

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McIvor, Marci B.	2. Court or Organization US Bankruptcy Court, ED Mich	3. Date of Report 12/30/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 01/05/2020
7. Chambers or Office Address United States Bankruptcy Court 211 West Fort Street, Suite 1850 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Assistant Attorney General	Office of the Attorney General, State of Michigan
2. Trustee	Trust DTD 8/2/01
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1996	State of Michigan Employees Pension Fund; Pension Upon Retirement Age 60
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	State Employees Retirement System	\$22,587.28
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Lawyer - Member - Clark Hill, PLC
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Royalty Interest, White County, IL	A	Royalty	J	W					
2. MSIF Int'l Real Estate	A	Dividend	K	T					
3. Dreman Value Fund	A	Dividend	K	T					
4. Williams Partners	A	Dividend	K	T					
5. EDD Fund	A	Dividend	K	T					
6. Cupano Energy Fund	A	Dividend	K	T					
7. AIP Real Estate Fund	A	Dividend	L	T					
8. BNP Paribas Sp Adr	A	Dividend	J	T					
9. Honda Motor Company	A	Dividend	J	T					
10. Mitsui & Co. Ltd	A	Dividend	J	T					
11. Sharp Corporation Adr	A	Dividend	J	T					
12. Sony Corp Adr	A	Dividend	J	T					
13. Toyota Motor Cp Adr	A	Dividend	J	T					
14. American Capital Ltd	A	Dividend	K	T					
15. Eaton Vance Ltd. Duration Inc. Fund	B	Dividend	K	T					
16. Highland Credit Strategies Fund	A	Dividend	K	T					
17. Morgan Stanley Emerging Market Domestic Debt Fund	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18. JP Morgan High Yield	A	Dividend	K	T	Sold (part)	10/11/19	J	A	
19. Templeton Emerging Markets Small Cap	C	Int./Div.	K	T					
20. Putnam Diversified	B	Int./Div.	K	T					
21. Guggenheim Macro Opportunities Fund	A	Dividend	K	T					
22. MetLife Inc. (common)	A	Dividend	K	T					
23. Pimco Dynamic	A	Dividend	K	T					
24. Achillion Pharmaceuticals	B	Dividend	L	T	Sold (part)	07/17/19	J	B	
25. Deutsche X-Trackers MSCI	B	Dividend	L	T					
26. Angel Oak Multi Strategy	A	Dividend	K	T					
27. Verizon Communications	A	Dividend	J	T					
28. Tekla Healthcare	B	Dividend	J	T					
29. Brandes International Small Cap Equity Fund	B	Dividend	J	T					
30. Baird Core Plus Mutual Fund	A	Dividend	J	T					
31. Pimco Income Mutual Fund	A	Dividend	J	T					
32. Glenmede Large Cap Core Mutual Fund	A	Dividend	J	T					
33. ACAP Strategic Fund	A	Dividend	L	T					
34. Achillion Pharmaceuticals	A	Dividend	K	T					

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35. Davita Healthcare Partners, Inc	A	Dividend	K	T					
36. Vertex Pharamaceuticals	A	Dividend	K	T	Buy (add'l)	05/07/19	J		
37. Cohen & Steers Realty	A	Dividend	J	T					
38. Nexpoint Cr Strategies Fd	A	Dividend	J	T					
39. Principal FDS Inc	A	Dividend	J	T					
40. Allergan PLC - common	A	Dividend	J	T					
41. Alphabet Inc CL A - common	A	Dividend	J	T					
42. Anadarko Petroleum Corp- common	A	Dividend	K	T					
43. Apple, Inc - common	A	Dividend	J	T	Buy (add'l)	10/10/19	J		
44. Britich Amer Tobacco PLC GB - common	A	Dividend	J	T					
45. Coca Cola Co - common	A	Dividend	J	T					
46. Danaher Cop - common	A	Dividend	J	T					
47. Ecolab Inc. common	A	Dividend	J	T	Sold (part)	04/26/19	J		
48. Home Depot - common	A	Dividend	J	T					
49. Intercontinental Exchange Group - common	A	Dividend	J	T					
50. Johnson & Johnson - common	A	Dividend	J	T					
51. Microsoft Corp- common	A	Dividend	J	T					

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Pepsico Inc. - common	A	Dividend	J	T					
53. Starbucks Corp - common	A	Dividend	J	T					
54. Time Warner Inc. New - common	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part I, page 1, line 2 - At this time there are no assets in the Trust dated 8/2/01.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marci B. McIvor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544