

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHELL, RICHARD A.	2. Court or Organization U.S. DISTRICT COURT, EDETX	3. Date of Report 5/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. COURTHOUSE 7940 PRESTON ROAD PLANO, TEXAS 75024		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Baylor Scott & White Medical Center at McKinney, TX
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

SCHELL, RICHARD A.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
SCHELL, RICHARD A.	5/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

SCHELL, RICHARD A.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Chase Bank Cash Accounts	A	Interest	L	T					
2. Bank of Ozarks Cash Account	D	Interest	N	T					
3. Legacy Texas Bank Cash Accounts	B	Interest	M	T					
4. Wells Fargo Cash Account	A	Interest	O	T					
5. VTI - ETF	B	Dividend	L	T					
6. VO - ETF	A	Dividend	L	T					
7. AA - common stock		None			Sold	10/04/19	J		
8. ARNC-common stock	A	Dividend			Sold	10/04/19	K	B	
9. BP - common stock	C	Dividend	K	T	Sold (part)	10/04/19	L		
10. COP - common stock	B	Dividend	L	T					
11. PSX - common stock	A	Dividend	K	T					
12. COST - common stock	A	Dividend	K	T					
13. F - common stock	A	Dividend			Sold	10/04/19	J	A	
14. JNJ - common stock	B	Dividend	K	T					
15. JPM - common stock	D	Dividend	N	T	Sold (part)	10/04/19	K	E	
16. WMT - common stock	B	Dividend	M	T					
17. Beech Grove IN Multi School Bldg - muni bond		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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SCHELL, RICHARD A.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Eagle-Union Middle School Bldg Corp-muni bond		None	J	T					
19. Greater Jasper IN School Bldg Corp - muni bond		None			Redeemed	01/15/19	J		
20. Acreage - Ellis Co., TX		None	K	W					
21. Trust #1 - Legacy Texas Bank Cash Account	A	Interest	M	T					
22. Trust #1 - Legacy Texas Financial Group, Inc. - common stock	D	Dividend	N	T	Sold (part)	11/01/19	L	F	
23. Acreage - Lake Co., IN		None	L	W					
24. Disney - common stock	B	Dividend	M	T	Sold (part)	10/04/19	K	E	
25. Fidelity IRA :Spartan MidCap	A	Dividend	K	T					
26. Fidelity IRA: Spartan Int'l	A	Dividend	J	T					
27. Fidelity IRA - Spartan 500	A	Dividend	K	T					
28. T - common stock	D	Dividend	K	T	Sold (part)	10/04/19	L		
29. ADM - common stock	A	Dividend			Sold	10/04/19	J	A	
30. BA - common stock	D	Dividend	N	T					
31. C - common stock	A	Dividend			Sold	10/04/19	J		
32. CMCSA - common stock	A	Dividend	K	T					
33. XOM - common stock	B	Dividend	K	T					
34. GE - common stock	A	Dividend			Sold	10/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. INTC - common stock	A	Dividend	K	T	Sold (part)	10/04/19	J	C	
36. IBM - common stock	D	Dividend	M	T	Sold (part)	10/04/19	K	D	
37. FFBC (formerly MSFG) - common stock	A	Dividend			Sold	10/04/19	J	D	
38. MRO - common stock	A	Dividend			Sold	10/04/19	J		
39. MPC - common stock	A	Dividend			Sold	10/04/19	J	D	
40. MSFT - common stock	B	Dividend	L	T	Sold (part)	10/04/19	L	E	
41. PEP - common stock	B	Dividend	K	T					
42. PG - common stock	A	Dividend			Sold	10/04/19	K	D	
43. ROP - common stock	A	Dividend	L	T					
44. TR - common stock	B	Dividend	M	T	Sold (part)	10/04/19	K	E	
45. RTN - common stock	A	Dividend			Sold	10/04/19	J	C	
46. FRME- common stock	A	Dividend			Sold	10/04/19	J	B	
47. PFE- common stock	A	Dividend			Sold	10/04/19	J	A	
48. TRV - common stock	A	Dividend			Sold	10/04/19	J	B	
49. VC - common stock		None			Sold	10/04/19	J		
50. WBA - common stock	A	Dividend			Sold	10/04/19	K		
51. YUM - common stock	B	Dividend	L	T	Sold (part)	10/04/19	K	D	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. YUMC - common stock	A	Dividend	K	T	Sold (part)	10/04/19	J	C	
53. MRK - common stock	B	Dividend	K	T					
54. Travis Cnty Wtr Dist. - muni bond	B	Interest	L	T					
55. Lewisville ISD Bldg. - muni bond	B	Interest	K	T					
56. Fishers Redev. Dist.- muni bond	B	Interest	K	T					
57. Cape Coral Wtr & Swr - muni bond	B	Interest	K	T					
58. Collin Cnty Cmnty Cllg - muni bond	B	Interest	K	T					
59. Van Vleck Indpt Sch - muni bond	A	Interest	K	T					
60. EMR - common stock	A	Dividend			Sold	10/04/19	J		
61. MMM - common stock	A	Dividend			Sold	10/04/19	J		
62. VIGI - ETF	A	Dividend	J	T					
63. WAB - common stock	A	Dividend			Spinoff (from line 34)	02/26/19	J		
64. WAB - common stock	A	Dividend			Sold	10/04/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **RICHARD A. SCHELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544