

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Broomes, John W.	2. Court or Organization U.S. District Court, Kansas	3. Date of Report 05/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 232 United States Courthouse 401 N. Market Street Wichita, Kansas 67202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. Trustee	☐ Trust #1
4. Trustee	☐ Trust #2 (no reportable assets)
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Name of Person Reporting	Date of Report
Broomes, John W.	05/04/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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Broomes, John W.	05/04/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Intrust Bank cash account	A	Interest	L	T					
2. Brokerage Account #1 (H)									
3. E-Trade Cash account	A	Interest	K	T					
4. SA common stock		None			Sold	03/01/19	J		
5. Retirement Account #1 (H)									
6. American Funds EuroPacific R6 Fund	A	Dividend			Sold	02/12/19	J		
7. American Funds Invt Co Am R6 Fund	A	Dividend			Sold	02/12/19	J		
8. Wells Fargo Advantage Special Mid Cap Value Fund R6	A	Dividend			Sold	02/12/19	J		
9. T. Rowe Price Mid-Cap Growth Fund	A	Dividend			Sold	02/12/19	J		
10. American Funds Am Balanced R6 Fund	A	Dividend			Sold	02/12/19	J		
11. American Funds The Income Fund of America R-6 Fund	A	Dividend			Sold	02/12/19	J		
12. Franklin Gold and Precious Metals R6 Fund		None			Sold	02/12/19	J		
13. Invesco Stable Value Trust Class III Fund	B	Dividend			Sold	02/12/19	M		
14. American Funds Cap In Bld R6 Fund	A	Dividend			Sold	02/12/19	J		
15. American Funds Capital World Growth & Income R6 Fund	A	Dividend			Sold	02/12/19	J		
16. American Funds Mutual Wash Mutual R6 Fund	B	Dividend			Sold	02/12/19	J		
17. Retirement Account #2 (H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Broomes, John W.	05/04/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. EQ/International Equity mutual fund		None	J	T					
19. AXA/AB Small Cap Growth mutual fund		None	J	T					
20. AXA International Core Volatility mutual fund		None	J	T					
21. AXA Equity Managed Volatility mutual fund		None	J	T					
22. AXA Large Cap Growth mutual fund		None	K	T					
23. AXA International Value Volatility mutual fund		None	J	T					
24. AXA/Janus Enterprise mutual fund		None	J	T					
25. AXA/Franklin Templeton Allocation Vol. mutual fund		None	J	T					
26. Retirement Account #3 (H)									
27. EQ/International Equity mutual fund		None	J	T					
28. AXA/AB Small Cap Growth mutual fund		None	J	T					
29. AXA International Core Volatility mutual fund		None	J	T					
30. AXA Equity Managed Volatility mutual fund (X)		None	J	T					
31. AXA Large Cap Growth mutual fund		None	J	T					
32. AXA/Janus Enterprise mutual fund		None	J	T					
33. AXA/Franklin Templeton Allocation Vol. mutual fund		None	J	T					
34. Retirement Account #4 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Financial Square Tr Government Institutional Class Fd #465 (Cash Acct)	A	Int./Div.	J	T					
36. Blackrock High Yield Portfolio - K	A	Dividend	J	T	Buy	02/20/19	J		
37.					Sold (part)	06/25/19	J		
38. Commerce Bond Fund Fund #333	A	Dividend	K	T	Buy	02/20/19	K		
39.					Buy (add'l)	06/25/19	J		
40.					Buy (add'l)	12/23/19	J		
41. Commerce Growth Fund Institutional Fund #337	A	Dividend	J	T	Buy	02/20/19	J		
42.					Sold (part)	06/25/19	J		
43. Commerce Midcap Growth Fund Institutional # 339	A	Dividend	J	T	Buy	02/20/19	J		
44.					Sold (part)	06/25/19	J		
45.					Buy (add'l)	12/23/19	J		
46. Commerce Value Fund Institutional Fund #346	A	Dividend	J	T	Buy	02/20/19	J		
47.					Buy (add'l)	12/23/19	J		
48. DFA International Small Company Portfolio	A	Dividend	J	T	Buy	02/20/19	J		
49.					Sold (part)	06/25/19	J		
50. DFA US Large Cap Value Portfolio	A	Dividend	J	T	Buy	02/20/19	J		
51. MFS Research International Fund R6	A	Dividend	J	T	Buy	02/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52.					Sold (part)	06/25/19	J		
53. Northern Funds Active M Emerging Markets Equity Fund	A	Dividend	J	T	Buy	02/20/19	J		
54.					Buy (add'l)	06/25/19	J		
55.					Buy (add'l)	12/23/19	J		
56. Oppenheimer Main Street Small Cap Fund I		None			Buy	02/20/19	J		
57.					Buy (add'l)	03/26/19	J		
58.					Sold	05/28/19	J		
59. T Rowe Price Growth Stock Fund-I	A	Dividend	J	T	Buy	02/20/19	J		
60. T Rowe Price Overseas Stock Fund-I	A	Dividend	J	T	Buy	02/20/19	J		
61. TIAA-CREF Large-Cap Growth Index Fund	A	Dividend	J	T	Buy	02/20/19	J		
62. iShares Russell Midcap Value ETF	A	Dividend	J	T	Buy	02/20/19	J		
63. iShares Russell 1000 Value ETF	A	Dividend	J	T	Buy	02/20/19	J		
64. Invesco Oppenheimer Main Street Small Cap Fund R6	A	Dividend	J	T	Buy	05/28/19	J		
65. Retirement Account #5 (H)									
66. Financial Square Tr Government Institutional Class Fd #465 (Cash Acct)	A	Int./Div.	J	T					
67. Blackrock High Yield Portfolio - K	A	Dividend	J	T	Buy	02/20/19	J		
68. Commerce Bond Fund Fund #333	A	Dividend	J	T	Buy	02/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. Commerce Growth Fund Institutional Fund #337	A	Dividend	J	T	Buy	02/20/19	J		
70. Commerce Midcap Growth Fund Institutional # 339	A	Dividend	J	T	Buy	02/20/19	J		
71. Commerce Value Fund Institutional Fund #346	A	Dividend	J	T	Buy	02/20/19	J		
72. DFA International Small Company Portfolio	A	Dividend	J	T	Buy	02/20/19	J		
73. DFA US Large Cap Value Portfolio	A	Dividend	J	T	Buy	02/20/19	J		
74. MFS Research International Fund R6	A	Dividend	J	T	Buy	02/20/19	J		
75. Northern Funds Active M Emerging Markets Equity Fund	A	Dividend	J	T	Buy	02/20/19	J		
76. Oppenheimer Main Street Small Cap Fund I		None			Buy	02/20/19	J		
77.					Sold	05/28/19	J		
78. T Rowe Price Growth Stock Fund-I	A	Dividend	J	T	Buy	02/20/19	J		
79. T Rowe Price Overseas Stock Fund-I	A	Dividend	J	T	Buy	02/20/19	J		
80. TIAA-CREF Large-Cap Growth Index Fund	A	Dividend	J	T	Buy	02/20/19	J		
81. iShares Russell Midcap Value ETF	A	Dividend	J	T	Buy	02/20/19	J		
82. iShares Russell 1000 Value ETF	A	Dividend	J	T	Buy	02/20/19	J		
83. Invesco Oppenheimer Main Street Small Cap Fund R6	A	Dividend	J	T	Buy	05/28/19	J		
84. Family Trust #1 (H)									
85. Intrust Bank cash account	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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86. Family Trust #3 (H)									
87. Financial Square Trust Treasury Obligation Fund cash equivalent	A	Int./Div.	J	T					
88. Ishares Russell Midcap ETF	A	Dividend	J	T					
89. Ishares Russell 1000 ETF	A	Dividend	J	T					
90. Commerce Natl Tax-Free Bd Fd #334	A	Dividend	J	T	Sold (part)	01/07/19	J	A	
91. Commerce Kansas Taxfree Intermediate Bond Fund #1399	A	Dividend	J	T	Sold (part)	01/07/19	J	A	
92. Family Trust #4 (H)									
93. Financial Square Trust Treasury Obligation Fund cash equivalent	A	Int./Div.	J	T					
94. Ishares Russell Midcap ETF	A	Dividend	J	T					
95. Ishares Russell 1000 ETF	A	Dividend	J	T					
96. Ishares Russell 2000 ETF	A	Dividend	J	T					
97. Commerce Natl Tax-Free Bd Fd #334	A	Dividend	J	T	Sold (part)	01/07/19	J	A	
98. Commerce Kansas Taxfree Intermediate Bond Fund #1399	A	Dividend	K	T	Sold (part)	01/07/19	J	A	
99. T Rowe Price Tax Free High Yield Fund	A	Dividend	J	T					
100. Vanguard High Yield Tax Exempt mutual fund	A	Dividend	J	T					
101. Trust #5 (H)									
102. Financial Square Trust Treasury Obligation Fund cash equivalent	A	Int./Div.	K	T					

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103. Artisan Mid Cap Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
104. Commerce Growth Fund Institutional Fund #337	B	Dividend	K	T	Buy (add'l)	01/07/19	J		
105. Commerce Midcap Growth Fund Institutional # 339	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
106. Commerce Value Fund Institutional Fund #346	B	Dividend	K	T	Buy (add'l)	01/07/19	J		
107. DFA US Targeted Value Portfolio	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
108. DFA US Large Cap Value Portfolio	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
109. Hartford Midcap Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
110. Ishares Russell Midcap Value ETF	A	Dividend	K	T	Buy (add'l)	01/11/19	J		
111. Clearbridge Small Cap Growth Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
112. T Rowe Price New Horizons Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
113. TIAA-CREF Large-Cap Value Index Fund	B	Dividend	K	T	Buy (add'l)	01/07/19	J		
114. TIAA-CREF Large-Cap Growth Index Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
115.					Sold (part)	02/07/19	J	A	
116. Vanguard Morgan Growth Fund		None			Sold	02/07/19	K	C	
117. DFA International Small Company Portfolio	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
118.					Sold (part)	05/08/19	J	A	
119. Lazard Emerging Markets Equity Port Instl	A	Dividend	J	T	Buy (add'l)	01/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Broomes, John W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	05/08/19	J		
121. MFS Research International Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
122.					Sold (part)	05/08/19	J	A	
123. T Rowe Price Overseas Stock Fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
124.					Sold (part)	05/08/19	J	A	
125. Commerce Natl Tax-Free Bd Fd #334	B	Dividend	L	T	Buy (add'l)	01/07/19	J		
126. Commerce Kansas Taxfree Intermediate Bond Fund #1399	D	Dividend	N	T	Buy (add'l)	01/07/19	L		
127. Fidelity Spartan Intermediate Municipal Income Fund	A	Dividend			Buy (add'l)	01/07/19	J		
128.					Sold	03/19/19	L		
129. Fidelity Advisor Intermediate Municipal Income Fund Z	B	Dividend	L	T	Buy	03/19/19	L		
130. Vanguard Short Term Tax Exempt Fund	A	Dividend			Sold	01/07/19	L	A	
131. T Rowe Price Tax Free High Yield	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
132. AQR Managed Futures Strategy Fund		None			Sold	01/07/19	J	A	
133. Gateway Fund	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
134. Principal Global Multistrategy Fund		None	K	T	Buy (add'l)	01/07/19	J		
135. Causeway Emerging Markets mutual fund	A	Dividend	J	T	Buy (add'l)	01/07/19	J		
136.					Sold (part)	05/08/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Vanguard High Yield Tax Exempt Fund Adm	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
138. DFA Commodity Strategy fund		None			Sold	01/07/19	J	A	
139. T Rowe Price Growth Stock Fund-I	A	Dividend	K	T	Buy	02/07/19	K		
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Broomes, John W.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Although I am a trustee of [REDACTED] Trust #2, there are no reportable assets in the trust.

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Name of Person Reporting

Broomes, John W.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John W. Broomes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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