

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thurman, William T.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 08/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 350 South Main Street, Rm 358 Salt Lake City, Utah 84101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	Jan. 23, 24 and 25, 2019	Salt Lake City, Utah	Speak at seminar	meals, tuition waiver
2.	Norton Institute on Bankruptcy Law	March 2, 3 and 4, 2019	Park City, Utah	Speak at seminar	meals, lodging and tuition waiver
3.	Federal Bar Association of Utah	May 9, 10 and 11, 2019	St. George, Utah	Speak at seminar	tuition, meals
4.	National Conference of Bankruptcy Judges	October 30-Nov 2, 2019	Washington, D.C.	Attend Annual Conference and conduct separate Committee Meeting	meals, lodging, travel expense
5.	Federal Bar Association of Utah	July 18, 19, 2019	Park City, Utah.	Attend Annual Utah State Bar Convention	meals

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6.	Federal Bar Association of Utah	September 5,6 and 7, 2019	Sun Valley, Idaho	attend and speak at Tri-State Seminar	meals, lodging, travel expenses
7.	American College of Bankruptcy	March 14, 15 and 16, 2019	San Diego, California	Attend Annual meeting of Fellows and committee meeting	meals, lodging, travel expenses

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Savings, checking Acnts (H)									
2. ---Mountain America Credit Union	A	Interest	J	T					
3. ---Zions First National Bank checking and savings	E	Interest	L	T					
4.									
5. New England Life (See Part VIII). (H)									
6. ---BlackRock Bnd Inc. Prt fund	A	Dividend	J	T					
7. ---BR Ultra Short Term Bond	A	Dividend	J	T					
8. ---MetLife Stock Index Fund	A	Dividend	J	T					
9. ---MFS Value Portfolio Fund	A	Dividend	J	T					
10. ---L/S Small Cap Fund	A	Dividend	J	T					
11. ---Baillie Intn'l Stock Fund	A	Dividend	J	T					
12. ---BH/WellCrEqiOppr fund. See part VIII	A	Dividend	J	T					
13. ---Jenison Growth Fund	A	Dividend	J	T					
14.									
15. 401(K) Broker #1 Account (H)									
16. ---American Cenury Retirement 2035 Trust II	B	Dividend	M	T					
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Utah Educational Savings Plan, Age Based Gr; My529; see Part VIII	A	Dividend	L	T					
19.									
20. Broker #2 Account (H)									
21. ---Fidelity Focused Stock Fund	A	Int./Div.			Redeemed	10/25/19	K	A	
22. ---Janus Balanced Fund Cl T	A	Int./Div.	K	T					
23. ---Fidelity 500 Index Premium Class	A	Int./Div.	K	T					
24. ---Fidelity Total Bond Fund	A	Int./Div.	K	T					
25.									
26. Trustee-Trust 1 (See Part VIII) (H)									
27. -Broker #3 Account (H)									
28. ----Columbus Life Ins. Co Ins Passport SPDA Fixed Annuity IRA	A	Int./Div.			Distributed	05/17/19	K	A	
29. ----King Cnty HSP bond	A	Dividend			Distributed	05/17/19	J	A	
30. ----Northgate Crossing TX Mun bond	A	Dividend			Distributed	05/17/19	J	A	
31. ----Texas St CLLG Student Ln bond	A	Dividend			Distributed	05/17/19	J	A	
32. ----Tarrant Cnty Tx Cultural Ed Bond	A	Dividend			Distributed	05/17/19	J	A	
33. ----Utah County Ut Hsp Bond	A	Dividend			Distributed	05/17/19	J	A	
34. -Broker #4 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. ----Tampa Fl Hlth Sys bond	A	Dividend			Distributed	05/17/19	J	A	
36. ----Texas Mun Gas Bond	A	Dividend			Distributed	05/17/19	K	A	
37. ----Utah State Ownrshp Auth bond	A	Dividend			Distributed	05/17/19	J	A	
38. ----Utah St. Mun PWR AGY Bond	A	Dividend			Distributed	05/17/19	J	A	
39. ----Prosper Tx Indpt Sch Bldg bond	A	Dividend			Distributed	05/17/19	J	A	
40. ----Del Rio Tx Ctf Oblig Bond	A	Dividend			Distributed	05/17/19	J	A	
41. ----Ft. Bend Cnty Mud bond	A	Dividend			Distributed	05/17/19	J	A	
42. ----Harris Cnty Tx Hlth Facs Dev Bond	A	Dividend			Distributed	05/17/19	K	A	
43. ----Brownsville Tx Nav Dist Rev Bond	A	Dividend			Distributed	05/17/19	J	A	
44. ----Washington St. HC Fac Rev Catholic Hlth bond	A	Dividend			Distributed	05/17/19	K	A	
45. ----TES PPTYS WA Lease Rev Bond	A	Dividend			Distributed	05/17/19	J	A	
46. ----First Trust R Bern Glb Div Kings bond	A	Dividend			Distributed	05/17/19	J	A	
47. -Chase Bank	A	Interest			Distributed	05/17/19	L	A	
48. -Zions First National Bank(X)	A	Interest	J	T					
49.									
50. Broker #3 (H)									
51. --Utah County Ut Hsp Bond (See Part VIII)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. --First Trust Value Line Div/Index EFT	A	Dividend	J	T					
53. --Guggenheim US Low Vol Strat MO CA	A	Dividend	J	T					
54. --First Trust MKT Strgth All C Oppty 12 SA CA	A	Dividend	J	T					
55.									
56.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 5 Part VII. Sometimes referred to as Brighthouse Financial in recent 2018 correspondence and statements. The name "New England Life Insurance Company" still appears but along with Brighthouse Financial

Line 18 Part VII. All of the funds are Age-Based Aggressive Growth (529) Plan. On the My529 Web page, these funds are referred to as Age-Based Aggressive Domestic Growth Funds. Six separate funds are maintained for [REDACTED] under the filer's [REDACTED] names. All [REDACTED] funds are the same: Monthly contributions are made by the filer.

Lines 26-48 Part VII. Filer was named as the successor trustee to family trust of a now deceased relative and distributed most of the assets to beneficiaries on the same day.

Line 51 Part VII. Filer received this asset from the distribution identified on line 33 as part of the administration of the trust identified on line 26. Filer elected to retain the same broker #3 which administers this asset and others.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William T. Thurman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544