

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbluth, Jean P.	2. Court or Organization Central District of California, Western Division	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 225 East Temple Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Oregon Law School	October 2019	Eugene, Oregon	visiting professor	plane transportation, hotel, food
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts -- cash equivalent	A	Interest	L	T					
2. American Electric Power common stock	A	Dividend	J	T					
3. Schwab IRA (H)									
4. --Robinson Tax Advantaged Inc. ROBNX	A	Dividend	J	T					
5. --Doubleline Strategic Commodity DBCMX	A	Dividend	J	T	Buy	07/17/19	J		
6. --Elements Emerging Mkts Port ELMMX	A	Dividend	J	T					
7. --Elements Intl Small Cap Port ELISX	A	Dividend	J	T					
8. --Elements Intl Port ELINX	A	Dividend	J	T					
9. --Elements US Small Cap ELSMX	A	Dividend	J	T					
10. --Elements US Port ELUSX	A	Dividend	K	T	Sold (part)	01/24/19	J		
11.					Sold	04/17/19	J		
12.					Buy	07/17/19	J		
13. Bank of America Accounts -- cash equivalent	A	Interest	J	T					
14. Morgan Stanley Aggressive Growth A	A	Dividend	L	T					
15. 401(k) -- TIAA/CREF (no control) (H)									
16. --managed allocation fund (TIMRX)	A	Int./Div.	M	T					
17. --Lifecycle Retirement Income (TLRRX)	A	Int./Div.	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Brokerage Account (H)									
19. --Berkshire Hathaway B BRKB		None	M	T					
20. --Sector Spdr Consumer Fund ETF XLY	A	Dividend			Sold	02/21/19	K		
21. --Sector Spdr INdl Select Shares ETF XLI	B	Dividend	L	T					
22. --Sector SPDR Tr Con Splitshares ETF XLP	B	Dividend	K	T					
23. --Harding Loevner Emerging Markets Adv	A	Dividend			Sold	02/21/19	K		
24. --JP Morgan Small Cap Equity Fund USEIX	B	Dividend	K	T					
25. --Schwab Health Care Fund SWHFX	B	Dividend	K	T					
26. --Vanguard FTSE All World EX US ETF VEU (x)	A	Dividend	K	T					
27. --Schwab Gov. Money Fund SWGXX	A	Interest	K	T					
28. --Investco QQQ Trust Ser I ETF	B	Dividend	M	T					
29. --Pimco Investment Grade Corp BD Instl PIGIX	C	Dividend	L	T	Sold (part)	04/10/19	J		
30. --Pimco Incm Inst CL PIMIX	B	Dividend	M	T	Sold (part)	11/01/19	J		
31. --Wisdomtree Intl Small Cap Divident ETF DLS	A	Dividend	J	T					
32. --Lord Abbeett Short Dur Income FD CL I- LLDYX	A	Dividend	L	T					
33. --Select SectorUTI Select SPDR ETF-XLU	A	Dividend	J	T					
34. --Vanguard Real Estate ETF-VNQ	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. --Medtronic PLC F -MDT	A	Dividend	J	T					
36. --Ridgeworth Seix Float SAMX (x)	A	Dividend			Sold	12/30/19	K		
37. --PGIM Total Return PDB2X	A	Dividend	K	T	Buy	02/21/19	K		
38. New York Times common stock	A	Dividend	J	T					
39.									
40.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re Part VII, Line 26: My husband tells me he sold only some of the shares in 2018, not all of them..

Re Part VI, Line 36: my husband informs me that this company changed its name to Virtus.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean P. Rosenbluth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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