

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ho, James C.	2. Court or Organization U.S. Court of Appeals for the Fifth Circuit	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 1100 Commerce Street Suite 1264 Dallas, TX 75242-1310		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/2011	Gibson Dunn Cash Balance Plan
2. _____	_____
3. _____	_____

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Gibson Dunn and Crutcher, LLP - Partnership Income
2. 2019	Alliance Defending Freedom, Academy - Honorarium
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federalist Society, University of California, Berkeley, School of Law	02/07/2019	Berkeley, CA	Speaker	Travel Expenses
2.	Stanford Law School	02/08/2019	Stanford, CA	Moot Court	Travel Expenses
3.	BYU Law School	02/15/2019	Provo, UT	Moot Court	Travel Expenses
4.	Federalist Society, Midwest Symposium	02/23/2019	Chicago, IL	Moderator	Travel Expenses
5.	Duke Law School	02/25/2019	Durham, NC	Moot Court	Travel Expenses

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6.	Federalist Society, San Francisco Lawyer Chapter	03/12/2019	San Francisco, CA	Speaker	Travel Expenses
7.	Federalist Society, University of Pennsylvania Law School	04/10/2019	Philadelphia, PA	Speaker	Travel Expenses
8.	Houston Bar Association, Appellate Section	04/18/2019	Houston, TX	Speaker	Travel Expenses
9.	State Bar of Texas, Asian Pacific Interest Section	4/26/2019	Austin, TX	Speaker	Travel Expenses
10.	U.S. Department of Justice, National Advocacy Center	06/07/2019	Columbia, SC	Speaker	Travel Expenses
11.	Federalist Society, Little Rock Lawyers Chapter	06/26/2019	Little Rock, AK	Speaker	Travel Expenses
12.	Federalist Society, Mississippi Coast Lawyers Chapter	07/25/2019	Gulfport, MS	Speaker	Travel Expenses
13.	Federalist Society, Texas Chapters Conference	09/14/2019	Austin, TX	Speaker	Travel Expenses
14.	Federalist Society, Columbia Law School	09/17/2019	New York, NY	Speaker	Travel Expenses
15.	Federalist Society, Harvard Law School	09/18/2019	Cambridge, MA	Speaker	Travel Expenses
16.	Federalist Society, National Lawyers Convention	11/15/2019	Washington, DC	Speaker	Travel Expenses
17.	Federalist Society, Amarillo Lawyers Chapter	11/19/2019	Amarillo, TX	Speaker	Travel Expenses

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CitiBank Cash Checking Account	A	Interest	K	T					
2. CitiBank Cash Savings Account	B	Interest	M	T					
3. Wells Fargo Cash Savings Account	A	Interest	J	T					
4. IRA #1									
5. Schwab Gov't Money Fund	A	Int./Div.	J	T					
6. DFA Global Real Estate	D	Dividend	L	T					
7. DFA Int'l Small Company	A	Dividend			Sold	07/05/19	L	A	
8. Schwab US REIT ETF	B	Dividend	L	T	Buy (add'l)	07/05/19	L		
9. DFA Int'l Core Equity	D	Dividend	M	T	Sold (part)	03/05/19	K	A	
10. Schwab Fndmntl Emerging Markets Index	A	Dividend			Sold	10/23/19	K	A	
11. DFA US Core Equity 2	D	Dividend	N	T	Buy (add'l)	03/05/19	L		
12.					Sold (part)	07/05/19	K	A	
13. DFA Micro Cap	C	Dividend	L	T					
14. DFA Emerging Mkts Core	A	Dividend	K	T	Buy	12/05/19	K		
15. DFA US Targeted Value	A	Dividend	K	T	Buy	07/05/19	K		
16. Schwab Total Stock Market	A	Dividend	J	T	Buy	08/28/19	J		
17. IRA #2									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Schwab Gov't Money Fund	A	Int./Div.	J	T					
19. DFA US Core Equity 2	B	Dividend	K	T					
20. DFA US Micro Cap	A	Dividend	K	T					
21. DFA Int'l Small Cap Value	B	Dividend	K	T					
22. Schwab US REIT ETF	A	Dividend	J	T	Buy	03/06/19	J		
23. Base Rate Fund QP LP	A	Dividend	M	T	Buy	01/29/19	M		
24. Schwab Total Stock Market	A	Dividend	J	T	Buy	04/24/19	J		
25. IRA #3 (A) (H)									
26. Schwab Gov't Money Fund	A	Int./Div.	J	T					
27. DFA US Small Cap Value	A	Dividend			Sold (part)	03/04/19	K	A	
28.					Sold	12/04/19	K	A	
29. DFA US Targeted Value	D	Dividend	M	T	Sold (part)	03/04/19	K	A	
30.					Buy	07/03/19	M		
31.					Buy (add'l)	07/23/19	K		
32. DFA US Micro Cap	B	Dividend	K	T	Buy	07/03/19	J		
33.					Buy (add'l)	07/23/19	K		
34. DFA US Core Equity 2	C	Dividend	M	T	Buy	07/03/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/23/19	L		
36. Vanguard HY Corp	B	Dividend	M	T	Buy	07/23/19	L		
37.					Buy (add'l)	10/24/19	L		
38. Schwab US REIT ETF	A	Dividend	J	T	Buy	07/23/19	J		
39. DFA Emerging Mkts Core Equity	A	Dividend	K	T	Buy	12/04/19	K		
40. DFA Global Real Estate	B	Dividend	K	T	Buy	07/23/19	K		
41. DFA Intl Core Equity	A	Dividend	L	T	Buy	07/23/19	L		
42. DFA Intl Small Cap Value	A	Dividend	K	T	Buy	07/23/19	K		
43. Dodge & Cox Intl Stock	B	Dividend	L	T	Buy	07/23/19	L		
44. Schwab Total Stock Mkt	C	Dividend	M	T	Buy	07/23/19	J		
45.					Buy (add'l)	10/22/19	M		
46. DFA US Small Cap	A	Dividend			Buy	03/04/19	M		
47.					Sold	07/03/19	M	A	
48. DFA US Large Cap Value	B	Dividend			Sold (part)	03/04/19	K	A	
49.					Buy (add'l)	07/23/19	J		
50.					Sold	10/22/19	M	A	
51. DFA Gbl Allocation 25/75	A	Dividend			Sold (part)	07/23/19	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold	10/22/19	L	A	
53. Schwab Fundamental Emrg Mkts	A	Dividend			Sold	10/22/19	J	A	
54. IRA #4 (A) (H)									
55. DFA Gbl Real Estate	A	Dividend	K	T	Buy	12/17/19	K		
56. DFA US Core Equity 2	C	Dividend	L	T	Buy (add'l)	04/30/19	J		
57. DFA US Micro Cap	C	Dividend	L	T					
58. DFA US Targeted Value	D	Dividend	M	T	Buy (add'l)	12/04/19	L		
59. Schwab Intl Small Co Index	A	Dividend	J	T					
60. Schwab Total Stock Market	A	Dividend	J	T	Buy	12/04/19	L		
61. DFA US Small Cap Value	A	Distribution			Sold	12/04/19	L	A	
62. 401k #1									
63. Vanguard Target Retirement 2035		None	K	T	Buy	10/15/19	J		
64. 529 #1									
65. American Funds Capital Income Builder	C	Dividend	L	T	Buy (add'l)	01/07/19	J		
66.					Buy (add'l)	02/05/19	J		
67.					Buy (add'l)	03/05/19	J		
68.					Buy (add'l)	04/05/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

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H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	05/06/19	J		
70.					Buy (add'l)	06/05/19	J		
71.					Buy (add'l)	07/05/19	J		
72.					Buy (add'l)	08/05/19	J		
73.					Buy (add'l)	09/05/19	J		
74.					Buy (add'l)	10/07/19	J		
75.					Buy (add'l)	11/05/19	J		
76.					Buy (add'l)	12/05/19	J		
77. American Funds Capital World Growth & Income	B	Dividend	L	T	Buy (add'l)	01/05/19	J		
78.					Buy (add'l)	02/05/19	J		
79.					Buy (add'l)	03/05/19	J		
80.					Buy (add'l)	04/05/19	J		
81.					Buy (add'l)	05/06/19	J		
82.					Buy (add'l)	06/05/19	J		
83.					Buy (add'l)	07/05/19	J		
84.					Buy (add'l)	08/05/19	J		
85.					Buy (add'l)	09/05/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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86.					Buy (add'l)	10/05/19	J		
87.					Buy (add'l)	11/05/19	J		
88.					Buy (add'l)	12/05/19	J		
89. American Funds Small Cap World	A	Dividend	J	T	Buy (add'l)	01/05/19	J		
90.					Buy (add'l)	02/05/19	J		
91.					Buy (add'l)	03/05/19	J		
92.					Buy (add'l)	04/05/19	J		
93.					Buy (add'l)	05/06/19	J		
94.					Buy (add'l)	06/05/19	J		
95.					Buy (add'l)	07/05/19	J		
96.					Buy (add'l)	08/05/19	J		
97.					Buy (add'l)	09/05/19	J		
98.					Buy (add'l)	10/05/19	J		
99.					Buy (add'l)	11/05/19	J		
100.					Buy (add'l)	12/05/19	J		
101. American Funds New World	A	Dividend	J	T	Buy (add'l)	01/05/19	J		
102.					Buy (add'l)	02/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

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P1 =\$1,000,001 - \$5,000,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	03/05/19	J		
104.					Buy (add'l)	04/05/19	J		
105.					Buy (add'l)	05/06/19	J		
106.					Buy (add'l)	06/05/19	J		
107.					Buy (add'l)	07/05/19	J		
108.					Buy (add'l)	08/05/19	J		
109.					Buy (add'l)	09/05/19	J		
110.					Buy (add'l)	10/05/19	J		
111.					Buy (add'l)	11/05/19	J		
112.					Buy (add'l)	12/05/19	J		
113. American Funds Bond Fund of America	B	Dividend	K	T	Buy (add'l)	01/07/19	J		
114.					Buy (add'l)	02/05/19	J		
115.					Buy (add'l)	03/05/19	J		
116.					Buy (add'l)	04/05/19	J		
117.					Buy (add'l)	05/06/19	J		
118.					Buy (add'l)	06/05/19	J		
119.					Buy (add'l)	07/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	08/05/19	J		
121.					Buy (add'l)	09/05/19	J		
122.					Buy (add'l)	10/07/19	J		
123.					Buy (add'l)	11/05/19	J		
124.					Buy (add'l)	12/05/19	J		
125. American Funds Capital World Bond	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
126.					Buy (add'l)	02/05/19	J		
127.					Buy (add'l)	03/05/19	J		
128.					Buy (add'l)	04/05/19	J		
129.					Buy (add'l)	05/06/19	J		
130.					Buy (add'l)	06/05/19	J		
131.					Buy (add'l)	07/05/19	J		
132.					Buy (add'l)	08/05/19	J		
133.					Buy (add'l)	09/05/19	J		
134.					Buy (add'l)	10/05/19	J		
135.					Buy (add'l)	11/05/19	J		
136.					Buy (add'l)	12/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. 529 #2									
138. American Funds US Gov't Securities	A	Dividend	J	T					
139. American Funds Capital Income Builder	C	Dividend	L	T	Buy (add'l)	01/07/19	J		
140.					Buy (add'l)	02/05/19	J		
141.					Buy (add'l)	03/05/19	J		
142.					Buy (add'l)	04/05/19	J		
143.					Buy (add'l)	05/06/19	J		
144.					Buy (add'l)	06/05/19	J		
145.					Buy (add'l)	07/05/19	J		
146.					Buy (add'l)	08/05/19	J		
147.					Buy (add'l)	09/05/19	J		
148.					Buy (add'l)	10/07/19	J		
149.					Buy (add'l)	11/05/19	J		
150.					Buy (add'l)	12/05/19	J		
151. American Funds Capital World Growth & Income	B	Dividend	L	T	Buy (add'l)	01/05/19	J		
152.					Buy (add'l)	02/05/19	J		
153.					Buy (add'l)	03/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/05/19	J		
155.					Buy (add'l)	05/06/19	J		
156.					Buy (add'l)	06/05/19	J		
157.					Buy (add'l)	07/05/19	J		
158.					Buy (add'l)	08/05/19	J		
159.					Buy (add'l)	09/05/19	J		
160.					Buy (add'l)	10/05/19	J		
161.					Buy (add'l)	11/05/19	J		
162.					Buy (add'l)	12/05/19	J		
163. American Funds Small Cap World	A	Dividend	J	T	Buy (add'l)	01/05/19	J		
164.					Buy (add'l)	02/05/19	J		
165.					Buy (add'l)	03/05/19	J		
166.					Buy (add'l)	04/05/19	J		
167.					Buy (add'l)	05/06/19	J		
168.					Buy (add'l)	06/05/19	J		
169.					Buy (add'l)	07/05/19	J		
170.					Buy (add'l)	08/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	09/05/19	J		
172.					Buy (add'l)	10/05/19	J		
173.					Buy (add'l)	11/05/19	J		
174.					Buy (add'l)	12/05/19	J		
175. American Funds New World	A	Dividend	J	T	Buy (add'l)	01/05/19	J		
176.					Buy (add'l)	02/05/19	J		
177.					Buy (add'l)	03/05/19	J		
178.					Buy (add'l)	04/05/19	J		
179.					Buy (add'l)	05/06/19	J		
180.					Buy (add'l)	06/05/19	J		
181.					Buy (add'l)	07/05/19	J		
182.					Buy (add'l)	08/05/19	J		
183.					Buy (add'l)	09/05/19	J		
184.					Buy (add'l)	10/05/19	J		
185.					Buy (add'l)	11/05/19	J		
186.					Buy (add'l)	12/05/19	J		
187. American Funds Bond Fund of America	B	Dividend	K	T	Buy (add'l)	01/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/05/19	J		
189.					Buy (add'l)	03/05/19	J		
190.					Buy (add'l)	04/05/19	J		
191.					Buy (add'l)	05/06/19	J		
192.					Buy (add'l)	06/05/19	J		
193.					Buy (add'l)	07/05/19	J		
194.					Buy (add'l)	08/05/19	J		
195.					Buy (add'l)	09/05/19	J		
196.					Buy (add'l)	10/07/19	J		
197.					Buy (add'l)	11/05/19	J		
198.					Buy (add'l)	12/05/19	J		
199. American Funds Capital World Bond	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
200.					Buy (add'l)	02/05/19	J		
201.					Buy (add'l)	03/05/19	J		
202.					Buy (add'l)	04/05/19	J		
203.					Buy (add'l)	05/06/19	J		
204.					Buy (add'l)	06/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	07/05/19	J		
206.					Buy (add'l)	08/05/19	J		
207.					Buy (add'l)	09/05/19	J		
208.					Buy (add'l)	10/05/19	J		
209.					Buy (add'l)	11/05/19	J		
210.					Buy (add'l)	12/05/19	J		
211. Brokerage Account #1									
212. Schwab Muni Money Fund	A	Interest	K	T					
213. DFA Emerging Markets Small	C	Dividend	M	T	Buy (add'l)	03/04/19	K		
214.					Buy (add'l)	08/27/19	K		
215.					Buy (add'l)	10/22/19	K		
216. DFA Int'l Small Value	B	Dividend	L	T	Buy	03/04/19	L		
217. DFA US Micro Cap	B	Dividend	K	T	Sold (part)	03/04/19	L	A	
218. DFA US Core Equity 2	D	Dividend	N	T	Buy (add'l)	03/04/19	M		
219.					Buy (add'l)	08/28/19	J		
220. DFA Int'l Core Equity	A	Dividend	J	T	Buy	08/27/19	J		
221. Dodge & Cox International	D	Dividend	M	T	Buy (add'l)	07/03/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	07/23/19	K		
223.					Buy (add'l)	08/27/19	J		
224. DFA Emerging Markets Core	B	Dividend	L	T					
225. Thornburg Intermediate Muni	A	Dividend			Sold	10/22/19	K	B	
226. Schwab Total Stock Market	B	Dividend	L	T	Sold (part)	03/04/19	J	B	
227.					Sold (part)	03/06/19	J	A	
228.					Buy (add'l)	10/22/19	J		
229.					Sold (part)	12/04/19	J	A	
230.					Sold (part)	12/04/19	J	A	
231. DFA Global Real Estate		None			Sold	07/23/19	K	C	
232. DFA US Large Cap Value		None			Sold	03/04/19	L	D	
233. DFA US Targeted Value	A	Dividend	K	T	Sold (part)	03/04/19	L	E	
234.					Buy (add'l)	08/27/19	J		
235. DFA Intl Small Company	A	Dividend			Sold (part)	03/04/19	K	A	
236.					Sold	07/03/19	K	A	
237. American Funds New World		None			Buy	03/04/19	L		
238.					Sold	08/27/19	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Ho, James C., 08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows 239-247 list various investments like DFA Emerging Mkts Core, MetLife Group Variable Universal Life Insurance, etc.

1 Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000
2 Value Codes J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000, O=\$500,001 - \$1,000,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000
P3=\$25,000,001 - \$50,000,000, P4=More than \$50,000,000
3 Value Method Codes Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market
(See Column C2) U=Book Value, V=Other, W=Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

Date of Report

08/13/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James C. Ho**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544