

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DOMINGUEZ, DANIEL R.	2. Court or Organization US DISTRICT COURT PUERTO RICO	3. Date of Report 05/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III JUDGE (Senior status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address JOSE V. TOLEDO US COURTHOUSE 300 RECINTO SUR ST., SUITE 348 SAN JUAN, PR 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

DOMINGUEZ, DANIEL R.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Resource Management Account (cash account)	E	Int./Div.	J	T					
2. UBS Portfolio (H)									
3. PR GNMA POOL 0397364X UNIT 100	A	Interest	K	T					
4. PR GNMA POOL 0437567X 094	A	Interest			Redeemed	05/15/19	K	A	
5. PR GNMA POOL 0437567X UNIT 095	A	Interest	K	T					
6. PR GNMA POOL 0402635X UNIT 100	A	Interest	K	T					
7. PR GNMA POOL 0433767X UNIT 096	A	Interest	K	T					
8. PR GNMA POOL 0437567X UNIT 097	A	Interest	K	T					
9. PR GNMA POOL 0437567X UNIT 098	A	Interest	K	T					
10. PR GNMA POOL 0437567X UNIT 099	A	Interest	K	T					
11. PR GNMA PL 607391X UNIT 96	A	Interest	K	T					
12. PACE Global Fixed Income Investment Fund Class P, Symbol: PCGLX	A	Interest	J	T					
13. PACE Government Money Market Investments Fund Class P, Symbol: PCEXX	A	Interest	J	T					
14. PACE High Yield Investments Class P, Symbol: PHYPX	A	Interest	J	T					
15. PACE Intermediate Fixed Income Investments Class P, Symbol: PCIFX	A	Interest	J	T					
16. PACE Mortgage-Backed Sec Fixed Income Invest Fund Class P, S: PCGTX	A	Interest	J	T					
17. PACE Municipal Fixed Income Invest Class P, Symbol: PCMNX	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PACE Strategic Fixed Income Investments Class P, Symbol: PCSIX	A	Interest	J	T					
19. CBRE Clarion Global Real Estae, Symbol: IGR	B	Interest	J	T					
20. ENERGY Transfer LP Units LTD Partnership MLP, Symbol: ET	A	Interest	J	T					
21. GLOUCUSTER Co. NJ Impt. AU Assur RV OID97.392 BE/R/, CUSIP: 37970PGL0	A	Interest	K	T					
22. Delaware ST Econ Dev Aut Assur RV BE/ R/, CUSIP: 246387SR6	C	Interest	L	T					
23. Donna TX CTFS Oblig LT Build BE/R/, CUSIP: 257831NH6	B	Interest	L	T					
24. Doubleline Income Solutions FD, Symbol: DSL	A	Interest	J	T					
25. Victory Incore Fund for Income Class A, Symbol: IPFIX	A	Dividend	L	T					
26. US Municipal & Income Fund Inc. Class A	A	Dividend	K	T	Buy	07/30/19	K		
27. Eaton Vance Tax Advantaged Global Divid Income Fund, Symbol: ETG	B	Interest	K	T	Buy (add'l)	02/05/19	J		
28. Nuveen Tax Adv Total Return Strategy Fund, Symbol: JTA	A	Interest	J	T	Buy (add'l)	02/05/19	J		
29. Puerto Rico GNMA & US Govt. Target Mat. FD Inc.	A	Interest	J	T					
30. Puerto Rico AAA Portfl. Bond Inc.	A	Interest	J	T					
31. Merrill Lynch Cash Money Account (X)	A	Interest	K	T					
32. Merrill Lynch Portfolio (H)(X)									
33. GNMP 396595 06 #100 (X)	B	Interest	K	T					
34. GNMP 607391 06 #97 (X), CUSIP 36239SUH6 36239SUG8	B	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. GNMP 607391 06 #98 (X) CUSIP 36239SUG8	B	Interest	K	T					
36. GNMP 607391 06 #99 (X) CUSIP 36239SUF0	B	Interest	K	T					
37. US TREASURY BILL: 1/31/19 CUSIP 912796PP8	A	Interest			Matured	01/31/19	M	A	
38. US TREASURY BILL: 08/26/19 CUSIP: 912796SD2	A	Interest			Matured	08/26/19	M	A	
39. US TREASURY BILL: 12/19/2019 CUSIP 912796SW0	A	Interest			Matured	12/19/19	K	A	
40. US TREASURY BILL: 6/25/2020 CUSIP: 912796UA5	A	Interest	K	T	Buy	12/23/19	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

UBS: New investments during the year 2019. See lines 25-27

In order to further outline the activity in my investment account during the year 2019, on 02/05/2019 there were two investments made using the cash available: Eaton Vance Tax/Advantage and Nuveen Tax Advantage Total Return. Later, on 5/15/2019, one investment (PR GNMA POOL 0437567X Unit 094) was redeemed and was reinvested on 07/30/2019 in the US Municipal Income Fund for the same amount. During the year there were no deposits made in the account, besides the dividends and/or interests generated by the investments that remained in the account. Finally, on my 2018 Financial Disclosure Statement, item no. 15 included an asset named Puerto Rico Investors Bond Fund. Upon query from my financial advisor I was informed that the correct name of said asset would be Puerto Rico Investors Short Bond Fund, which constitutes a cash equivalent of less than \$1,000.00 that is no longer applicable and was not applicable throughout 2019.

Merrill Lynch: New investment during the year 2019. See line 40. Upon maturity of each US Treasury Bill asset, a new US Treasury Bill is acquired for a similar amount.

All the new 2019 new assets investments were made from the proceeds of UBS's assets and Merrill Lynch assets, and remaining savings from my Thrift Savings Plan (TSP) benefits.

Please note that similar to last year, I used my TSP's savings for investment purposes, as I did on 2019 year. My TSP account was closed effective January 2020.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANIEL R. DOMINGUEZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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