11/19                | J                               | A                              |                                                                   |
| 110. Johnson & Johnson (JNJ)                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. JPMORGAN (JPM)                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 112. Kansas City Southern Com (KSU)                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/20/19                | J                               |                                | all sold loss                                                     |
| 113. Keycorp New (KEY)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 10/25/19                | J                               |                                |                                                                   |
| 114.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/24/19                | J                               |                                |                                                                   |
| 115. Kimberly Clark Corp (KMB)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/17/19                | J                               |                                |                                                                   |
| 116. Las Vegas Sands Corp (LVS)                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/17/19                | J                               |                                | all sold loss                                                     |
| 117. Lazard Emerging Markets (EMBIX)                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/04/19                | J                               | A                              | all sold                                                          |
| 118. Lincoln Naational Corp (LNC)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 10/23/19                | J                               |                                |                                                                   |
| 119. Lockheed Martin Corp (LMT)                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/20/19                | J                               | A                              | all sold                                                          |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. L3Harris Techonologies (LHX)                                                                                          | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 121. Magna Intl Inc (MGA)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/17/19         | J                        |                         | all sold loss                                              |
| 122. Masco Corp (MAS)                                                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 123. Mastercard Inc (MA)                                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/21/19         | J                        |                         |                                                            |
| 124. McDonalds Corp (MCD)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/19/19         | J                        | A                       | all sold                                                   |
| 125. Medical PPTYS TR (MPW)                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 10/23/19         | J                        |                         |                                                            |
| 126. Medtronics PLC (MDT)                                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 08/16/19         | J                        |                         |                                                            |
| 127.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/20/19         | J                        | A                       |                                                            |
| 128. Merck & Company (MRK)                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/18/19         | J                        | A                       | all sold                                                   |
| 129. MGM Resorts International (MGM)                                                                                       | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 130. Microsoft Stock (MSFT)                                                                                                | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 131. Mondelez Inter Inc (MDLZ)                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/19/19         | J                        |                         |                                                            |
| 132. Morgan Stanley (MS)                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/19/19         | J                        | B                       | allsold                                                    |
| 133. Nashville School of Law IRA Unit<br>Investment Trusts                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 134. Netflix Com (NFLX)                                                                                                    | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/19/19         | J                        | A                       | all sold                                                   |
| 135. Nextra Energy Inc (NEE)                                                                                               | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/18/19         | J                        | A                       | all sold                                                   |
| 136. Nike Class B (NIKE)                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/17/19         | J                        | A                       | all sold                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Brown, Joe

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Norfolk Southern Corp (NSC)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/20/19                | J                               |                                |                                                                   |
| 138. Northrop Grumman Corp (NOC)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/24/19                | J                               |                                |                                                                   |
| 139. Nortonlifelock Inc (NLOK)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 12/18/19                | J                               |                                |                                                                   |
| 140. Norwegian Cruise Lines (NCLH)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/17/19                | J                               | A                              |                                                                   |
| 141. Nvidia Corp (NVDA)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 06/21/19                | J                               |                                |                                                                   |
| 142. NXP Semiconductors (NXPI)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/21/19                | J                               |                                |                                                                   |
| 143. Occidental Petroleum Co (OXY)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               |                                | all sold loss                                                     |
| 144. OHIO College Advantage 529 plan Black<br>Rock Growth Portfolio (ALL GC)                                               | A                                      | Dividend                                      | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 145. Oracle Corp Common (ORCL)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               | A                              | all sold                                                          |
| 146. Palo Alto Networks (PANW)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 06/21/19                | J                               |                                |                                                                   |
| 147.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/06/19                | J                               | A                              | all sold                                                          |
| 148. Paypal Holdings (PYPL)                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               | A                              | all sold                                                          |
| 149. Pepisco Inc (PEP)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 06/19/19                | J                               |                                |                                                                   |
| 150. Pfizer (PFE)                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 05/07/19                | J                               |                                |                                                                   |
| 151.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/20/19                | J                               |                                |                                                                   |
| 152.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 07/31/19                | J                               |                                | all sold at loss                                                  |
| 153. Philip Morris International (PM)                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/17/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/19/19                | J                               |                                |                                                                   |
| 155. Pimco RAE Enwewing Markets (PEIFX)                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/04/19                | J                               |                                |                                                                   |
| 156. Popular Inc (BPOP)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/20/19                | J                               |                                |                                                                   |
| 157. PPL Corp (PPL)                                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/18/19                | J                               | A                              | all sold                                                          |
| 158. Procter and Gamble (PG)                                                                                               | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 01/02/19                | J                               |                                |                                                                   |
| 159.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/19/19                | J                               | A                              |                                                                   |
| 160. Prologis Inc ((PLD)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/31/20                | J                               |                                |                                                                   |
| 161. Prudential Finl (PRU                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 06/24/19                | J                               |                                |                                                                   |
| 162.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 10/25/19                | J                               |                                | all sold loss                                                     |
| 163. Progressive Corp Ohio (PGR)                                                                                           | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/23/19                | J                               |                                | all sold at loss                                                  |
| 164. Qorvo Inc ((QRVO)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 11/06/19                | J                               |                                |                                                                   |
| 165. Raytheon Co Com New (RTN)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/20/19                | J                               |                                | all sold loss                                                     |
| 166. Republic Services inc (RSG)                                                                                           | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/20/19                | J                               | A                              | all sold                                                          |
| 167. Royal Dutch Shell (RDSA)                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               |                                | all sold loss                                                     |
| 168. Salesforce Com (CRM)                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               | A                              | all sold                                                          |
| 169. Share Core S&P Small-cap E (IEA)                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/08/20                | J                               |                                |                                                                   |
| 170. Shire PLC Sponcered ADR (SHPG)(X)                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. SPDR Portfolio Intermediate Bonds (SPIB)                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/28/19                | J                               |                                |                                                                   |
| 172. SPDR Short Term Corp Bonds (SPSB)                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 173. Stanley Black & Decker (SWK)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 12/18/19                | J                               |                                |                                                                   |
| 174. Steel Dynamitica Inc (STDL)                                                                                           | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 06/19/19                | J                               |                                |                                                                   |
| 175.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/27/19                | J                               | A                              | all sold                                                          |
| 176. Spirit Aerosystems Hldgs Inc (SPR)(X)                                                                                 | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/31/20                | J                               |                                | all sold loss                                                     |
| 177. Suncor Energy Co (SU)                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 6/19/19                 | J                               |                                |                                                                   |
| 178.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/08/19                | J                               | A                              |                                                                   |
| 179. Tapestry Inc                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 06/19/19                | J                               |                                |                                                                   |
| 180.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/30/19                | J                               |                                | all sold at loss                                                  |
| 181. T Mobile US (TMUS)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 182. Target Corp (TGT)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 12/04/19                | J                               |                                |                                                                   |
| 183. TCW Emerging Markets Income (TGEIX)                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 184. Technipfmc PLC (FTI)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 09/11/19                | J                               |                                |                                                                   |
| 185. Texas Instruments Inc (TXN)                                                                                           | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 06/04/19                | J                               |                                |                                                                   |
| 186.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/20/19                | J                               |                                | all sold at loss                                                  |
| 187. Thermo Fisher Scientific (TMO)                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/18/19                | J                               | B                              | all sold                                                          |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. TJX COS Inc (TJX) (X)                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/19/19         | J                        | A                       | all sold                                                   |
| 189. Tractor Supply (TSCO)                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 190. Union Pacific Corp (UNP)                                                                                              | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/20/19         | J                        |                         |                                                            |
| 191. United Health Group (UNH)                                                                                             | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/20/19         | J                        | B                       | all sold                                                   |
| 192. United Techonologies Corp (UTX)                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold                                      | 01/03/19         | J                        | A                       | all sold                                                   |
| 193. USAA Emerging Markets (UIEMX)                                                                                         | A                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 06/04/19         | J                        | A                       |                                                            |
| 194. USAA High Income Fund (UIINX)                                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 06/11/19         | K                        |                         |                                                            |
| 195. USAA Income Fund Institutional Shares<br>(UIINX)                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 06/11/19         | J                        |                         |                                                            |
| 196. USAA Managed Allocation (UMAFX)                                                                                       | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 197. USAA Money Market (USAXX) (X)                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 198. USAA Short Term Bond Fund (UISBX)                                                                                     | A                                      | Int./Div.                              | J                                              | T                                  | Buy<br>(add'l)                            | 06/11/19         | J                        |                         |                                                            |
| 199.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/13/19         | J                        |                         |                                                            |
| 200. USAA Tax Exempt Intermediate (USATX)                                                                                  | D                                      | Int./Div.                              | M                                              | T                                  | Buy<br>(add'l)                            | 06/11/19         | J                        |                         |                                                            |
| 201.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/13/19         | J                        |                         |                                                            |
| 202. USAA Tax Exempt Short Term (USSTX)                                                                                    | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 203. USAA Tax-Exempt Market (USEXX)                                                                                        | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 204. USAA Bank Checking Accounts                                                                                           | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. Valero Energy Corp (VLO)                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/13/19                | J                               |                                | all sold loss                                                     |
| 206. Vanguard Growth and Income (VGIAX)                                                                                    | B                                      | Dividend                                      | L                                              | T                                         | Sold<br>(part)                                  | 06/11/19                | J                               | A                              | Part sold                                                         |
| 207. Vertex Pharmaceuticals (VRTX)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/18/19                | J                               |                                |                                                                   |
| 208. Victory Sycamore Established Values<br>(VEVRX)                                                                        | A                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 06/11/19                | J                               | A                              | part sold                                                         |
| 209.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/13/19                | J                               | B                              | part sold                                                         |
| 210.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 01/22/20                | J                               | A                              | part sold                                                         |
| 211.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/18/19                | J                               |                                |                                                                   |
| 212. Visa (V)                                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/19/19                | J                               | C                              |                                                                   |
| 213. VOYA Intermediate Bonds Class I (IICIX))<br>from (IIWBX)                                                              | A                                      | Interest                                      |                                                |                                           | Sold                                            | 06/11/19                | J                               | A                              | all sold                                                          |
| 214. Wal Mart Stock (WMT)                                                                                                  | C                                      | Dividend                                      | M                                              | T                                         | Donated<br>(part)                               |                         |                                 |                                |                                                                   |
| 215.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/19/19                | J                               | C                              |                                                                   |
| 216. Wells Fargo Stock (WFC)                                                                                               | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 217. Wells Fargo CMA, Checking                                                                                             | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 218. Westrock CO ((WRK)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 219. Wyndham Destinations Inc (WYND)                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/10/19                | J                               |                                | All sold at loss                                                  |
| 220. Wyndham Hotels & Resorts (WH)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/17/19                | J                               |                                | All sold at loss                                                  |
| 221. Xcel Energy Inc (XEL)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 06/20/19                | J                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. 1/2 Interest Knox Brown Farms, Taylorsville, KY                                                                       | E                                      | Rent                                   | O                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 223. 1/2 Interest Knox Brown Farms, Laporte, IN                                                                            | F                                      | Rent                                   | P1                                             | W                                  |                                           |                  |                          |                         |                                                            |
| 224. Fidelity Mutual Life Ins Co. (Whole Life)                                                                             | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 225. Mass Mutual Life Insurance Policies (Whole Life)                                                                      | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 226. Peoples Bank Taylorsville Sav Act (Family Cemetary Trust)                                                             | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 227. USAA Bank Account                                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 228. REGIONS Bank, Nashville Checking                                                                                      | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 229.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 230.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 231.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 232.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 233.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 234.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 235.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 236.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 237.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 238.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 240.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 241.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 242.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 243.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 244.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 245.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

**FINANCIAL DISCLOSURE REPORT**

Page 19 of 20

|                                 |                       |
|---------------------------------|-----------------------|
| <b>Name of Person Reporting</b> | <b>Date of Report</b> |
| <b>Brown, Joe</b>               | 3/7/2020              |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII  
Line 144 This includes all funds for [REDACTED] (GC) as custodial Part I lines 4-10. All shares in Ohio 529 plan and managed by Black Rock.  
Line 226 Cemetary trust assets .  
USAA managed stock account has a numbr of investments that hover around 1000 value at the end of the year. I list them to keep track of them despite fact they may be plus or minus each year of \$1000.value.

# FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Brown, Joe

Date of Report

3/7/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joe Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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