

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabtree, Daniel D.	2. Court or Organization U.S. District Court, District of Kansas	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Daniel D. Crabtree United States District Court, District of Kansas Robert J. Dole Courthouse, 500 State Avenue Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Equity Investors, LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Salary, employment by Unified Government of Wyandotte County/Kansas City, Kansas
2. 2019	Director's Fees, paid for service as Director of Lead Bank
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Demi Lloyd (f/k/a Demi Kiersznowski)	collateral guest of family friend on graduation trip	\$650.00
2.	David Kiersznowski	guest at college basketbll games	\$1,180.00
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citigroup Inc. Com New	A	Dividend	J	T					
2. Eaton Vance Large-Cap Value Fund	C	Distribution	K	T					
3. Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
4. Energy Transfer Partners LP	B	Distribution	K	T					
5. Fidelity Advisor New Insights Fund	D	Distribution	M	T					
6. Great Plains Energy, Inc.	A	Dividend	J	T					
7. Growth Fund of America	C	Distribution	K	T					
8. Intel Corp	A	Dividend	K	T					
9. Invesco American Franchise Fund	C	Distribution	K	T					
10. Invesco Charter Fund	D	Distribution	K	T					
11. Invesco Gloabl Small & Mid Cap Growth Fund	C	Dividend	K	T					
12. Learning Quest Aggressive Track: Moderate Portfolio (529)		None	M	T					
13. Targa Resources Corp	B	Dividend	K	T					
14. Country Club Bank (cash accounts)	A	Interest	N	T					
15. United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					
16. Federated Capital Reserves	B	Interest	N	T	Redeemed (part)	05/23/19	N	A	
17. AT&T Inc. Com	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Alibaba Group SHS ADR		None	K	T					
19. Apple Inc Com	B	Dividend	M	T					
20. KKR & Co LP Del Com Units	A	Distribution	K	T					
21. Kinder Morgan Inc Del Com	A	Dividend	J	T					
22. Altaba		None			Sold	09/10/19	K	D	
23. Oppenheimer Senior Floating Rate Fund	A	Dividend	K	T					
24. Oppenheimer Steelpath MLP Alpha	D	Dividend	K	T					
25. Talmer Bank & Trurst (FDIC insured deposit)	A	Interest	L	T					
26. Country Club Wealth Solutions (FDIC insured deposit); see Part VIII	D	Interest	M	T					
27. Transamerica Life Insurance Company (Flexible Premium Universal Life)	A	Interest	K	T					
28. Fidelity Advisor Large Cap Stock Fund	D	Distribution	L	T					
29. Fidelity Advisor (Focus) Health Care Fund	A	Distribution	L	T					
30. Fidelity Advisor Consumer Staples Fund	A	Distribution	K	T					
31. Fidelity Advisor Energy Fund	A	Dividend	K	T					
32. Fidelity Advisor International Capital Appreciation Fund	A	Dividend	K	T					
33. Fidelity Advisor International Growth Fund	A	Dividend	K	T					
34. Fidelity Advisor Mid Cap Value Fund	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. Amercan Funds New Perspective Fund	B	Distribution	K	T					
36. American Funds Balanced Fund	A	Dividend	K	T					
37. Calamos Strategic Total Return Fund	B	Dividend	K	T					
38. BlackRock Equity Dividend Fund	B	Dividend	K	T					
39. AllianzGI NFJ Dividend International & Premium Strategy Fund	B	Dividend	K	T					
40. Kraneshares TR China A ETF	A	Distribution	K	T					
41. Wisdom Tree Emerging Markets High Dividend Fund ETF	A	Distribution	K	T					
42. Amazon.com Inc.		None			Sold	03/21/19	J	A	
43. Vanguard Mid Cap Growth Investment Fund (X)	A	Dividend	J	T					
44. Western Asset Emerging Markets Fund	B	Dividend	J	T					
45. Select Sector SPDR Fund	A	Dividend	K	T	Buy	04/13/19	K		
46. Lead Bank (cash account)		None	J	T					
47. Dreyfus Government Cash Management	C	Int./Div.	N	T	Buy	05/29/19	N		
48. First Trust Exchange Traded Fund II Tech Alphasdex		None	L	T	Buy	12/24/19	L		
49. First Trust Exchange Traded Fund VI Rising Dividend Achievers		None	L	T	Buy	12/24/19	L		
50. Invesco Exchange Traded Fund TR S & P	A	Dividend	L	T	Buy	12/24/19	L		
51. I-Shares TR Edge MSCI USA Size Factor ETF		None	L	T	Buy	12/24/19	L		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. SPDR Series TR Bllomberg Barclays US Conversion Board Index		None	L	T	Buy	12/24/19	L		
53. Vanguard World Fund Mega Cap GR ETF	A	Dividend	L	T	Buy	12/24/19	L		
54. Morgan Stanley China	A	Dividend	K	T	Buy	12/24/19	J		
55. First Trust Unit 8026 Value Dividend Opportunity Portfolio	B	Dividend	K	T	Buy	04/08/19	K		
56. First Trust Unit 7920 Global Deep Value Dividend Portfolio	B	Dividend	K	T	Buy	04/08/19	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, line 1: The aggregate amount shown in this line was provided in connection with a gift made by a close personal friend [REDACTED] as a graduation gift [REDACTED]. The donor is a close family friend. Her appearance (or that of any of her companies or associated foundations) in any matter assigned to me would require that I take no official action with respect to the matter. Both the individual and her associated entities are listed on my recusal list and have been so listed since the time I was appointed.

Part V, line 2: The aggregate gift amount shown on this line was provided by a close personal friend and the appearance by him (or any of his companies or associated foundations) in any matter assigned to me would require that I take no official action with respect to the matter. Both the individual and his associated entities are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of the value of: outings to college sporting events, some together and others involving use of tickets he holds. Some but not all of these outings included [REDACTED], who are personal friends of Mr. Kiersznowski and his children.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel D. Crabtree**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544