

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McCord, Andrea K.	2. Court or Organization United States Bankruptcy Court, Southern District of Indiana	3. Date of Report 08/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 121 W. Spring St New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judge	Lawrence County Circuit Court
2. Member	Indiana Criminal Justice Institute Board of Trustees
3. Member	Indiana Office of Court Services Strategic Planning Committee
4. Member	Indiana Council of Juvenile and Family Court Judges
5. Member	Indiana Judges Association Board of Managers
6. Member	Lawrence County Bicentennial Commission

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	State of Indiana Judicial Pension
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Lawrence Count Circuit Court (salary)	\$65,322.73
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Indiana State Police (pension)
2. 2019	Indiana Gaming Commission (salary)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABI	October 13-15, 2019	Chicago, IL	CLE Panelist	Transportation, Lodging, and Meals
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Citibank	Credit Card	J
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. HOOSIER HILLS CREDIT UNION (cash) (Y)									
3. IRA #1 (H)									
4. AMERICAN HIGH-INCOME TRUST A (AHITX)	A	Dividend	J	T					
5. AMERICAN INT BD FD of AMER A (AIBAX)	A	Dividend	J	T					
6. AMERICAN INVEST CO of AMER A (AIVSX)	A	Dividend	J	T					
7. AMERICAN NEW PERSPECTIVE A (ANWPIX)	A	Dividend	J	T					
8. AMERICAN SMALL CAP WORLD A (SMCWX)	A	Dividend	J	T					
9. 401(a) #1 (H)									
10. STATE ST TARGET RET 2025 SL CL V (SSBSX)		None	J	T					
11. VANGUARD EXTENDED MARKET IDX I (VEXMX) (Y)									
12. FIDELITY DIVERSIFIED INTL K6 (FKIDX)	A	Dividend	J	T					
13. STATE ST RUSSELL SMALL/MID IDX SL C1 II (SSMKX)		None	J	T					
14. T. ROWE PRICE BLUE CHIP GROWTH TR-T2 (TRBCX)		None	J	T					
15. 401(a) #2 (H)									
16. STATE ST TARGET RET 2025 SL CL V (SSBSX)		None	J	T					
17. 457(b) #1 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. STATE ST TARGET RET 2025 SL CL V (SSBSX)		None	K	T					
19. FIDELITY DIVERSIFIED INTL K6 (FKIDX)	A	Dividend	K	T					
20. VANGUARD EXTENDED MARKET IDX I (VEXMX) (Y)									
21. STATE ST RUSSELL SMALL/MID IDX SL C1 II (SSMKX)		None	K	T					
22. VANGUARD CAPITAL OPPORTUNITY ADM (VHCAX)	B	Dividend	K	T					
23. MFS MID CAP VALUE R6 (MVCKX)	A	Dividend	K	T					
24. T. ROWE PRICE BLUE CHIP GROWTH TR-T2 (TRBCX)		None	K	T					
25. PIMCO TOTAL RETURN INSTL (PTTRX)	B	Dividend	L	T					
26. INDIANA STABLE VALUE FUND		None	M	T					
27. 457(b) #2 (H)									
28. STATE ST TARGET RET 2035 SL CL V (SSBSX)		None	K	T					
29. FIDELITY DIVERSIFIED INTL K6 (FKIDX)	A	Dividend	K	T					
30. VANGUARD EXTENDED MARKET IDX I (VEXMX) (Y)									
31. STATE ST RUSSELL SMALL/MID IDX XL CL II (SSMKX)		None	K	T					
32. T. ROWE PRICE BLUE CHIP GROWTH (TRBCX)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part I, lines 1-6 and Part III A., line 1: Concluded prior to taking the federal bench.

STATE ST TARGET RET 2025 SL CL V was INDIANA 2025 FUND.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrea K. McCord**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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