

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carnes, Julie E.	2. Court or Organization Eleventh Circuit Court of Appeals	3. Date of Report 05/7/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 56 Forsyth Street, N.W. Suite 360 Atlanta, Georgia 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Executor	Estate #1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Spouse - retirement benefit, former partner in law firm
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Scalia Law School	4/25-26, 2019	Arlington, VA	Attenance at 1st Annual Scalia Lecture	Air fare and cab \$384.89. Hotel paid directly by law school \$157.00. Meals paid directly by law school \$130.00.
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 25

Name of Person Reporting	Date of Report
Carnes, Julie E.	05/7/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	University of Georgia Athletic Association	Tickets to UGA football game	\$690.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Associated Credit Union cash acc't	D	Interest	N	T					
2. SunTrust Bank cash acc't	A	Interest	O	T					
3. Trust #1									
4. - Suntrust Bank cash acc't	A	Interest	J	T					
5. - Northwestern Mutual whole life insurance	B	Dividend	K	T					
6. Wells Fargo Bank cash acc'ts	A	Interest	M	T					
7. Prudential Life Insurance Company Variable Annuity									
8. - AST Blackrock Global Strategies Port	C	Dividend	O	T					
9. Athens GA Hsg Auth Rev UGARFDG East Campus 12/1/24	C	Interest	L	T					
10. ATLANTA GA ARPT REV GEN REF BDS SER E 05.000% JUL 01 2027	A	Interest	M	T	Buy	10/16/19	M		
11. ATLANTA GA URBAN REDEV AGY REV REF BDS MAY17 05.000% DEC01 2028	D	Interest	M	T					
12. ATLANTA GA WTR & WASTEWTR REV RF NOV01 2022	B	Interest	L	T					
13. ATLANTA GA WTR & WASTEWTR REV RF NOV01 2033	B	Interest	L	T					
14. CHEROKEE CNTY GA SCH SYS GO BDS 2017 SCH GTD MAY17 05.000% FEB01 2028	C	Interest	M	T					
15. CHEROKEE CNTY GA SCH SYS GO BDS 2018 SCH GTD MAY18 05.000%AUG01 2029	B	Interest	K	T					
16. COLUMBIA CNTY GA SCH DIST SCH GTD APR01 2022	B	Interest	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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17. COLUMBIA CNTY GA GO BDS 2017 FEB17 05.000%JAN01 2034	B	Interest	K	T					
18. COLUMBUS GA BLDG AUTH LEASE REV REF BDS 05.000% JAN 01 2028	A	Interest	M	T	Buy	10/25/19	M		
19. CONNECTICUT ST HEALTH & EDL FACS AUTH REV SER M APR16 05.000%JUL012026	C	Interest	M	T					
20. DEKALB NEWTON GWINNETT GA JDA REF BDS GGC REAL MAY18 05.000%JUN01 2026	D	Interest	M	T					
21. Fulton Cnty GA Dev Auth Rev Catholic B 11/15/2023	A	Interest			Redeemed	05/15/19	K	A	
22. FULTON CNTY GA DEV AUTH REV BDS GEORGIA TECH 05.000% JUN 15 2044	B	Interest	L	T	Buy	05/20/19	L		
23. GEORGIA MUN ASSN INC CTFS PARTN COPS CITY OF FEB18 05.000%DEC01 2032	C	Interest	M	T					
24. GEORGIA ST SER A JAN01 2023	C	Interest	L	T					
25. GEORGIA ST HIGHER ED FACS AUTH REV USG RF JUN15 2021	B	Interest	L	T					
26. GEORGIA ST RD & TWY AUTH REV REV BDS 2017 SER A AUG17 05.000%JUN012026	C	Interest	M	T					
27. GWINNETT CNTY GA SCH DIST RF FEB01 2024	C	Interest	L	T					
28. GWINNETT CNTY GA DEV AUTH REV REF REV BDS A MAY17 05.000%JUL01 2025	C	Interest	L	T					
29. HENRY CNTY GA SALES TAX MAY01 2020	C	Interest	L	T					
30. JEA FLA ELEC SYS REV SUB-SER SER B B RF Maty: 10/1/22	B	Interest	K	T					
31. METRO ATLANTA RTA GA SLS TAXRV RF NPFG JUL01 2023	D	Interest	M	T					

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32. RICHMOND CNTY GA BRD ED SALES TAX SCH GTD FEB17 05.000%OCT01 2021	C	Interest	L	T					
33. SALT LAKE CNTY UTAH CROSSOVER RF OCT16 05.000%DEC15 2024	C	Interest	L	T					
34. SANDY SPRINGS GA PUB FACS AUTH REV CITY CTR MAY01 2025	C	Interest	M	T					
35. SOUTH REGL JT DEV AUTH GA REV REF BDS VSU ASRE 05.000% AUG 01 2022	A	Interest	M	T	Buy	10/11/19	M		
36. Tampa FLA Health Sys Rev Baycare Health RF 11/15/2023	B	Interest	L	T					
37. WALTON CNTY GA WTR & SEW AUTH REV SER A RF MAR16 05.000%FEB01 2027	B	Interest	L	T					
38. Washington Cnty Ore. CLEAN WTR SVCS SEW REV A Maty: 10/1/19	D	Interest			Redeemed	10/01/19	M	A	
39. BIF MONEY FUND (See Part VIII)									
40. Schwab Money Market Fund cash acc't	A	Interest	M	T					
41. Merrill Lynch Bank cash acc't	A	Interest	M	T					
42. AMERICAN BOND FD OF AMERICA CL F2 FUND (ABNFX)	A	Dividend	L	T	Buy	10/09/19	L		
43. AMERICAN FUNDS INCOME FUND OF AMERICA CL F2 (AMEFX)	B	Dividend			Sold	09/27/19	L	C	
44. BANK OF AMERICA, NA RASP (IIAXX)	A	Interest	J	T					
45. BLACKROCK STRATEGIC INCOME OPPRTNTS PTF (BSIIX)	B	Dividend			Sold	11/22/19	L	A	
46. BLACKROCK TOTAL RETURN FUND INSTITUTIONAL CLASS FUND (MAHGX)	B	Dividend	M	T	Buy	05/29/19	L		
47.				T	Buy (add'l)	10/09/19	L		

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 7 of 25

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48. BLACKROCK LIQUIDITY FUND T FUND INSTL CL FUND (TSTXX)	A	Dividend			Buy	08/15/19	K		
49.					Sold	09/27/19	K	A	
50. DAVIS GLOBAL FUND CL Y (DGFYX)	A	Dividend			Buy	05/29/19	L		
51.					Sold	09/27/19	L	C	
52. FIRST TRUST EXCHANGE-TRADED FD IV SHS (FTSL)	B	Dividend			Sold	05/29/19	L	A	
53. FIRST TRUST ENHANCED SHORT MATURITY ETF (FTSM)	A	Dividend			Sold	05/29/19	L	A	
54. FIRST TRUST LOW DURATION OPPORTUNITIES (LMBS)	A	Dividend			Sold	05/29/19	L	A	
55. ISHARES IBOXX \$ INVT GRADE CORP BD (LQD)	A	Dividend			Buy (add'l)	03/20/19	J		
56.					Sold (part)	01/16/19	J	A	
57.					Sold (part)	09/27/19	J	A	
58.					Sold	10/09/19	K	B	
59. ISHARES CORE HIGH DIVIDEND ETF (HDV)	D	Dividend	M	T					
60. ISHARES EDGE MSCI MIN VOL EMERGING MARKETS ETF (EEMV)	A	Dividend			Sold	09/27/19	K	A	
61. Ishares Select Divid Index FD (DVY)	C	Dividend	M	T					
62. ISHARES S&P 100 (OEF)	B	Dividend	M	T					
63. ISHARES TRUST SHS ISHARE EAFE MINIMUM VOL (EFAV)	D	Dividend	M	T	Sold (part)	02/28/19	J	A	
64. LORD ABBETT SHORT DURATION INCOME FD CL F (LDLFX)	B	Dividend			Sold	07/25/19	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 25

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65. LORD ABBETT ULTRA SHORT BOND FUND CL F (LUBFX)	B	Dividend			Sold	07/25/19	L	A	
66. LORD ABBETT BOND DEBENTURE FD CL F FUND (LBDFX)	C	Dividend	M	T	Buy (add'l)	10/09/19	L		
67. LORD ABBETT NATIONAL TAX FREE INC FD CL (LANFX)	B	Dividend	M	T	Buy	07/25/19	M		
68.					Buy (add'l)	11/05/19	K		
69. J P MORGAN EXCHANGE ETF ULTRA SHORT INCOME ETF (JPST)	B	Dividend			Buy (add'l)	05/29/19	K		
70.					Sold	07/25/19	M	A	
71. MFS MUNI INCOME FD CL 1 (MIMIX)	B	Dividend	M	T	Buy	07/25/19	M		
72. PIMCO HIGH YIELD MUNICIPAL BOND FD CL 12 (PYMPX)	A	Dividend	M	T	Buy	09/27/19	L		
73.					Buy (add'l)	11/05/19	K		
74. PIMCO INCOME FUND CL 12 FUND (PONPX)	D	Dividend	N	T	Buy (add'l)	10/09/19	L		
75. PIMCO LOW DURATION INCOME FUND CL 12 (PFTPX)	B	Dividend			Sold	09/27/19	L	A	
76. PIONEER HIGH INCOME MUNICIPAL FUND CLASS Y (HIMYX)	B	Dividend	L	T	Buy	11/22/19	L		
77. VANECK VECTORS J.P MORGAN EM LOCAL CCY BOND ETF (EMLC)	A	Dividend			Sold	10/09/19	J	A	
78. Thornburg Limited Term Municipal Fund CL I (LTMIX)	B	Dividend	L	T					
79. XTRACKERS USD HIGH YIELD CORPORATE BOND ETF (HYLB)	A	Dividend			Buy	01/16/19	J		
80.					Sold	10/09/19	J	A	
81. ALLIANZ GI NFJ EMRG MKTS VALUE INST (AZMIX)	A	Dividend			Sold	12/06/19	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 25

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82. AMERICAN CENTURY INFL ADJUSTED BD I (AIAHX)	A	Dividend			Sold	02/26/19	K	A	
83. AMERICAN CENTURY MID CAP VALUE FD CL I (AVUAX)	A	Dividend			Sold	02/26/19	K	C	
84. BAIRD AGGREGATE BOND INST (BAGIX)	C	Dividend	M	T					
85. BAIRD CORE PLUS BD INST (BCOIX)	C	Dividend	M	T					
86. BARON EMRG MKTS FD INST (BEXIX)	A	Dividend			Sold	12/06/19	K	C	
87. BROWN ADVISORY GROWTH EQUITY INST (BIAGX)	D	Dividend	M	T					
88. COHEN & STEERS REALTY SHARES (CSRSX)	D	Dividend	L	T	Buy	02/26/19	L		
89. COLUMBIA CONTRARIAN CORE FD CL INST 2 (COFRX)	A	Dividend			Sold	10/24/19	L	E	
90. FEDERATED SHORT INTM DUR MUNI TR (FSHIX) (see Part VIII)									
91. FEDERATED TOTAL RETURN BOND FD INST CL (FTRBX)	D	Dividend	M	T					
92. FIDELITY CONTRA FUND (FCNTX)	D	Dividend	M	T					
93. FMI INTL FD INST (FMIYX)	D	Dividend	M	T	Buy (add'l)	02/26/19	K		
94. FRANKLIN GROWTH FUND ADV CL (FCGAX)	D	Dividend	M	T					
95. Franklin Rising Dividends FD (FRDAX)	C	Dividend	M	T					
96. IVY MID CAP INCM OPPTY I (IVOIX)	B	Dividend	M	T	Buy	02/26/19	M		
97. JANUS HENDERSON GLBL REAL ESTATE (JERIX)	C	Dividend	L	T	Buy	12/09/19	L		
98. GOLDMAN SACHS INTL SMALL CAP INSIGHTS I (GICIX)	A	Dividend			Sold	05/03/19	L	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
99. ISHARES TRUST JP MORGAN USD EMERGING MARKETS ETF (EMB)	A	Dividend			Buy (add'l)	02/04/19	K		
100.					Sold	02/28/19	K	A	
101. ISHARES 20+ TREASURY BOND ETF (TLT)	A	Dividend			Sold	02/28/19	J	A	
102. ISHARES COMMODITIES SELECT STRATEGY ETF (COMT)	B	Dividend			Sold	02/28/19	J	A	
103. ISHARES MSCI EAFE SMALL CAP ETF (SCZ)	C	Dividend	M	T					
104. ISHARES S&P U S PFD FUND S&P U S PFD STK INDEX FD (PFF)	B	Dividend			Sold	02/26/19	K	A	
105. ISHARES CORE US AGGREGATE BOND ETF (AGG)	A	Dividend			Buy (add'l)	02/04/19	J		
106.					Sold (part)	02/26/19	L	A	
107.					Sold	02/28/19	L	A	
108. ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	A	Dividend			Sold (part)	01/16/19	J	A	
109.					Sold	10/09/19	K	B	
110. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	B	Dividend	M	T					
111. ISHARES MBS ETF ACTUAL PRICES (MBB)	B	Dividend			Buy (add'l)	05/20/19	J		
112.					Sold	10/09/19	K	B	
113. ISHARES EDGE MSCI MIN VOL USA ETF (USMV)	B	Dividend	L	T					
114. ISHARES 1-3 YEAR TREASURY BOND ETF (SHY)	A	Dividend			Sold	02/06/19	K	A	
115. ISHARES CORE 15 YEAR USD BOND ETF (ISTB)	A	Dividend			Sold (part)	01/09/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
116.					Sold	02/28/19	K	A	
117. ISHARES NATIONAL MUNI BOND ETF (MUB)	D	Dividend	M	T					
118. ISHARES GOLD TRUST (IAU)	A	Dividend			Sold	02/28/19	J	A	
119. ISHARES TIPS BOND FUND (TIP)	A	Dividend			Sold (part)	02/28/19	K	A	
120.					Sold	10/09/19	J	A	
121. ISHARES IBOXX HIGH YIELD BOND ETF (HYG)	A	Dividend			Sold (part)	01/16/19	J	A	
122.					Sold (part)	02/28/19	J	A	
123.					Sold	10/09/19	J	A	
124. ISHARES TR CORE MSCI EAF ETF (IEFA)	A	Dividend			Sold (part)	01/16/19	K	A	
125.					Sold (part)	02/26/19	K	C	
126.					Sold (part)	06/13/19	J	A	
127.					Sold	10/09/19	K	A	
128. ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	A	Dividend			Buy (add'l)	01/16/19	J		
129.					Sold (part)	02/26/19	K	C	
130.					Buy (add'l)	05/20/19	J		
131.					Sold (part)	06/13/19	J	A	
132.					Sold	10/09/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
133. ISHARES TR RUSSELL 2000 RUSSELL 2000 INDEX FUND (IWM)	A	Dividend			Sold	02/28/19	J	B	
134. ISHARES TR CORE DIVID GROWTH ETF (DGRO) (see Part VIII)	B	Dividend	L	T					
135. INVESCO S&P ETF 500 LOW VOLATILITY (SPLV)	D	Dividend	N	T	Sold (part)	02/28/19	K	D	
136. INVESCO QQQ TRUST SER 1 (QQQ)	A	Dividend			Sold (part)	01/09/19	J	A	
137.					Sold	02/28/19	J	A	
138. INVESCO BULTSH 2020 CORP BOND ETF (BSCK)	A	Dividend	J	T					
139. INVESCO EMERGING MARKETS SOVEREIGN DEBT ETF (PCY)	A	Dividend			Sold (part)	01/16/19	J	A	
140.					Sold (part)	03/20/19	J	A	
141.					Sold	10/09/19	J	A	
142. INVESCO PREFERRED ETF (PGX)	A	Dividend			Sold	10/09/19	J	A	
143. INVESCO OPPENHEIMER (FKA OPPENHEIMER) INTL SMALL MID CO. Y (OSMYX)	B	Dividend	K	T					
144. INVESCO OPPENHEIMER (FKA OPPENHEIMER) DEVELOPING MKTS FD CL Y (ODVYX)	C	Dividend	M	T					
145. JPMORGAN INCM I (JMSIX)	C	Dividend	M	T	Buy	06/07/19	L		
146.					Buy (add'l)	10/08/19	J		
147. MFS INTL NEW DISCOVERY I (MWNIX)	B	Dividend	L	T	Buy	02/26/19	L		
148. METROPOLITAN WEST TOTAL RETURN BOND I (MWTIX)	A	Dividend			Sold	02/26/19	M	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
149. OAKMARK INTL FD ADV (OAYIX)	C	Dividend	M	T					
150. PGIM HIGH YIELD FUND Z (PHYZX)	D	Dividend	N	T	Buy	03/15/19	N		
151. PARNASSUS CORE EQTY FD INST (PRILX)	D	Dividend	M	T					
152. PIMCO FOREIGN BD USD HEDGED CL INST (PFORX)	B	Dividend	K	T					
153. PIMCO ENHANCED SHORT MATURITY ACTIVE ETF (MINT)	A	Dividend			Sold	02/28/19	J	A	
154. PIMCO 0-5 YEAR HIGH YLD BND ETF IV (HYS)	A	Dividend			Buy	02/04/19	J		
155.					Sold	02/28/19	J	A	
156. SCHWAB AMT TAX FREE MONEY FUND INVESTOR SHS (SWWXX)	C	Dividend	N	T	Buy (add'l)	02/26/19	M		
157.					Buy (add'l)	10/24/19	N		
158. SCHWAB VALUE ADVANTAGE MONEY FUND (SWVXX)	C	Dividend	M	T					
159. SCHWAB FUNDAMENTAL INTL LARGE COMPANY ETF (FNDF)	A	Dividend	K	T					
160. SCHWAB FUNDAMENTAL INTL SMALL COMPANY ETF (FNDC)	A	Dividend	K	T					
161. SCHWAB US DIVIDEND EQUITY ETF (SCHD)	A	Dividend	J	T					
162. SCHWAB US LARGE CAP GROWTH ETF (SCHG)	A	Dividend	J	T					
163. SELECT SECTOR HEALTH CARE SPDR ETF (XLV)	A	Dividend			Sold	02/28/19	J	A	
164. SPDR FUND CONSUMER STAPLES ETF (XLP)	A	Dividend			Sold	02/28/19	J	A	
165. SPDR BLOOMBERG BARCLAYS SHORT TERM HIGH ETF (SJNK)	B	Dividend			Sold	02/26/19	K	A	

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
166. SPDR GOLD SHARES ETF (GLD)	A	Dividend			Sold	02/26/19	K	B	
167. S P D R S&P 500 ETF (SPY)	A	Dividend			Sold	02/28/19	K	A	
168. SPDR S&P Dividend ETF (SDY)	C	Dividend	L	T					
169. Schwab S&P 500 Index FD (SWPPX)	C	Dividend	M	T					
170. TCW TOTAL RETURN BOND FUND I CLASS (TGLMX)	C	Dividend			Sold	02/26/19	M	A	
171. USAA TAX EXEMPT INTERM TERM FUND (USATX)	A	Dividend	J	T					
172. VANGUARD VALUE ETF (VTV)	D	Dividend	N	T	Buy (add'l)	01/16/19	K		
173.					Sold (part)	09/27/19	J	A	
174.					Sold (part)	10/09/19	M	E	
175. Vanguard Growth ETF (VUG)	D	Dividend	O	T	Buy (add'l)	01/16/19	K		
176.					Buy (add'l)	06/13/19	J		
177.					Sold (part)	05/20/19	J	A	
178.					Sold (part)	09/27/19	J	A	
179.					Sold (part)	10/09/19	L	E	
180. VANGUARD EQUITY INCOME FD ADMIRAL SHS (VEIRX)	A	Dividend			Sold	02/26/19	K	D	
181. VANGUARD INTERMEDIATE-TERM CORPORATE BOND (VCIT)	A	Dividend			Buy (add'l)	01/16/19	J		
182.					Buy (add'l)	02/04/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
183.					Sold (part)	02/28/19	L	A	
184.					Sold	10/09/19	J	A	
185. VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	A	Dividend			Sold (part)	01/16/19	J	A	
186.					Buy (add'l)	05/20/19	J		
187.					Sold (part)	09/27/19	J	A	
188.					Sold	10/09/19	K	C	
189. VANGUARD SHORT TERM BOND (BSV)	A	Dividend			Sold	01/16/19	K	A	
190. VANGUARD SMALL CAP GROWTH ETF (VBK)	A	Dividend			Buy (add'l)	01/16/19	J		
191.					Sold	10/09/19	K	A	
192. VANGUARD SMALL CAP VALUE ETF (VBR)	A	Dividend	K	T	Sold (part)	10/09/19	J	A	
193. VANGUARD CONSUMER DISCRETIONARY ETF (VCR)	A	Dividend			Sold	02/28/19	J	A	
194. VANGUARD FTSE ALL WORLD EX US ETF (VEU)	B	Dividend	L	T					
195. VANGUARD INTERM TERM TAX EXEMPT FD ADMIRAL SHARE (VWIUX)	D	Dividend	M	T	Buy (add'l)	02/26/19	L		
196. VANGUARD SHORT TERM TREASURY ETF (VGSH)	A	Dividend			Buy (add'l)	01/09/19	K		
197.					Sold (part)	02/06/19	K	A	
198.					Sold	02/28/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
199. VANGUARD INTL EQTY ETF GLOBAL EX-US REAL ESTATE (VNQI)	A	Dividend			Sold	12/06/19	L	D	
200. VANGUARD REIT (VNQ)	C	Dividend	M	T					
201. VANGUARD SMALL CAP VALUE (VBR)	A	Dividend	K	T					
202. VANGUARD (WHITEHALL) FUNDS HIGH DIVIDEND YIELD (VYM)	C	Dividend	L	T					
203. VANGUARD DIVIDEND APPRECIATION ETF (VIG)	A	Dividend			Sold	02/28/19	K	B	
204. VANGUARD FTSE Developed Markets ETF (VEA)	A	Dividend			Buy (add'l)	01/09/19	J		
205.					Sold	02/28/19	L	A	
206. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend			Sold	02/28/19	K	A	
207. VANGUARD TOTAL STOCK MKT ETF (VTI)	A	Dividend			Sold (part)	01/09/19	J	B	
208.					Sold	02/28/19	K	B	
209. VANGUARD RUSSELL 2000 ETF IV (VTWO)	A	Dividend			Sold	06/07/19	L	E	
210. VANGUARD SHORT-TERM CORPORATE BOND (VCSH)	A	Dividend			Buy	01/16/19	K		
211.					Buy (add'l)	05/20/19	J		
212.					Sold	10/09/19	K	B	
213. VANGUARD HIGH YIELD CORP FUND ADMIRAL SHARE (VWEAX)	D	Dividend	N	T	Buy	03/15/19	N		
214. VANGUARD INTERMEDIATE TERM TREASURY ETF (VGIT)	A	Dividend			Buy	01/09/19	J		
215.					Sold	02/28/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
216. WESTERN ASSET CORE PLUS BD FD IS (WAPSX)	D	Dividend	M	T	Buy (add'l)	10/24/19	K		
217. WISDOMTREE DIVIDEND EX FINANCIALS FUND (DTN)	B	Dividend	K	T					
218. WISDOMTREE US SMALLCAP DIVIDEND ETF (DES)	B	Dividend	L	T	Buy	03/15/19	L		
219. AMAZON COM INC 2.6%19 DUE 12/05/19	A	Interest			Redeemed	11/05/19	K	A	
220. GOLDMAN SACHS BK US 2%20 CD DUE 4/22/20	A	Interest	K	T					
221. SIMON PPTY GRP 4.125%21 DUE 12/01/21	B	Interest			Redeemed	10/07/19	K	B	
222. US TREASURY NOTE 1.625% DUE 08/31/19	C	Interest			Redeemed	09/03/19	N	A	
223. US TREASURY BILL DUE 02/14/19	A	Interest			Redeemed	02/14/19	M	A	
224. CHARLESTON CO SC EDL EXCE 5%23REV DUE 12/01/23	B	Interest	K	T					
225. DALLAS FORT WORTH REV DUE 11/01/22	A	Interest	K	T					
226. ENERGY NW WA ELEC R 5%28ELEC UTIL DUE 07/01/28	B	Interest	L	T	Buy	04/12/19	L		
227. FLORIDA ST BOARD ED 5% DB UTX DUE 06/01/30	C	Interest	L	T					
228. FORSYTH CNTY GA SD 5%20GO UTX DUE 02/01/20	B	Interest	K	T					
229. GEORGIA ST 5%20GO LTX DUE 09/01/20	B	Interest	K	T					
230. GEORGIA ST ROAD & T 5% EXCISE TAX DUE 06/01/21	C	Interest	L	T					
231. HAWAII, STATE OF 5%28 VP DUE 05/01/28	B	Interest	K	T					
232. HOUSTON TEX INDPT 5%23GO LTX DUE 02/15/23	B	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
233. HOUSTON TEXAS UTILI 4%21COMB UTIL DUE 11/15/21	A	Interest	M	T	Buy	08/21/19	M		
234. IL ST TOLL HWY AUTH 5%30 TOLL TRAN DUE 01/01/30	A	Interest	M	T	Buy	11/06/19	M		
235. IN FIN AUTH HLTH SY 5%27 HOSP HLTH DUE 11/01/27 XTRO	B	Interest	L	T					
236. JEA FLA Wtr & Swr 5% 21 REV DUE 10/01/21	A	Interest	K	T					
237. KY ST TPK AUTH 5%26 ROAD TRAN DUE 07/01/26	B	Interest	L	T					
238. MARTA GA 4% EXCISE TAX DUE 07/01/29	C	Interest	L	T					
239. MA ST WTR POLL ABAT 5%25AUTH POOL DUE 08/01/25	B	Interest	K	T					
240. MET T AUTH NY REV 5%26 TRAN COMB DUE 11/15/26	B	Interest	K	T					
241. Minnesota PUB FACS 5% 20 REV DUE 03/01/20	A	Interest	K	T					
242. NC ST LTD OBG REV 5% 5/1/2025	B	Interest	L	T					
243. New Jersey Turnpike NJ REV 5% 1/1/25	B	Interest	K	T					
244. PENNSYLVANIA ST 5%20GO UTX DUE 07/01/20	B	Interest	K	T					
245. PORT SEATTLE WA 5%28 PA TRAN DUE 05/01/28	B	Interest	K	T					
246. PRI COLLEGES & UNIV G 5%29 UNIV EDUC DUE 09/01/29	A	Interest	M	T	Buy	08/19/19	M		
247. SAN FRANCISCO CALIF GO U/LTX SCH IMPT DUE 06/15/24	A	Interest	K	T					
248. Texas ST 5% 19GO DUE 10/01/19	A	Interest			Redeemed	10/01/19	K	A	
249. Univ Cincinnati OH REV 5% 6/1/23	B	Interest	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
250. VIRGINIA COLLEGE BL 5%26 FAC EDUC DUE 02/01/26	B	Interest	L	T					
251. WASHINGTON ST FOR 5%19GO UTX DUE 07/01/19	A	Interest			Redeemed	07/01/19	K	A	
252. WISCONSIN ST TRANSN 5%24REV DUE 07/01/24	B	Interest	K	T					
253. SCH MUNI MONEY FUND SWEEP SHARES (SWXXX)	A	Dividend			Sold	01/03/19	K	A	
254. ABBOTT LABORATORIES GLB 03.400% NOV 30 2023	A	Interest	J	T	Buy (add'l)	04/08/19	J		
255. ACE INA HOLDINGS COMPANY GUARNT GLB 02.875% NOV 03 2022	A	Interest	J	T	Buy (add'l)	10/17/19	J		
256. AIR LEASE CORP GLB 03.250% MAR 01 2025	A	Interest	J	T					
257. AMERICAN HONDA FINANCE SER MTN 02.450% SEP 24 2020	A	Interest			Sold	05/24/19	J	A	
258. AMERICAN HONDA FINANCE SER MTN 01.650% JUL 12 2021	A	Interest	J	T	Buy	10/17/19	J		
259. APACHE CORP GLB 03.250% APR 15 2022	A	Interest	J	T	Buy (add'l)	06/21/19	J		
260.				T	Buy (add'l)	10/28/19	J		
261. ANHEUSER-BUSCH INBEV WOR COMPANY GUARNT GLB 04.750% JAN 23 2029	A	Interest	J	T	Buy	04/23/19	J		
262.				T	Buy (add'l)	10/17/19	J		
263. AT&T INC GLB 03.550% JUN 01 2020	A	Interest	J	T	Buy	06/06/19	J		
264. BERKSHIRE HATHAWAY INC GLB 02.200% MAR 15 2021	A	Interest			Sold	10/03/19	J	A	
265. BOSTON PROPERTIES LP GLB 02.750% OCT 01 2026	A	Interest	J	T	Buy (add'l)	10/17/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

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D =\$5,001 - \$15,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
266. BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.561% NOV 01 2021	A	Interest	J	T	Buy (add'l)	10/17/19	J		
267.					Buy (add'l)	10/28/19	J		
268. CATERPILLAR FINANCIAL SE SER MTN 02.100% JUN 09 2019	A	Interest			Redeemed	06/10/19	J	A	
269. CATERPILLAR FINL SERVICE SER MTN 02.850% MAY 17 2024	A	Interest	J	T	Buy	09/17/19	J		
270.				T	Buy (add'l)	10/18/19	J		
271. CELGENE CORP GLB 03.625% MAY 15 2024	A	Interest			Sold	04/22/19	J	A	
272. CHARLES SCHWAB CORP GLB 03.225% SEP 01 2022	A	Interest	J	T					
273. CITIGROUP INC SUBORDINATED GLB 04.300% NOV 20 2026	A	Interest	J	T	Buy (add'l)	10/17/19	J		
274. COCA-COLA CO/THE GLB 03.200% NOV 01 2023	A	Interest	J	T	Buy	10/17/19	J		
275. COMCAST CORP COMPANY GUARNT GLB 03.700% APR 15 2024	A	Interest	J	T	Buy	10/17/19	J		
276. CVS HEALTH CORP GLB 02.875% JUN 01 2026	A	Interest	J	T	Buy	10/17/19	J		
277. DOWDUPONT INC GLB 04.205% NOV 15 2023	A	Interest	J	T	Buy (add'l)	10/17/19	J		
278. DUKE ENERGY CORP GLB 03.150% AUG 15 2027	A	Interest	J	T					
279. DTE ENERGY CO SER C GLB 03.400% JUN 15 2029	A	Interest	J	T	Buy	10/17/19	J		
280. E.I. DU PONT DE NEMOURS GLB 02.800% FEB 15 2023 (See Part VIII)									
281. ECOLAB INC GLB 03.250% DEC 01 2027	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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H1 =\$1,000,001 - \$5,000,000

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P1 =\$1,000,001 - \$5,000,000

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FINANCIAL DISCLOSURE REPORT

Page 21 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
282. EDISON INTERNATIONAL GLB 04.125% MAR 15 2028	A	Interest			Sold	01/25/19	J	A	
283. EPR PROPERTIES COMPANY GUARNT GLB 04.950% APR 15 2028	A	Interest	J	T					
284. FEDEX CORP COMPANY GUARNT 03.400% FEB 15 2028	A	Interest	J	T	Buy (add'l)	10/17/19	J		
285. FIDELITY NATIONAL INFORM COMPANY GUARNT GLB 03.500% APR 15 2023	A	Interest	J	T					
286. FISERV INC GLB 03.850% JUN 01 2025	A	Interest	J	T	Buy	10/18/19	J		
287. GENERAL MOTORS FINL CO COMPANY GUARNT GLB 04.000% OCT 06 2026	A	Interest	J	T					
288. HOME DEPOT INC GLB 03.350% SEP 15 2025	A	Interest	J	T	Buy (add'l)	10/17/19	J		
289. INTEL CORP GLB 02.875% MAY 11 2024	A	Interest			Sold	10/01/19	J	A	
290. JOHN DEERE CAPITAL CORP SER MTN 02.300% SEP 16 2019	A	Interest			Redeemed	09/16/19	J	A	
291. KEYCORP SER MTN 05.100% MAR 24 2021	A	Interest	J	T					
292. KINDER MORGAN ENER PART COMPANY GUARNT 03.500% MAR 01 2021	A	Interest	J	T					
293. MARRIOTT INTERNATIONAL \SER X GLB 04.000% APR 15 2028	A	Interest	J	T					
294. MARSH & MCLENNAN COS INC GLB 04.375% MAR 15 2029	A	Interest	J	T	Buy	02/12/19	J		
295.				T	Buy (add'l)	10/17/19	J		
296. MCDONALD'S CORP SER MTN 02.750% DEC 09 2020	A	Interest	J	T	Buy (add'l)	06/18/19	J		
297.					Buy (add'l)	10/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
298.					Buy (add'l)	10/30/19	J		
299. NATIONAL OILWELL VARCO I GLB 02.600% DEC 01 2022	A	Interest	J	T	Buy	10/17/19	J		
300. NEXTERA ENERGY CAPITAL COMPANY GUARNT GLB 03.500% APR 01 2029	A	Interest	J	T	Buy	06/14/19	J		
301. NORTHROP GRUMMAN CORP GLB 02.080% OCT 15 2020	A	Interest	J	T	Buy (add'l)	10/18/19	J		
302. NUCOR CORP GLB 03.950% MAY 01 2028	A	Interest	J	T	Buy	10/18/19	J		
303. ORACLE CORP GLB 02.500% OCT 15 2022	A	Interest	J	T	Buy (add'l)	10/17/19	J		
304. PHILIP MORRIS INTL INC GLB 01.375% FEB 25 2019	A	Interest			Redeemed	02/25/19	J	A	
305. REPUBLIC SERVICES INC GLBN 03.375% NOV 15 2027	A	Interest	J	T	Buy	10/17/19	J		
306. REYNOLDS AMERICAN INC COMPANY GUARNT GLB 04.450% JUN 12 2025	A	Interest	J	T	Buy (add'l)	12/16/19	J		
307. SIMON PROPERTY GROUP LP GLB 03.375% OCT 01 2024	A	Interest	J	T	Buy	10/17/19	J		
308. SOUTHERN CO GLB 01.850% JUL 01 2019	A	Interest			Redeemed	01/24/19	J	A	
309. STARBUCKS CORP GLB 02.200% NOV 22 2020	A	Interest	J	T	Buy (add'l)	10/17/19	J		
310. TIME WARNER INC COMPANY GUARNT GLB 03.550% JUN 01 2024	A	Interest			Redeemed	06/05/19	J	A	
311. TOYOTA MOTOR CREDIT CORP SER MTN 02.900% APR 17 2024	A	Interest	J	T					
312. UNITEDHEALTH GROUP INC GLB 03.875% DEC 15 2028	A	Interest	J	T	Buy	02/06/19	J		
313.				T	Buy (add'l)	10/18/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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P2 =\$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
314. UNITED PARCEL SERVICE - 02.500% APR 01 2023	A	Interest	J	T	Buy (add'l)	10/18/19	J		
315. USD NUTRIEN LTD 4.000% DEC 15 2026	A	Interest	J	T					
316. VERIZON COMMUNICATIONS GLB 04.125% MAR 16 2027	A	Interest	J	T	Buy (add'l)	10/17/19	J		
317. WALT DISNEY COMPANY/THE SER GMTN GLB 03.150% SEP 17 2025	A	Interest	J	T					
318. WASTE MANAGEMENT INC COMPANY GUARNT GLB 03.150% NOV 15 2027	A	Interest	J	T	Buy (add'l)	10/17/19	J		
319. WELLS FARGO & COMPANY SER MTN GLB 04.150% Jan 24, 2029	A	Interest	J	T	Buy	02/27/19	J		
320.				T	Buy (add'l)	10/18/19	J		
321. Trust #2									
322. - Wells Fargo cash acc't	A	Interest	L	T					
323. - Coca-Cola common stock	B	Dividend	L	T					
324. - Ameris Bank (formerly Fidelity Bank) cash acc't	A	Interest	N	T					
325. Estate #1 (X)									
326. - Wells Fargo cash accts	A	Interest	M	T					
327. - MetLife, Inc. common stock	B	Dividend	J	T					
328. - Brighthouse Financial, Inc. common stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 25

Name of Person Reporting

Carnes, Julie E.

Date of Report

05/7/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, line 39: the entry for BIF MONEY FUND listed in the 2018 FDR at line 37, inadvertently omitted in Section D that this cash account was closed on 11/02/2018, with D(3) Value Code "K" and D(4) Gain Code "A."
- 2) Part VII, line 90: the entry for FEDERATED SHORT INTERMEDIATE DURATION MUNI TR INST (FSHIX) listed in the 2018 FDR at line 100, inadvertently omitted in Section D that the fund was sold on 12/06/2018, with D(3) Value Code "M" and D(4) Gain Code "A."
- 3) Part VII, line 134: the 2018 FDR inadvertently omitted ISHARES TR CORE DIVID GROWTH ETF (DGRO), bought on 12/20/2018. The entry should have included Section B(1) Amount Code of "A"; B(2) "Dividend"; C(1) Value Code "L"; C(2) Value Method "T"; and D(3) Value Code "L."
- 4) Part VII, line 280: the entry for E.I. DU PONT DE NEMOURS GLB 02.800% FEB 15 2023 listed in the 2018 FDR at line 290, inadvertently omitted in Section D that the bond was redeemed on 11/29/2018, with D(3) Value Code "J" and D(4) Gain Code "A."

FINANCIAL DISCLOSURE REPORT

Page 25 of 25

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Julie E. Carnes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544