

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KELLEY, MARY P.	2. Court or Organization US DISTRICT COURT, DISTRICT OF MASSACHUSETTS	3. Date of Report 04/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. DISTRICT COURT 1 COURTHOUSE WAY, SUITE 7420 BOSTON, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2004	MASSACHUSETTS PENSION AND DEFERRED COMPENSATION PLAN BENEFITS PAYABLE UPON SEPARATION FROM SERVICE, REQUIRED MINIMUM DISTRIBUTIONS BEGIN AT 70 1/2
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	WM. B. EERDMANS PUBLISHING CO - ROYALTY FROM BOOK	\$590.11
2. 2019	COMMONWEALTH OF MASS - STATE BOARD OF RETIREMENT	\$4,436.88
3. 2019	HARPER CHRISTIAN PUB - ROYALTY FROM BOOK	\$245.72
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	RANKIN AND SULTAN LAW OFFICE
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIZENS BANK		None	J	T					
2. CITIZENS BANK	A	Interest	K	T					
3.									
4. IRA #1 (H)									
5. -BUFFALO MID CAP FUND	A	Dividend			Sold	02/20/19	J	A	
6. -BUFFALO FLEXIBLE INCOME FUND	B	Dividend			Sold	02/20/19	K	D	
7. -OAKMARK FUND	B	Dividend			Sold	02/20/19	K	D	
8. TRADITIONAL IRA (H):									
9. -FIDELITY INV - FDIC INSURED DEPOSIT AT MORGAN STNLY IRA	A	Interest	J	T	Open	02/27/19	J		
10. -SPDR S&P 500	B	Dividend	L	T	Buy	02/27/19	L		
11.									
12. MASSACHUSETTS DEFERRED COMPENSATION PLAN (H):									
13. -SMART PLAN LARGE COMPANY GROWTH STOCK FUND		None			Sold	02/07/19	M	F	
14. -SMART PLAN DIVERSIFIED BOND FUND		None			Sold	02/07/19	K	C	
15. -SMART PLAN INTERNATIONAL EQUITY FUND		None			Sold	02/07/19	K	D	
16. -SMART PLAN SMALL COMPANY STOCK FUND		None			Sold	02/07/19	K	D	
17. -SMART PLAN TREAS INFLATION PROTECTION TIPS IDX FUND		None			Sold	02/07/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ROLLOVER IRA (H):									
19. -FIDELITY INV- FDIC INSURED DEPOSIT AT MORGAN STNLY IRA	A	Interest	J	T	Open	02/21/19	J		
20. -SPDR S&P 500	A	Dividend	K	T	Buy	02/21/19	J		
21. -VANGUARD INTL EQUITY INDEX	A	Dividend	K	T	Buy	02/21/19	J		
22. -VANGUARD VALUE	C	Dividend	M	T	Buy	02/21/19	M		
23. -VANGUARD SMALL CAP	A	Dividend	K	T	Buy	02/21/19	K		
24.									
25. IRA #2 (H) SEP									
26. -FIDELITY GOVERNMENT CASH RESERVES	A	Interest	J	T	Distributed	01/04/19	K		
27. -VANGUARD 500 INDEX FD	A	Dividend	L	T					
28. -FIDELITY BALANCED	A	Dividend	J	T	Buy	02/11/19	J		
29. -ISHARES CORE	D	Dividend	M	T	Buy	02/04/19	J		
30.					Buy (add'l)	03/04/19	M		
31.					Buy (add'l)	03/07/19	J		
32. -VANGUARD BOND INDEX INTERMEDIATE	B	Dividend	K	T	Buy	03/04/19	K		
33. -VANGUARD BOND INDEX SHORT TERM	A	Dividend	K	T	Buy	03/04/19	K		
34. -VANGUARD INTERNATIONAL	C	Dividend	M	T	Buy	03/04/19	M		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -VANGUARD INDEX FDR VALUE	A	Dividend	J	T	Buy	03/04/19	J		
36.									
37.									
38. IRA #3 (H)									
39. -SCHWAB BANK	A	Interest			Closed	02/08/19	L		
40. -VANGUARD REAL ESTATE ETF	B	Dividend			Sold	02/08/19	K	D	
41. -T ROWE PRICE GLOBAL MULTI SECTOR BOND FUND	B	Dividend			Sold	02/08/19	K	A	
42. -VANGUARD INTERMEDIATE-TERM BOND INDEX FUND (X)	B	Dividend			Sold	02/08/19	K	A	
43. -SCHWAB INTERNATIONAL INDEX FUND	B	Dividend			Sold	02/08/19	L	A	
44. -SCHWAB SALL CAP INDEX FUND	B	Dividend			Sold	02/08/19	L	A	
45.									
46. IRA (H) NEW									
47. - FIDELITY GOVERMENT CASH RESERVES	A	Interest	J	T	Buy	02/08/19	J		
48. -FIDELITY BALANCED	A	Dividend	J	T	Buy	02/08/19	J		
49. -SPDR S&P 500	B	Dividend	K	T	Buy	02/08/19	K		
50.									
51. FIDELITY ASSET MANAGER	A	Dividend	J	T	Buy	02/08/19	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.									
53. RANKIN & SULTAN GENERAL PARNTERSHIP	G	Distribution	K	U					
54.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MARY P. KELLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544