

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 12/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Morgan Lewis & Bockius - partnership

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard 500 Index Fund (no control)	A	Interest	O	T					
2. Rental Property Kiawah Island, SC (2010 \$1.8 million)	E	Rent	P1	R					
3. Rental Property Syosset, NY 11791 (2014 \$2 million) (X)	A	Rent	P1	R					
4. AB Discovery Growth Fund	A	Dividend	L	T	Buy (add'l)	01/11/16	J		
5.					Buy (add'l)	01/14/16	J		
6.					Buy (add'l)	01/20/16	J		
7.					Buy (add'l)	02/04/16	J		
8.					Sold (part)	11/17/16	J	A	
9.					Buy (add'l)	12/19/16	J		
10. AB Discovery Value Fund	A	Dividend	L	T	Buy (add'l)	01/07/16	J		
11.					Buy (add'l)	01/20/16	J		
12.					Buy (add'l)	01/25/16	J		
13.					Buy (add'l)	02/04/16	J		
14.					Sold (part)	07/25/16	J	A	
15.					Sold (part)	08/31/16	J	A	
16.					Sold (part)	09/23/16	J	A	
17.					Sold (part)	11/11/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	11/14/16	J	A	
19.					Sold (part)	11/15/16	J	A	
20.					Sold (part)	11/17/16	J	A	
21.					Sold (part)	11/21/16	J	A	
22.					Sold (part)	12/07/16	J	A	
23.					Buy (add'l)	12/20/16	J		
24. AB International Small Cap	A	Dividend	L	T	Buy	02/22/16	L		
25.					Buy (add'l)	12/16/16	J		
26. AB International Strategic	A	Dividend	M	T	Buy	02/22/16	M		
27. AB International Stratetic Equities	A	Dividend	J	T	Buy	12/16/16	J		
28. AB Multi-manager Alt Fd (form. AllianceBernstein Multi-manager Alt Fd)	A	Dividend	N	T	Buy (add'l)	12/31/15	J		
29. AB Small Cap Core Portfolio	A	Dividend	L	T	Buy	03/07/16	J		
30.					Buy (add'l)	03/21/16	J		
31.					Buy (add'l)	04/28/16	J		
32.					Buy (add'l)	05/23/16	J		
33.					Buy (add'l)	06/09/16	J		
34.					Buy (add'l)	08/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	08/22/16	J		
36.					Buy (add'l)	09/15/16	J		
37.					Buy (add'l)	09/16/16	J		
38.					Buy (add'l)	09/19/16	J		
39.					Buy (add'l)	12/16/16	J		
40. AB Wealth Appreciation Strategy	A	Dividend	M	T	Buy	01/19/16	K		
41.					Buy (add'l)	01/20/16	J		
42.					Sold (part)	01/26/16	J	A	
43.					Buy (add'l)	02/02/16	K		
44.					Buy (add'l)	02/03/16	J		
45.					Sold (part)	04/19/16	J	A	
46.					Sold (part)	07/22/16	J	A	
47.					Sold (part)	10/25/16	J	A	
48.					Buy (add'l)	12/15/16	J		
49. Adobe Systems Inc.	A	Dividend	J	T	Buy	08/03/16	J		
50.					Buy (add'l)	08/10/16	J		
51.					Buy (add'l)	10/18/16	J		

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52. Advansix Inc.	A	Dividend			Sold	10/04/16	J	A	
53. AETNA	A	Dividend	K	T	Sold (part)	01/06/16	J	A	
54.					Buy (add'l)	02/04/16	J		
55.					Sold (part)	02/22/16	J	A	
56.					Buy (add'l)	06/03/16	J		
57.					Buy (add'l)	06/06/16	J		
58.					Buy (add'l)	10/20/16	J		
59. Alexion Pharmaceuticals Inc.	A	Dividend	J	T	Buy	07/28/16	J		
60.					Buy (add'l)	07/29/16	J		
61.					Buy (add'l)	08/23/16	J		
62.					Buy (add'l)	10/17/16	J		
63.					Buy (add'l)	10/19/16	J		
64.					Buy (add'l)	11/15/16	J		
65. Alphabet Inc. Class A	A	Dividend	J	T	Sold (part)	01/05/16	J	C	
66.					Sold (part)	02/12/16	J	A	
67.					Sold (part)	03/01/16	J	A	
68.					Sold (part)	03/07/16	J	C	

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69.					Sold (part)	03/08/16	J	B	
70. Alphabet Inc. Class C	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
71.					Buy (add'l)	12/12/16	J		
72. AMDOCS Ltd.	A	Dividend	J	T	Sold (part)	01/06/16	J	B	
73.					Buy (add'l)	02/04/16	J		
74.					Sold (part)	05/26/16	J	A	
75.					Sold (part)	09/20/16	J	A	
76.					Sold (part)	09/22/16	J	A	
77.					Sold (part)	09/28/16	J	A	
78. American Beacon (X)	A	Dividend	J	T	Sold (part)	05/26/16	J	A	
79.					Buy (add'l)	05/27/16	J		
80.					Buy (add'l)	12/22/16	J		
81. American Century Heritage (X)	A	Dividend			Sold	05/26/16	J	A	
82. American Electric Power	A	Dividend	K	T	Buy (add'l)	02/01/16	J		
83.					Buy (add'l)	02/04/16	J		
84.					Buy (add'l)	02/09/16	J		
85.					Buy (add'l)	02/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Buy (add'l)	03/01/16	J		
87.					Buy (add'l)	03/08/16	J		
88.					Buy (add'l)	12/14/16	J		
89. American International Group	A	Dividend	K	T	Buy (add'l)	02/04/16	K		
90.					Buy (add'l)	02/08/16	J		
91.					Buy (add'l)	03/08/16	J		
92.					Sold (part)	05/17/16	J	A	
93.					Sold (part)	07/20/16	J	A	
94.					Sold (part)	08/01/16	J	A	
95. AMG GW&K Small Cap	A	Dividend	O	T	Buy (add'l)	12/27/16	J		
96. Amphenol Corp.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
97.					Buy (add'l)	02/18/16	J		
98.					Buy (add'l)	06/02/16	J		
99.					Sold (part)	07/25/16	J	A	
100.					Sold (part)	07/26/16	J	A	
101.					Sold (part)	07/27/16	J	A	
102. Ansys Inc.	A	Dividend	J	T	Buy (add'l)	02/08/16	J		

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103.					Sold (part)	02/22/16	J	A	
104.					Sold (part)	07/18/16	J	B	
105. Anthem Inc.	A	Dividend			Sold (part)	01/04/16	J	A	
106.					Buy (add'l)	02/04/16	J		
107.					Sold (part)	02/22/16	J	A	
108.					Buy (add'l)	03/16/16	J		
109.					Sold (part)	08/03/16	J	A	
110.					Sold (part)	08/18/16	J	A	
111.					Sold	08/19/16	J	A	
112. Aon	A	Dividend			Sold	01/05/16	J	B	
113. Apple Inc.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
114.					Sold (part)	03/24/16	J	A	
115.					Sold (part)	08/02/16	J	A	
116. Applied Materials Inc.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
117.					Sold (part)	02/25/16	J	A	
118.					Sold (part)	03/07/16	J	A	
119.					Sold (part)	04/15/16	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	05/04/16	J	A	
121.					Sold (part)	05/12/16	J	A	
122.					Sold (part)	05/13/16	J	A	
123.					Sold (part)	06/08/16	J	A	
124.					Sold (part)	06/10/16	J	A	
125.					Sold (part)	08/04/16	J	A	
126.					Sold (part)	08/30/16	J	A	
127.					Sold (part)	09/21/16	J	A	
128.					Sold (part)	10/10/16	J	A	
129.					Sold (part)	10/11/16	J	A	
130.					Sold (part)	11/04/16	J	A	
131.					Sold (part)	11/11/16	J	A	
132. Artisan Int'l Fund	A	Dividend	M	T	Buy (add'l)	11/17/16	J		
133. Ball Corp.	A	Dividend			Sold (part)	02/22/16	J	A	
134.					Sold (part)	02/25/16	J	A	
135.					Sold (part)	03/10/16	J	A	
136.					Sold (part)	03/18/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	04/29/16	J	A	
138. Bank of America	A	Dividend	K	T	Buy (add'l)	01/25/16	J		
139.					Buy (add'l)	02/04/16	J		
140.					Buy (add'l)	02/17/16	J		
141.					Buy (add'l)	02/25/16	J		
142.					Sold (part)	06/30/16	J	A	
143.					Sold (part)	08/02/16	J		
144.					Sold (part)	11/10/16	J	A	
145. Berkshire Hathaway Inc.	A	Dividend	K	T					
146. Bernstein Emerging Markets Portfolio	A	Dividend	L	T	Buy (add'l)	02/04/16	J		
147.					Sold (part)	02/22/16	J	C	
148.					Sold (part)	11/17/16	J	A	
149.					Buy (add'l)	12/13/16	J		
150. Bernstein International Portfolio	B	Dividend			Sold (part)	01/19/16	J	A	
151.					Sold (part)	01/26/16	J	A	
152.					Sold	02/02/16	J	A	
153. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	12/31/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	01/20/16	J		
155.					Sold (part)	01/22/16	J	A	
156.					Buy (add'l)	02/19/16	J		
157.					Buy (add'l)	03/18/16	J		
158.					Sold (part)	04/19/16	J	A	
159.					Buy (add'l)	04/20/16	J		
160.					Buy (add'l)	05/20/16	J		
161.					Buy (add'l)	05/26/16	J		
162.					Buy (add'l)	06/20/16	J		
163.					Buy (add'l)	07/20/16	J		
164.					Buy (add'l)	08/17/16	J		
165.					Buy (add'l)	08/19/16	J		
166.					Buy (add'l)	09/20/16	J		
167.					Buy (add'l)	10/20/16	J		
168.					Sold (part)	10/25/16	J	A	
169.					Buy (add'l)	11/18/16	J		
170.					Buy (add'l)	11/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/20/16	J		
172. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	02/04/16	K		
173.					Sold (part)	02/22/16	J	C	
174.					Buy (add'l)	12/13/16	J		
175. Bernstein Tax-Aware Overlay A Portfolio Class A - (no control)	B	Dividend	N	T	Buy (add'l)	02/04/16	K		
176.					Buy (add'l)	12/20/16	K		
177. Bernstein Tax-Aware Overlay N Portfolio Class 1 (no control)	D	Dividend	P1	T	Buy (add'l)	02/04/16	K		
178.					Buy (add'l)	12/20/16	K		
179. Biogen Idec Inc.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
180.					Sold (part)	10/21/16	J	A	
181. Blackrock Global Allocations Fd Inc.	A	Dividend	K	T	Sold (part)	09/19/16	J	A	
182. Blackrock High Yield	A	Dividend	N	T	Buy (add'l)	01/06/16	J		
183.					Buy (add'l)	01/29/16	J		
184.					Buy (add'l)	02/29/16	J		
185.					Buy (add'l)	03/31/16	J		
186.					Buy (add'l)	04/29/16	J		
187.					Buy (add'l)	05/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	06/30/16	J		
189.					Buy (add'l)	07/29/16	J		
190.					Buy (add'l)	08/31/16	J		
191.					Buy (add'l)	09/30/16	J		
192.					Buy (add'l)	10/31/16	J		
193.					Buy (add'l)	11/30/16	J		
194.					Buy (add'l)	12/22/16	J		
195.					Buy (add'l)	12/30/16	J		
196. Capital One Financial Corp.	A	Dividend	K	T	Buy (add'l)	01/25/16	J		
197.					Buy (add'l)	01/28/16	J		
198.					Buy (add'l)	02/04/16	J		
199.					Sold (part)	03/15/16	J	A	
200.					Sold (part)	05/19/16	J	A	
201.					Buy (add'l)	07/20/16	J		
202.					Sold (part)	08/22/16	J	A	
203.					Sold (part)	09/14/16	J	A	
204.					Sold (part)	09/21/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	11/01/16	J	A	
206.					Sold (part)	11/10/16	J	A	
207. Cardinal Health Inc.	A	Dividend	J	T	Buy	11/25/16	J		
208.					Buy (add'l)	11/28/16	J		
209. CF Industries Holdings Inc.	A	Dividend			Buy (add'l)	02/01/16	J		
210.					Buy (add'l)	02/04/16	J		
211.					Buy (add'l)	02/08/16	J		
212.					Sold (part)	05/23/16	J	B	
213.					Sold (part)	06/07/16	J	A	
214.					Sold (part)	07/07/16	J	A	
215.					Sold (part)	07/18/16	J	A	
216.					Sold (part)	09/12/16	J	A	
217.					Sold (part)	09/14/16	J	A	
218.					Sold	09/15/16	J	A	
219. Cigna Corp.	A	Dividend	J	T	Buy	08/16/16	J		
220.					Buy (add'l)	08/17/16	J		
221.					Buy (add'l)	08/22/16	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	08/23/16	J		
223.					Buy (add'l)	10/24/16	J		
224.					Buy (add'l)	11/29/16	J		
225. Cintas Corp.	A	Dividend	L	T					
226. Cisco Systems Inc.	A	Dividend	J	T	Buy	09/21/16	J		
227.					Buy (add'l)	09/22/16	J		
228.					Buy (add'l)	11/07/16	J		
229.					Buy (add'l)	11/11/16	J		
230. Citibank-Checking	B	Interest	L	T					
231. Citibank Insured Money Mkt Acct. (IRA - CD)	A	Interest	J	T					
232. Citibank Insured Money Mkt. Acct. (IRA - Solomon)	A	Interest	K	T					
233. Citibank Insured Money Mkt Acct. (IRA)	A	Interest	J	T					
234. Citibank-Insured Money Market	C	Interest	J	T					
235. Citibank-Insured Money Market	C	Interest	J	T					
236. Citizens Financial Group	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
237.					Buy (add'l)	02/04/16	J		
238.					Sold (part)	07/18/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	07/19/16	J	A	
240. Clearbridge Aggr Gwth (Legg Mason)	A	Dividend	M	T	Sold (part)	01/06/16	J	A	
241. Clearbridge Appreciation Fund (Legg Mason)	E	Dividend			Sold	01/05/16	M	F	
242. Comcast Corp.	A	Dividend	K	T	Buy (add'l)	01/28/16	J		
243.					Buy (add'l)	02/04/16	J		
244. Computer Sciences Corp.	A	Dividend			Buy	01/22/16	J		
245.					Buy (add'l)	01/28/16	J		
246.					Buy (add'l)	02/08/16	J		
247.					Sold	04/22/16	J	A	
248. Costco Wholesale Corp.	A	Dividend	K	T	Buy (add'l)	02/08/16	J		
249.					Buy (add'l)	02/09/16	J		
250.					Sold (part)	02/22/16	J	A	
251.					Buy (add'l)	06/01/16	J		
252.					Buy (add'l)	06/02/16	J		
253.					Buy (add'l)	06/21/16	J		
254.					Sold (part)	07/18/16	J	A	
255.					Sold (part)	07/26/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. CVS Health Corp. (formerly CVS / Caremark Corp.)	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
257.					Sold (part)	03/07/16	J	A	
258.					Sold (part)	05/13/16	J	A	
259.					Sold (part)	05/18/16	J	A	
260.					Sold (part)	07/26/16	J	A	
261.					Buy (add'l)	12/14/16	J		
262. Danaher Corp.	A	Dividend			Sold (part)	01/08/16	J	A	
263.					Buy (add'l)	02/04/16	J		
264.					Sold (part)	02/18/16	J	A	
265.					Sold (part)	02/25/16	J	A	
266.					Sold (part)	02/26/16	J	A	
267.					Sold (part)	05/13/16	J	A	
268.					Sold (part)	05/16/16	J	A	
269.					Sold (part)	09/13/16	J	B	
270.					Sold (part)	09/14/16	J	A	
271.					Sold	09/15/16	J	B	
272. Davis NY Venture Fund - Mutual Fund	A	Dividend	L	T	Sold (part)	09/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Delta Air Lines, Inc.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
274.					Buy (add'l)	07/20/16	J		
275.					Buy (add'l)	07/21/16	J		
276.					Buy (add'l)	07/28/16	J		
277.					Buy (add'l)	11/15/16	J		
278. Devon Energy Corp.	A	Dividend	J	T	Buy	10/12/16	J		
279.					Buy (add'l)	10/13/16	J		
280.					Buy (add'l)	12/08/16	J		
281. DFA US Small Cap Port Inst.	A	Dividend	L	T	Buy	01/06/16	J		
282.					Buy (add'l)	03/30/16	J		
283.					Buy (add'l)	05/27/16	J		
284.					Buy (add'l)	06/29/16	J		
285.					Buy (add'l)	09/29/16	J		
286.					Buy (add'l)	12/15/16	J		
287. Discover Financial Services	A	Dividend	K	T	Buy (add'l)	01/28/16	J		
288.					Buy (add'l)	02/04/16	J		
289.					Sold (part)	03/15/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

12/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	08/19/16	J	A	
291.					Sold (part)	11/04/16	J	A	
292. Dodge & Cox Int'l Stock Fund (X)	A	Dividend			Sold	05/26/16	J	A	
293. Dollar General Corp.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
294.					Sold (part)	02/26/16	J	A	
295.					Sold (part)	05/11/16	J	A	
296.					Sold (part)	07/26/16	J	A	
297.					Sold (part)	11/30/16	J	A	
298.					Sold (part)	12/02/16	J	A	
299. Dow Chemical	A	Dividend	J	T	Buy	10/19/16	J		
300.					Buy (add'l)	10/20/16	J		
301.					Buy (add'l)	10/21/16	J		
302.					Buy (add'l)	11/10/16	J		
303.					Buy (add'l)	11/11/16	J		
304. Dr. Pepper Snapple Group Inc.	A	Dividend	J	T	Sold (part)	02/22/16	J	A	
305.					Sold (part)	06/07/16	J	A	
306.					Sold (part)	09/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	10/10/16	J	A	
308.					Sold (part)	10/12/16	J	A	
309.					Sold (part)	10/13/16	J	A	
310.					Buy (add'l)	12/15/16	J		
311. Eaton Corp.	A	Dividend	J	T	Buy	07/01/16	J		
312.					Buy (add'l)	07/06/16	J		
313.					Buy (add'l)	09/02/16	J		
314.					Buy (add'l)	09/21/16	J		
315.					Buy (add'l)	09/23/16	J		
316.					Buy (add'l)	10/13/16	J		
317.					Buy (add'l)	10/14/16	J		
318. Ebay Inc.	A	Dividend	J	T	Sold (part)	01/29/16	J	A	
319.					Buy (add'l)	02/29/16	J		
320.					Buy (add'l)	10/14/16	J		
321. Edgewood Growth Inst'l. (X)	A	Dividend			Sold	05/26/16	J	A	
322. Edison International	A	Dividend	K	T	Sold (part)	02/01/16	J	A	
323.					Sold (part)	02/22/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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12/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	03/09/16	J		
325.					Buy (add'l)	03/16/16	J		
326.					Buy (add'l)	04/25/16	J		
327.					Buy (add'l)	05/06/16	J		
328.					Buy (add'l)	10/20/16	J		
329.					Buy (add'l)	10/24/16	J		
330.					Buy (add'l)	11/18/16	J		
331. Edwards Lifesciences	A	Dividend	J	T	Buy	10/31/16	J		
332.					Buy (add'l)	11/01/16	J		
333.					Buy (add'l)	11/08/16	J		
334.					Buy (add'l)	12/16/16	J		
335. Empire State Municipal Exempt Fund	A	Interest	J	T					
336. EOG RES Inc.	A	Dividend	K	T	Buy (add'l)	02/08/16	J		
337.					Sold (part)	03/10/16	J	A	
338.					Sold (part)	10/26/16	J	A	
339. Estee Lauder Companies	A	Dividend			Buy (add'l)	01/06/16	J		
340.					Buy (add'l)	01/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	02/08/16	J		
342.					Sold (part)	02/22/16	J	A	
343.					Sold (part)	06/01/16	J	A	
344.					Buy (add'l)	10/20/16	J		
345.					Buy (add'l)	10/24/16	J		
346.					Sold (part)	12/13/16	J	A	
347.					Sold (part)	12/14/16	J	A	
348.					Sold	12/21/16	J	A	
349. Exelon	A	Dividend	J	T					
350. Exxon Mobil Corp.	A	Dividend	K	T	Buy	05/10/16	J		
351.					Buy (add'l)	05/11/16	J		
352.					Buy (add'l)	05/12/16	J		
353.					Buy (add'l)	05/13/16	J		
354.					Buy (add'l)	05/16/16	J		
355.					Buy (add'l)	05/17/16	J		
356.					Buy (add'l)	06/16/16	J		
357.					Buy (add'l)	06/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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12/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	11/02/16	J		
359.					Buy (add'l)	11/03/16	J		
360.					Buy (add'l)	11/07/16	J		
361. F5 Networks Inc.	A	Dividend			Sold	01/21/16	J	A	
362. Facebook Inc.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
363.					Sold (part)	03/01/16	J	A	
364.					Sold (part)	03/02/16	J	A	
365.					Buy (add'l)	09/30/16	J		
366.					Buy (add'l)	10/12/16	J		
367.					Buy (add'l)	10/13/16	J		
368.					Buy (add'l)	12/20/16	J		
369. Fiserv Inc.	A	Dividend	J	T	Sold (part)	01/19/16	J	A	
370.					Buy (add'l)	02/04/16	J		
371.					Buy (add'l)	02/12/16	J		
372. Fortive Corp.	A	Dividend			Sold	08/02/16	J	A	
373. Gamestop Corp.	A	Dividend			Sold (part)	02/22/16	J	A	
374.					Sold (part)	03/04/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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12/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold	03/23/16	J	A	
376. General Electric	A	Dividend	J	T	Buy	12/22/16	J		
377. General Mills	A	Dividend			Buy	05/19/16	J		
378.					Buy (add'l)	05/20/16	J		
379.					Buy (add'l)	05/23/16	J		
380.					Buy (add'l)	05/24/16	J		
381.					Buy (add'l)	06/02/16	J		
382.					Buy (add'l)	06/13/16	J		
383.					Buy (add'l)	06/20/16	J		
384.					Buy (add'l)	07/06/16	J		
385.					Sold (part)	10/14/16	J	A	
386.					Sold (part)	10/17/16	J	A	
387.					Sold	10/18/16	J	A	
388. Gilead Sciences Inc.	A	Dividend	K	T	Buy (add'l)	01/15/16	J		
389.					Buy (add'l)	02/04/16	J		
390.					Sold (part)	02/25/16	J	A	
391.					Sold (part)	03/07/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	06/03/16	J		
393.					Buy (add'l)	09/23/16	J		
394.					Buy (add'l)	11/07/16	J		
395.					Buy (add'l)	11/29/16	J		
396.					Buy (add'l)	12/14/16	J		
397. GP Strategies Corp.		None	J	T					
398. Halliburton Co.	A	Dividend	J	T	Buy	12/08/16	J		
399. Helmerich & Payne	A	Dividend	J	T	Buy	04/15/16	J		
400.					Buy (add'l)	04/20/16	J		
401.					Buy (add'l)	04/29/16	J		
402.					Buy (add'l)	05/02/16	J		
403.					Buy (add'l)	05/31/16	J		
404.					Sold (part)	11/04/16	J	A	
405.					Sold (part)	11/08/16	J	A	
406. Hess Corp.	A	Dividend	K	T	Buy	02/09/16	J		
407.					Buy (add'l)	03/11/16	J		
408.					Buy (add'l)	03/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

12/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	03/21/16	J		
410.					Buy (add'l)	03/24/16	J		
411.					Buy (add'l)	04/29/16	J		
412.					Buy (add'l)	05/02/16	J		
413.					Buy (add'l)	08/05/16	J		
414.					Buy (add'l)	08/08/16	J		
415.					Sold (part)	11/04/16	J	A	
416. Hewlett Packard Enterprise Co.	A	Dividend	J	T	Sold (part)	02/12/16	J	A	
417.					Sold (part)	10/17/16	J	A	
418. Honeywell International Inc.	A	Dividend	K	T	Buy	04/14/16	J		
419.					Buy (add'l)	04/18/16	J		
420.					Buy (add'l)	05/02/16	J		
421.					Buy (add'l)	05/04/16	J		
422.					Buy (add'l)	05/06/16	J		
423.					Buy (add'l)	10/19/16	J		
424.					Buy (add'l)	11/01/16	J		
425.					Buy (add'l)	11/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. HP Inc.	A	Dividend	J	T	Sold (part)	02/22/16	J	A	
427.					Buy (add'l)	03/24/16	J		
428.					Buy (add'l)	03/28/16	J		
429.					Buy (add'l)	04/18/16	J		
430.					Buy (add'l)	04/25/16	J		
431. Intel Corp.	A	Dividend	K	T	Buy	02/17/16	J		
432.					Buy (add'l)	02/26/16	J		
433.					Buy (add'l)	03/07/16	J		
434.					Buy (add'l)	05/18/16	J		
435.					Buy (add'l)	05/31/16	J		
436.					Buy (add'l)	06/02/16	J		
437.					Buy (add'l)	11/14/16	J		
438.					Buy (add'l)	11/16/16	J		
439. Interferon Sciences, Inc.		None	J	T					
440. Int'l. Business Machines Corp.	A	Dividend	J	T	Buy	11/01/16	J		
441.					Buy (add'l)	11/03/16	J		
442.					Buy (add'l)	12/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	12/14/16	J		
444. Intuitive Surgical Inc.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
445.					Sold (part)	02/22/16	J	A	
446.					Sold (part)	02/25/16	J	A	
447.					Sold (part)	05/27/16	J	A	
448.					Sold (part)	06/01/16	J	A	
449.					Sold (part)	08/04/16	J	A	
450.					Buy (add'l)	11/09/16	J		
451. Investment Company of America - Mutual Fund (American Fund)	A	Dividend	M	T	Buy (add'l)	03/18/16	J		
452.					Buy (add'l)	06/17/16	J		
453.					Buy (add'l)	09/16/16	J		
454.					Buy (add'l)	12/19/16	J		
455. IRA-Citibank-CD	A	Interest	J	T					
456. IRA-Citibank-Money Market	A	Interest	J	T					
457. IRA-Soloman - Treasury Bond	A	Interest	J	T					
458. Ishares Russell (X)	A	Dividend			Sold	05/31/16	J	A	
459. ITT Corp.	A	Dividend	J	T	Buy (add'l)	01/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	02/22/16	J	A	
461.					Buy (add'l)	05/05/16	J		
462.					Sold (part)	06/30/16	J	A	
463.					Sold (part)	07/05/16	J	A	
464.					Sold (part)	09/20/16	J	A	
465.					Sold (part)	10/19/16	J	A	
466. JetBlue Airways	A	Dividend			Sold	05/13/16	J	A	
467. Johnson & Johnson	A	Dividend	L	T	Buy (add'l)	02/04/16	J		
468.					Buy (add'l)	02/22/16	J		
469.					Buy (add'l)	11/09/16	J		
470. JP Morgan Chase & Co.	A	Dividend			Buy	07/01/16	J		
471.					Sold	08/01/16	J	A	
472. Kimberly-Clark Corp.	A	Dividend	J	T	Buy (add'l)	01/20/16	J		
473.					Buy (add'l)	02/04/16	J		
474.					Sold (part)	10/31/16	J	A	
475.					Sold (part)	12/12/16	J	A	
476.					Sold (part)	12/13/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Kroger Co.	A	Dividend	K	T	Buy (add'l)	10/14/16	J		
478.					Buy (add'l)	10/17/16	J		
479.					Buy (add'l)	10/20/16	J		
480.					Buy (add'l)	10/21/16	J		
481. L Brands Inc.	A	Dividend			Buy (add'l)	02/01/16	J		
482.					Buy (add'l)	02/04/16	J		
483.					Sold (part)	04/04/16	J	A	
484.					Sold (part)	04/05/16	J	A	
485.					Sold	04/15/16	J	A	
486. L-3 Technologies (formerly L-3 Communications Holdings)	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
487.					Sold (part)	10/20/16	J	A	
488. Lear Corp.	A	Dividend	J	T	Buy	07/05/16	J		
489.					Sold (part)	08/03/16	J	A	
490. Loomis Sayles Small Cap (X)	A	Dividend			Sold	05/26/16	J	A	
491. Lord Abbott Equity Fund	A	Dividend	J	T					
492. LMP Real Estate Income	A	Dividend	J	T					
493. LMP Stk Appr. Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Lyondellbasell Indu - CL A	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
495.					Sold (part)	05/03/16	J	A	
496.					Sold (part)	05/05/16	J	A	
497.					Sold (part)	05/10/16	J	A	
498.					Sold (part)	05/19/16	J	A	
499.					Sold (part)	06/02/16	J	B	
500.					Sold (part)	06/03/16	J	A	
501. Magna International Inc.	A	Dividend	J	T	Sold (part)	06/30/16	J	A	
502.					Sold (part)	07/01/16	J	B	
503.					Buy (add'l)	08/02/16	J		
504. McDonald's Corp.	A	Dividend			Buy (add'l)	02/04/16	J		
505.					Buy (add'l)	03/01/16	J		
506.					Sold (part)	07/18/16	J	A	
507.					Sold	09/12/16	J	A	
508. McKesson Corp.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
509.					Buy (add'l)	09/29/16	J		
510.					Sold (part)	10/31/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Sold (part)	11/23/16	J	B	
512.					Sold (part)	11/25/16	J	A	
513.					Buy (add'l)	12/27/16	J		
514. Medtronic Inc.	A	Dividend			Sold (part)	01/21/16	J	A	
515.					Sold (part)	05/11/16	J	A	
516.					Sold	12/08/16	J	A	
517. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
518.					Buy (add'l)	08/11/16	J		
519.					Buy (add'l)	09/01/16	J		
520.					Buy (add'l)	09/02/16	J		
521. Merrill Lynch 5 MITSR 168 mps	A	Interest	J	T					
522. Microsoft Corp.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
523.					Sold (part)	02/22/16	J	A	
524.					Sold (part)	05/23/16	J	A	
525.					Sold (part)	06/09/16	J	A	
526. Mobileye NV	A	Dividend			Sold	01/25/16	J	A	
527. Monster Beverage Corp.	A	Dividend			Sold (part)	01/06/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold (part)	02/25/16	J	A	
529.					Sold (part)	04/21/16	J	A	
530.					Sold (part)	05/18/16	J	A	
531.					Sold	05/19/16	J	A	
532. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
533. Morgan Stanley NY Municipal	A	Dividend	J	T					
534. Mosaic Co.	A	Dividend			Buy	01/05/16	J		
535.					Sold	02/02/16	J	B	
536. Murphy Oil Corp.	A	Dividend			Sold	02/12/16	J	A	
537. Nielsen Holdings	A	Dividend	J	T	Buy	10/14/16	J		
538.					Buy (add'l)	10/17/16	J		
539.					Buy (add'l)	10/18/16	J		
540.					Buy (add'l)	11/28/16	J		
541.					Buy (add'l)	12/15/16	J		
542. Nike Inc.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
543.					Sold (part)	02/22/16	J	A	
544.					Buy (add'l)	06/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Nisource Inc.	A	Dividend	J	T	Buy	06/29/16	J		
546.					Buy (add'l)	07/08/16	J		
547.					Buy (add'l)	08/10/16	J		
548.					Buy (add'l)	08/31/16	J		
549.					Buy (add'l)	12/13/16	J		
550.					Buy (add'l)	12/16/16	J		
551. Northrop Grumman Corp.	A	Dividend	K	T	Sold (part)	02/24/16	J	A	
552.					Sold (part)	05/31/16	J	A	
553.					Sold (part)	06/01/16	J	A	
554.					Sold (part)	07/19/16	J	A	
555. Nuveen New York Quality	A	Interest	J	T					
556. Nuveen NY Amt Free Municipal	B	Interest			Sold	11/03/16	J	A	
557. N.Y. State Urban Development Bonds (Y)	A	Interest	J	T					
558. Onemain Holdings Inc.	A	Dividend	J	T	Buy	02/18/16	J		
559.					Buy (add'l)	07/20/16	J		
560.					Buy (add'l)	07/27/16	J		
561. Oppenheimer Global Opportunity Fund	B	Dividend	K	T	Sold (part)	09/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. Oppenheimer Developing Mkts	A	Dividend	J	T	Buy (add'l)	12/02/16	J		
563. Oracle Corp.	A	Dividend	K	T	Buy (add'l)	01/26/16	J		
564.					Buy (add'l)	02/04/16	J		
565.					Buy (add'l)	08/04/16	J		
566.					Buy (add'l)	08/08/16	J		
567.					Buy (add'l)	08/11/16	J		
568.					Buy (add'l)	10/21/16	J		
569. OshKosh Corp.	A	Dividend	J	T	Buy	09/21/16	J		
570.					Buy (add'l)	10/12/16	J		
571.					Buy (add'l)	10/14/16	J		
572.					Buy (add'l)	10/19/16	J		
573. Palo Alto Networks Inc.	A	Dividend	J	T	Buy	06/02/16	J		
574.					Buy (add'l)	06/06/16	J		
575. Pepsico Inc.	A	Dividend	K	T	Buy (add'l)	02/04/16	J		
576.					Sold (part)	02/18/16	J	A	
577.					Sold (part)	02/22/16	J	A	
578.					Sold (part)	04/28/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold (part)	05/04/16	J	A	
580.					Sold (part)	05/09/16	J	A	
581.					Sold (part)	05/10/16	J	A	
582.					Sold (part)	05/11/16	J	A	
583.					Sold (part)	07/11/16	J	A	
584.					Sold (part)	07/28/16	J	A	
585.					Sold (part)	10/12/16	J	A	
586.					Sold (part)	10/31/16	J	A	
587.					Buy (add'l)	12/14/16	J		
588. Pfizer Inc.	A	Dividend	K	T	Sold (part)	02/22/16	J	A	
589.					Buy (add'l)	06/02/16	J		
590.					Buy (add'l)	06/08/16	J		
591.					Buy (add'l)	10/21/16	J		
592.					Buy (add'l)	11/29/16	J		
593. Pimco Foreign Bond Fund Institutional	A	Dividend	O	T	Buy (add'l)	01/06/16	J		
594.					Buy (add'l)	01/29/16	J		
595.					Buy (add'l)	02/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	03/31/16	J		
597.					Buy (add'l)	04/29/16	J		
598.					Buy (add'l)	05/31/16	J		
599.					Buy (add'l)	06/30/16	J		
600.					Buy (add'l)	07/29/16	J		
601.					Buy (add'l)	08/31/16	J		
602.					Buy (add'l)	09/30/16	J		
603.					Buy (add'l)	10/31/16	J		
604.					Buy (add'l)	11/30/16	J		
605.					Buy (add'l)	12/22/16	J		
606.					Buy (add'l)	12/30/16	J		
607. Pimco High Yield Fund	A	Dividend	N	T	Buy (add'l)	01/29/16	J		
608.					Buy (add'l)	02/29/16	J		
609.					Buy (add'l)	03/31/16	J		
610.					Buy (add'l)	04/29/16	J		
611.					Buy (add'l)	05/31/16	J		
612.					Buy (add'l)	06/30/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	07/29/16	J		
614.					Buy (add'l)	08/31/16	J		
615.					Buy (add'l)	09/30/16	J		
616.					Buy (add'l)	10/31/16	J		
617.					Buy (add'l)	11/30/16	J		
618.					Buy (add'l)	12/30/16	J		
619. PPL Corporation	A	Dividend			Buy (add'l)	01/07/16	J		
620.					Buy (add'l)	01/20/16	J		
621.					Buy (add'l)	02/04/16	J		
622.					Sold (part)	06/30/16	J	A	
623.					Sold	10/13/16	J	A	
624. Priceline Group Inc.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
625. Progressive Corp.	A	Dividend	J	T	Buy	07/20/16	J		
626.					Buy (add'l)	07/21/16	J		
627.					Buy (add'l)	08/04/16	J		
628.					Buy (add'l)	08/08/16	J		
629.					Buy (add'l)	08/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold (part)	10/31/16	J	A	
631.					Sold (part)	11/02/16	J	A	
632. Public Storage	A	Dividend			Buy (add'l)	02/04/16	J		
633.					Buy (add'l)	06/21/16	J		
634.					Buy (add'l)	07/12/16	J		
635.					Sold (part)	09/19/16	J	A	
636.					Sold (part)	09/20/16	J	A	
637.					Sold	10/03/16	J	A	
638. Putnam New Opportunities	A	Dividend	K	T					
639. Raytheon Company	A	Dividend			Sold	01/19/16	J	A	
640. Rockwell Collins Inc.	A	Dividend			Buy (add'l)	02/04/16	J		
641.					Sold (part)	05/10/16	J	A	
642.					Sold (part)	05/13/16	J	A	
643.					Sold	06/28/16	J	A	
644. Ross Stores Inc.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
645.					Sold (part)	02/25/16	J	A	
646.					Sold (part)	02/29/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold (part)	04/14/16	J	A	
648.					Sold (part)	04/15/16	J	A	
649.					Sold (part)	05/12/16	J	A	
650.					Sold (part)	05/13/16	J	A	
651.					Sold (part)	07/18/16	J	A	
652.					Sold (part)	10/19/16	J	A	
653.					Sold (part)	10/31/16	J	A	
654. Schlumberger Ltd.	A	Dividend	K	T	Buy (add'l)	01/06/16	J		
655.					Buy (add'l)	02/08/16	J		
656.					Buy (add'l)	05/31/16	J		
657. Schwab Breckinridge (no control)	A	Interest	P1	T					
658. Schwab Gov't Money Fund	A	Dividend	K	T	Buy (add'l)	01/04/16	J		
659.					Buy (add'l)	01/05/16	J		
660.					Sold (part)	01/06/16	J	A	
661.					Sold (part)	01/07/16	J	A	
662.					Sold (part)	01/27/16	J	A	
663.					Buy (add'l)	02/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664.					Buy (add'l)	03/02/16	J		
665.					Buy (add'l)	03/09/16	J		
666.					Buy (add'l)	04/04/16	J		
667.					Buy (add'l)	05/03/16	J		
668.					Buy (add'l)	06/02/16	J		
669.					Buy (add'l)	06/08/16	J		
670.					Buy (add'l)	07/05/16	J		
671.					Buy (add'l)	07/21/16	J		
672.					Buy (add'l)	08/02/16	J		
673.					Buy (add'l)	09/02/16	J		
674.					Buy (add'l)	09/07/16	J		
675.					Buy (add'l)	09/28/16	J		
676.					Buy (add'l)	10/04/16	J		
677.					Sold (part)	11/01/16	J	A	
678.					Buy (add'l)	11/02/16	J		
679.					Buy (add'l)	11/04/16	J		
680.					Sold (part)	11/30/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	12/05/16	J		
682.					Buy (add'l)	12/07/16	J		
683.					Buy (add'l)	12/23/16	J		
684. Schwab S&P 500 Index	A	Dividend	M	T	Buy (add'l)	05/27/16	J		
685.					Buy (add'l)	11/01/16	J		
686.					Buy (add'l)	12/19/16	J		
687. Sealed Air Corp.	A	Dividend	J	T	Buy (add'l)	01/06/16	J		
688.					Buy (add'l)	02/04/16	J		
689.					Sold (part)	06/09/16	J	A	
690. Seligman Communication - Mutual Fund	B	Dividend	J	T					
691. Servicenow Inc.	A	Dividend			Sold (part)	05/04/16	J	A	
692.					Sold (part)	06/17/16	J	A	
693.					Sold	06/20/16	J	A	
694. Sherwin-Williams Co.	A	Dividend			Buy (add'l)	02/04/16	J		
695.					Sold (part)	02/22/16	J	A	
696.					Buy (add'l)	02/29/16	J		
697.					Sold (part)	04/05/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold (part)	04/15/16	J	A	
699.					Sold (part)	08/05/16	J	A	
700.					Sold (part)	08/09/16	J	A	
701.					Sold (part)	08/10/16	J	A	
702.					Sold (part)	08/11/16	J	A	
703.					Sold (part)	09/01/16	J	A	
704.					Sold	10/26/16	J	A	
705. SSGA S&P 500 Index (X)	A	Dividend			Sold	05/26/16	J	A	
706. Starbucks Corp.	A	Dividend	K	T	Sold (part)	01/20/16	J	A	
707.					Sold (part)	02/22/16	J	A	
708.					Sold (part)	07/18/16	J	A	
709.					Buy (add'l)	09/30/16	J		
710.					Buy (add'l)	10/14/16	J		
711.					Buy (add'l)	10/18/16	J		
712.					Buy (add'l)	12/14/16	J		
713. Synchrony Financial	A	Dividend	K	T	Buy (add'l)	01/06/16	J		
714.					Buy (add'l)	01/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Buy (add'l)	01/26/16	J		
716.					Buy (add'l)	02/17/16	J		
717.					Buy (add'l)	03/01/16	J		
718. T-Mobile US Inc.	A	Dividend	K	T	Buy	09/13/16	J		
719.					Buy (add'l)	09/14/16	J		
720.					Buy (add'l)	09/15/16	J		
721.					Buy (add'l)	09/22/16	J		
722.					Buy (add'l)	09/26/16	J		
723. Texas Instruments Inc.	A	Dividend	K	T	Buy	07/21/16	J		
724.					Buy (add'l)	07/26/16	J		
725.					Buy (add'l)	07/27/16	J		
726.					Buy (add'l)	08/08/16	J		
727.					Buy (add'l)	09/14/16	J		
728.					Buy (add'l)	10/19/16	J		
729. Templeton Global Bond Fund	A	Dividend			Sold	12/16/15	J	A	
730. Thornburg Ltd.	A	Dividend	N	T	Buy (add'l)	01/29/16	J		
731.					Buy (add'l)	02/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Buy (add'l)	03/31/16	J		
733.					Buy (add'l)	04/29/16	J		
734.					Buy (add'l)	05/31/16	J		
735.					Buy (add'l)	06/30/16	J		
736.					Buy (add'l)	07/29/16	J		
737.					Buy (add'l)	08/31/16	J		
738.					Buy (add'l)	09/30/16	J		
739.					Buy (add'l)	10/31/16	J		
740.					Buy (add'l)	11/30/16	J		
741.					Buy (add'l)	12/30/16	J		
742. Tyson Foods Inc.	A	Dividend	J	T	Buy	03/09/16	J		
743.					Buy (add'l)	03/11/16	J		
744.					Buy (add'l)	04/25/16	J		
745.					Buy (add'l)	06/07/16	J		
746.					Buy (add'l)	06/08/16	J		
747. U.S. Savings Bonds	A	Interest	J	T					
748. U.S. Treasury Bills	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. US Bancorp.	A	Dividend	K	T	Buy (add'l)	01/06/16	J		
750.					Buy (add'l)	01/15/16	J		
751.					Buy (add'l)	02/09/16	J		
752.					Sold (part)	03/11/16	J	A	
753.					Sold (part)	05/11/16	J	A	
754.					Sold (part)	05/13/16	J	A	
755.					Sold (part)	07/19/16	J	A	
756.					Sold (part)	08/04/16	J	A	
757.					Sold (part)	08/16/16	J	A	
758.					Sold (part)	09/22/16	J	A	
759. Union Pacific Corp.	A	Dividend			Sold (part)	01/25/16	J	A	
760.					Sold (part)	02/02/16	J	A	
761.					Sold	02/03/16	J	A	
762. Unit EIF Equity S&P 500	A	Dividend	K	T	Sold (part)	09/19/16	K	D	
763. United Parcel Service	A	Dividend			Buy	05/11/16	J		
764.					Buy (add'l)	05/12/16	J		
765.					Buy (add'l)	05/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	06/03/16	J		
767.					Buy (add'l)	06/08/16	J		
768.					Buy (add'l)	06/10/16	J		
769.					Sold (part)	09/13/16	J	A	
770.					Sold (part)	09/14/16	J	A	
771.					Sold	09/15/16	J	A	
772. United Technologies Corp.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
773.					Sold (part)	03/24/16	J	A	
774.					Sold (part)	04/12/16	J	A	
775.					Buy (add'l)	08/31/16	J		
776.					Buy (add'l)	10/18/16	J		
777.					Buy (add'l)	10/21/16	J		
778. Unitedhealth Group Inc.	A	Dividend	K	T	Buy (add'l)	01/20/16	J		
779.					Buy (add'l)	02/04/16	J		
780.					Sold (part)	02/22/16	J	A	
781.					Buy (add'l)	02/29/16	J		
782.					Sold (part)	06/02/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Sold (part)	09/21/16	J	A	
784. Valero Energy Corp.	A	Dividend			Sold (part)	01/04/16	J	A	
785.					Sold (part)	01/19/16	J	A	
786.					Buy (add'l)	02/04/16	J		
787.					Sold (part)	02/22/16	J	A	
788.					Buy (add'l)	02/29/16	J		
789.					Sold (part)	08/04/16	J	A	
790.					Sold	08/05/16	J	A	
791. Vanguard Morgan Growth Fund Admiral Share	A	Dividend	M	T	Buy	01/07/16	J		
792.					Buy (add'l)	05/27/16	J		
793.					Buy (add'l)	12/23/16	J		
794. Vanguard Short Term Tax Exempt Admiral Share	A	Int./Div.	O	T	Buy (add'l)	01/06/16	J		
795.					Buy (add'l)	01/29/16	J		
796.					Buy (add'l)	02/29/16	J		
797.					Buy (add'l)	03/31/16	J		
798.					Buy (add'l)	04/29/16	J		
799.					Buy (add'l)	05/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Buy (add'l)	06/30/16	J		
801.					Buy (add'l)	07/29/16	J		
802.					Buy (add'l)	08/31/16	J		
803.					Buy (add'l)	09/30/16	J		
804.					Buy (add'l)	10/31/16	J		
805.					Buy (add'l)	11/30/16	J		
806.					Buy (add'l)	12/30/16	J		
807. Vanguard Windsor Fund Admiral Share	A	Dividend	M	T	Buy	05/27/16	J		
808.					Buy (add'l)	06/13/16	J		
809.					Buy (add'l)	12/23/16	J		
810. Visa Inc.	A	Dividend	K	T	Sold (part)	01/19/16	J	A	
811.					Buy (add'l)	02/19/16	J		
812.					Sold (part)	05/23/16	J	A	
813.					Sold (part)	07/28/16	J	A	
814.					Buy (add'l)	09/14/16	J		
815. Voya Financial Inc.	A	Dividend			Buy (add'l)	01/06/16	J		
816.					Sold	01/27/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. Walt Disney Co.	A	Dividend	K	T	Sold (part)	01/06/16	J	A	
818.					Buy (add'l)	02/04/16	J		
819.					Sold (part)	02/22/16	J	A	
820.					Buy (add'l)	02/29/16	J		
821.					Sold (part)	10/21/16	J	A	
822.					Sold (part)	10/28/16	J	A	
823. Westwood Income Oppty	A	Dividend	O	T	Buy (add'l)	03/30/16	J		
824.					Buy (add'l)	06/29/16	J		
825.					Buy (add'l)	09/29/16	J		
826.					Buy (add'l)	12/20/16	J		
827.					Buy (add'l)	12/29/16	J		
828. Xerox Corp.	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
829.					Sold (part)	03/24/16	J	A	
830.					Sold (part)	09/21/16	J	A	
831.					Sold (part)	11/07/16	J	A	
832. Xilinx Inc.	A	Dividend	J	T	Buy	02/02/16	J		
833.					Buy (add'l)	02/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834.					Buy (add'l)	04/18/16	J		
835.					Buy (add'l)	05/05/16	J		
836.					Buy (add'l)	05/13/16	J		
837.					Buy (add'l)	05/16/16	J		
838.					Buy (add'l)	05/18/16	J		
839.					Buy (add'l)	05/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 5 of 55, Line 28 - AB Multi-Manager Alternative Fund (form. AllianceBernstein Multi-manager) was purchased on 12/31/15 and was not reflected on the 2015 report because it did not show up on the report from my accounts management company. However, it's reflected on the January 2016 - December 2016 report therefore it's being reported on this years' reports.

Page 7 of 55, Line 52 - Advansix - is a spinoff of Honeywell on 10/1/16.

Page 12 of 55, Line 153 - Bernstein New York Municipal Portfolio was purchased on 12/31/15 and was not reflected on the 2015 report because it did not show up on the report from my accounts management company. However, it's reflected on the January 2016 - December 2016 report therefore it's being reported on this years' reports.

Page 19 of 55, Line 256 - CVS / Caremark Corp. was renamed CVS Health Corp. on 9/3/14.

Page 25 of 55, Line 372 - Fortive Corp. is a spinoff of Danaher on 7/05/16.

Page 32 of 55, Line 486 - L-3 Communications Holdings was renamed L-3 Technologies on 12/31/16.

Page 46 of 55, Line 729; Templeton Global Bond Fund - This fund was incorrectly reported in the 2015 Financial Disclosure Report as a partial sale. This fund was in fact completely sold 12/16/15.

In the 2015 Financial Disclosure Report, Page 27 of 54 - Line 407 Legg Mason Clearbridge Appreciation Fund and Page 27 of 54 - Line 408 Legg Mason Inc. were duplicative of page 19 Line of 256 Clearbridge Aggr Gwth and page 19 of 56 line 257 Clearbridge Appreciation Fund which are products put out by Legg Mason Global Asset Management.

The holdings marked with (X) (American Beacon page 8 - line 78; American Century Heritage page 8 - line 81; Dodge & Cox Int'l Stock Fund page 21 - line 292; Edgewood Growth Inst'l. page 22 - line 321; Ishares Russell page 30 - line 458; Loomis Sayles Small Cap page 32 - line 490; SSGA S&P 500 Index page 45 - line 705) were transfers on April 14, 2016 to [REDACTED] account from [REDACTED] estate after [REDACTED] death. They were all tax deferred IRA accounts and therefore there were no gains to report.

Page 32 of 55, Line 491 - Lord Abbott Equity Fund; Page 32 of 55, Line 492 - LMP Real Estate Income; Page 32 of 55, Line 493 - LMP Stk Appr. Fund; Page 44 of 55, Line 690 - Seligman Communication Mutual Fund; - these are holdings [REDACTED] acquired a very long time ago and we have no way of further describing it. In efforts of full disclosure, these holdings have been disclosed as a precaution rather than omitting them from the report even though he is not sure he still owns them.

This amended report is filed in response to the letter dated December 6, 2017 received from the Committee on Financial Disclosure regarding the entries in Part VII, lines 1-16. After discussion with a Committee Staff member, these entries were removed from the report because they are not controlled by [REDACTED] but are in the exclusive control of the LLP. Upon [REDACTED] retirement from the Firm, they will be paid out and reported as non-investment income in Part III B.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cheryl L. Pollak

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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